XCEL ENERGY INC Form U-9C-3 November 29, 2002

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# U.S. SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 FORM U-9C-3

# QUARTERLY REPORT PURSUANT TO RULE 58 OF THE PUBLIC UTILITY HOLDING COMPANY ACT OF 1935

For the quarterly period ended September 30, 2002

#### **Xcel Energy Inc.**

(Name of Registered Holding Company)

#### 800 Nicollet Mall, Minneapolis, Minnesota 55402

(Address of Principal Executives Offices)

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**SIGNATURE** 

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Item 1. ORGANIZATION CHART

Name of	Energy or Gas			Percentage	Nature
Reporting	Related	Date of	State of	Of Voting Securities	Of
Company	Company	Organization	Organization	Held	Business
Xcel Energy Inc. (Xcel Energy) Xcel Energy Retail Holdings Inc. (a)	_				
XERS Inc.	Energy related	December 4, 2000	DE	100%	Operates as a retailer of electric power in targeted U.S. markets. XERS functions as an intermediary between energy producers, resellers and
*Natural Station Equipment LLC	Energy related	January 1, 1999	DE	100%	end-users. Inactive entity previously engaged in natural gas distribution
Xcel Energy Cadence Inc. (a)	Energy related	August 28, 1997	СО	100%	Holds energy-related company
Cadence Network Inc.	Energy related	September 3, 1997	DE	22.22%	Energy management and consulting services; also brokering and marketing of energy
Planergy International Inc. (a)	Energy related Energy	January 2, 1990 September, 26	TX	100%	commodities Energy service to commercial and industrial customers
Planergy (Delaware) Inc. (a)	related Energy	1996	DE	100%	No significant operations
Planergy Services Inc. (a)	related Energy	July 30, 1987 November 6,	DE	100%	Industrial energy audits
Planergy Services of California Inc.	related Energy	1984	CA	100%	No significant operations
Planergy Capital Associates Inc.	related Energy	June 19, 1981	CA	100%	No significant operations
Planergy Energy Services Corp.	related Energy	July 30, 1987	DE	100%	No significant operations
Planergy Services of Houston Inc.	related Energy	July 30, 1987	DE	100%	No significant operations
Planergy Services USA Inc.	related Energy	July 30, 1987	DE	100%	No significant operations
Planergy Services of Texas Inc.	related Energy	July 30, 1987 December 7,	DE	100%	No significant operations
Planergy Midwest Inc.	related Energy	2000 February 20,	DE	100%	No significant operations
* Planergy New York Inc.	related Energy	1991 August 19,	NY	100%	Inactive
Planergy Inc. (a)	related Energy	1977	TX	100%	Energy service company
Planergy Limited	related Energy	May 13, 1997 August 20,	Canada	100%	Energy service company Provides energy marketing and
Energy Masters International Inc. (a)	related	1993	MN	100%	performance contracting services
EMI Performance Contracting, Inc.	Energy related	June 18, 2001	DE	100%	Provides energy conservation services

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Name of	Energy or			Percentage	Nature
Reporting	Gas Related	Date of	State of	Of Voting Securities	Of
Company	Company	Organization	Organization	Held	Business
	Energy				
e prime Energy Marketing Inc.	related	March 3, 1997	CO	100%	Provides energy-related services
e prime Florida Inc.	Energy related	October 18, 1999	CO	100%	Provides retail gas marketing services
* e prime Georgia Inc.	Energy related	June 10, 1999	GA	100%	Inactive entity previously provided retail gas marketing services
Xcel Energy Ventures Inc. (a)					marketing services
* Ultra Power Technologies	Energy related	October 10, 1997	MN	100%	Inactive entity previously involved in the testing of underground electric cable.
Texas-Ohio Pipeline Inc.	Energy related	June 22, 1990	TX	100%	Owns and operates gas pipeline
Xcel Energy Wholesale Group Inc. (a)	Energy				Develops, organizes, owns and
NRG Energy Inc. (a)	related	May 29, 1992	DE	100%	operates non-regulated energy-related businesses
James River Cogeneration Co.	Energy related	January 15, 2002	NC	50%	Owns the James River Station 110 MW facility in Virginia.
NDCW II 10 C II C	Energy	M 1 10 2002	DE	1000	-
NRG Woodland Operations LLC	related Energy	March 18, 2002 August 18,	DE	100%	Operates facility in Woodland, CA. Established to maximize the
NRG Power Marketing Inc.	related	1997	DE	100%	utilization and return of NRG's generation assets and to mitigate the risk associated with those assets.
NRG New Jersey Energy Sales LLC	Energy related Energy	March 22, 2002 October 28,	DE	100%	Energy marketer in New Jersey. Owns interests in cogeneration and
NRG PacGen Inc. (a)	related	1997	DE	100%	competitive power producing projects.
Pacific Generation Company (a)	Energy related	August 3, 1984	OR	100%	Domestic holding company acquired by NRG; also a limited partner in Camas Power Boiler Limited Partnership Owns waste-wood fired steam boiler
Camas Power Boiler Limited	Energy	February 6,			in Camas paper
Partnership	related Energy	1990 February 6,	OR	100%	mill in Washington General partner in Camas Boiler
Camas Power Boiler Inc.	related Energy	1990 December 9,	OR	100%	Limited Partnership Operates Crockett cogeneration
ESOCO Crockett Inc.	related Energy	1992 September 13,	OR	100%	facility in California
Energy National Inc. (a)	related	1984	UT	100%	Holding company
Pacific Generation Resources Company	Energy related	November 21, 1991	OR	100%	Domestic holding company which holds limited partner interest in Long Island
Penobscot Energy Recovery Company	Energy related	August 15, 1985	ME	35.68%	Cogeneration Owns waste-to-energy facility in Orrington, Maine
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Name of	Energy or Gas			Percentage	Nature
Reporting	Related	Date of	State of	Of Voting Securities	Of
Company	Company	Organization	Organization	Held	Business
Maine Energy Recovery Co.	Energy related	June 30, 1983	ME	16%	Owns waste-to-energy facility in Maine
Manie Energy Recovery Co.	Energy	November 24,	ME	10%	Owns waste-to-energy facility in Manie
Pacific Orrington Energy Inc. (a)	related	1992	OR	100%	Holds general and limited partner interests in Orrington Waste LTD, Limited Partnership
Orrington Waste LTD,	Energy related	January 13, 1993	OR	17%	Provides waste disposal services to municipalities to be delivered to waste disposal operators in
Limited Partnership	F	E-1			Maine
ESOCO Inc. (a)	Energy related	February 1, 1989	UT	100%	Domestic holding company for individual ESOCO O&M companies Operates Penobscot Energy Recovery Company
ESOCO Orrington Inc.	Energy related	February 1, 1989 February 14,	UT	100%	in Maine  Domestic holding company for Pacific Crockett
Pacific Crockett Holdings Inc.	Energy related Energy	1991	OR	100%	Energy Inc. Limited partner in Crockett Cogeneration, a
ENI Crockett Limited Partnership (a)	related Energy	April 10, 1995 February 6,	OR	100%	California Limited Partnership General partner in Crockett Cogeneration, a
Pacific Crockett Energy Inc.	related Energy	1997 January 22,	UT	100%	California Limited Partnership  Domestic holding company of ONSITE Soledad
ONSITE Energy Inc. (a)	related	1986	OR	100%	Inc. and ONSITE Marianas Corporation; also indirectly holds general partner interest in Mt. Poso project and limited partner interest in Turner Falls project
Pacific-Mt. Poso Corporation	Energy related Energy	June 8, 1997	OR	100%	General partner in Mt. Poso Cogeneration Company, a California Limited Partnership
Turner Falls Limited Partnership	related		MA	9%	Owns Turner Falls cogeneration facility in Massachusetts
Pacific Generation Holdings Co.	Energy related Energy	January 12, 1995	OR	100%	Domestic holding company for Pacific Generation Funding and Pacific Recycling Inc. General partner in Louisiana Energy Services
Graystone Corporation (a)	related Energy	May 25, 1989	MN	100%	LP Limited partner in Louisiana Energy Services
Le Paz Incorporated	related Energy	June 1, 1990	MN	100%	LP Domestic holding company in Cadillac
NRG Cadillac Inc. (a)	related Energy	April 15, 1997 February 27,	DE	100%	Renewable Energy LLC Owns wood-fired electric generation plant in
Cadillac Renewable Energy LLC	related	1997	DE	100%	Mich
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Name of	Energy or Gas			Percentage	Nature
Reporting	Related	Date of	State of	Of Voting Securities	Of
 Company	Company	Organization	Organization	Held	Business
	Energy				Owns and/or operates cogeneration
O Brien Cogeneration Inc II	related Energy	December 31, 1985	DE	100%	facilities.  Develops, owns and operates landfill
NEO Corporation (NEO) (a)	related	May 27, 1993	MN	100%	gas, hydroelectric and small cogeneration
					projects in the U.S.
NM Colton Genco LLC	Energy related	March 18, 2002	DE	50%	Owns and operates landfill gas fueled electric
	Enanav	•			generating facility on Colton landfill.
NM Mid Valley Genco LLC	Energy related	March 18, 2002	DE	50%	Owns and operates landfill gas fueled electric
·		•			generating facility on Mid Valley landfill.
	Energy				Owns and operates landfill gas fueled
NM Milliken Genco LLC	related	March 18, 2002	DE	50%	electric generating facility on Milliken landfill.
	Energy				
NEO Fresh Kills LLC (a)	related Energy	December 20, 2001	DE	100%	50% owner of Fresh Gas LLC Owner of gas collection system in Fresh
Fresh Gas LLC	related	December 20, 2001	DE	50%	Kills, NY Owns 3.4 MW cogeneration facility at
NEO Chester-Gen LLC	Energy related	July 13, 2000	DE	100%	Crozer Chester
		-			Hospital in Chester, Pennsylvania
NEO Freehold-Gen LLC	Energy related	Inly 12, 2000	DE	100%	Formed to acquire landfill accurainst
NEO Fleehold-Gell LLC	Energy	July 13, 2000	DE	100%	Formed to acquire landfill gas project Owns 1.0 MW cogeneration facility at
NEO Toledo-Gen LLC	related	July 13, 2000	DE	100%	Riverside
	Energy				Hospital in Toledo, Ohio  Domestic holding company-provides
NEO Landfill Gas Holdings Inc.	related	April 8, 1996	DE	100%	O&M services for
	E				landfill gas projects
Four Hills LLC	Energy related	August 19, 1996	DE	50%	Landfill gas collection system for Nashua project in
1 out 111110 220	Totalea	11ugust 15, 1550	22	2070	New Hampshire
NEO December 11 C	Energy	0-4-1 25 1006	DE	1000/	Landfill gas collection system for Edward Kraemer
NEO Burnsville LLC	related	October 25, 1996	DE	100%	landfill in Minnesota
	Energy				Landfill gas collection system for
NEO Corona LLC	related	January 8, 1997	DE	100%	O'Brien project in
	Energy				California Landfill gas collection system for
NEO Erie LLC	related	August 17, 1999	DE	100%	project in Denver
NEO Et Smith I I C	Energy	October 14, 1007	DE	100%	Landfill gas collection system for
NEO Ft Smith LLC	related Energy	October 14, 1997	DE	100%	project in Arkansas
NEO Hackensack LLC	related	January 6, 1997	DE	100%	Landfill gas collection system for
	Energy				HMDC/Balefill/Kingsland Landfill gas collection system for
NEO Martinez LLC	related	August 18, 1999	DE	100%	project in
					California
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Name of Reporting	Energy or Gas Related	Date of	State of	Percentage Of Voting Securities	Nature Of	
Company	Company	Organization	Organization	Held	Business	
NEO Nashville LLC	Energy related	June 20, 1997	DE	100%	Landfill gas collection system for project in Tenn.	
NEO Northern Tier LLC	Energy related	March 11, 1997	DE	100%	Landfill gas collection system for project in Pennsylvania	
NEO Phoenix LLC	Energy related Energy	January 4, 1996	DE	100%	Landfill gas collection system for project in Arizona Landfill gas collection system for project in	
NEO Prima Deshecha LLC	related	January 16, 1997	DE	100%	Orange County, California	
NEO Riverside LLC	Energy related	December 19, 1996	DE	100%	Landfill gas collection system for project in California	
NEO SKB LLC	Energy related	October 14, 1997	DE	100%	Landfill gas collection system for project in Pennsylvania	
NEO Tajiguas LLC	Energy related	December 5, 1995	DE	100%	Landfill gas collection system for project in Santa Barbara, California	
NEO Woodville LLC	Energy related	February 11, 1998	DE	100%	Landfill gas collection system for project in California	
NEO El Sobrante LLC	Energy related Energy	February 10, 2000 February 10,	DE	100%	Landfill gas collection system	
NEO Tri-Cities LLC	related	2000	DE	100%	Landfill gas collection system for project in Fremont, California	
NEO Montauk Genco Management LLC	Energy related Energy	August 21, 2001	DE	100%	Manager of NEO-Montauk Genco LLC	
NEO Montauk Genco LLC	related Energy	August 17, 2001	DE	50%	Owner of landfill gas fueled power generation	
NEO San Bernardino LLC (a)	related	June 26, 1998	DE	100%	Landfill gas collection system for project in California	
San Bernardino Landfill Gas Limited Partnership	Energy related	September 18, 1997	DE	95%	Limited partner to landfill gas collection system for project in California	
NEO MESI LLC (a)	Energy related	October 12, 1999	DE	100%	Holding company for project company in the business of producing and selling synthetic fuel from Ken West terminal in Catlettsburg, Boyd County Kentucky on the Big Sandy River	
MESI Fuel Station #1 LLC	Energy related	October 28, 1999	DE	50%	Owns synthetic coal processing facility in Kentucky	
NEO ECO 11 LLC	Energy related	May 9, 2000	DE	100%	Formed to acquire landfill gas project	
Minnesota Methane II LLC (a)	Energy related	April 8, 1997	DE	50%	Owns and operates original 3 NEO/Ziegler landfill gas projects	
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Name of	Energy or Gas			Percentage	Nature
Reporting	Related	Date of	State of	Of Voting Securities	Of
Company	Company	Organization	Organization	Held	Business
MM Burnsville Energy LLC	Energy related	October 14, 1997	DE	50%	Landfill gas fueled power generation for Edward Kraemer landfill in Minnesota
Suncook Energy LLC	Energy related	October 14, 1997	DE	50%	Landfill gas fueled power generation for Nashua project in New Hampshire
Landfill Power LLC	Energy related	May 2, 1994	WY	50%	Owns and operates Flying Cloud landfill gas fueled power generation facility in Minnesota
Minnesota Methane LLC	Energy related Energy	September 21, 1998	WY	50%	Owns and operates 18 landfill gas projects in the U.S.  Landfill gas fueled power generation for
MM Albany Energy LLC	related	January 8, 1997	DE	50%	project in New York
MM Biogas Power LLC	Energy related	April 8, 1996	DE	50%	Domestic holding company-owns 100% interest in landfill gas fueled power generation projects not yet financed
MM Corona Energy LLC	Energy related	January 8, 1997	DE	50%	Landfill gas fueled power generation for O'Brien projects in California
MM Cuyahoga Energy LLC	Energy related Energy	June 18, 1997	DE	50%	Landfill gas fueled power generation project in Ohio Landfill gas fueled power generation for
MM Erie Power LLC	related	August 17, 1999	DE	50%	project in Denver, Colorado
MM Hackensack Energy LLC	Energy related	April 8, 1997	DE	50%	Landfill gas fueled power generation for HMDC/Balefill/Kingsland O'Brien project in New Jersey
MM Hartford Energy LLC	Energy related	May 16, 1997	DE	50%	Landfill gas fueled power generation for project in Connecticut
MM Lopez Energy LLC	Energy related	December 13, 1996	DE	50%	Landfill gas fueled power generation for Lopez Canyon project in Los Angeles, California
MM Lowell Energy LLC	Energy related	January 8, 1997	DE	50%	Landfill gas fueled power generation for project in Massachusetts
MM Martinez Energy LLC	Energy related	August 17, 1999	DE	50%	Landfill gas fueled power generation for project in California
MM Nashville Energy LLC	Energy related	June 20, 1997	DE	50%	Landfill gas fueled power generation for project in Tennessee
MM Northern Tier Energy LLC	Energy related	March 11, 1997	DE	50%	Landfill gas fueled power generation for project in Pennsylvania
MM Phoenix Energy LLC	Energy related	January 20, 1998	DE	50%	Landfill gas fueled power generation for project in Arizona
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Name of	Energy or Gas			Percentage	Nature
Reporting	Related	Date of	State of	Of Voting Securities	Of
Company	Company	Organization	Organization	Held	Business
MM Prima Deshecha Energy LLC	Energy related	January 8, 1997	DE	50%	Landfill gas fueled power generation for project in Orange County, California
MM Prince William Energy LLC	Energy related	June 18, 1997	DE	50%	Landfill gas fueled power generation for project in Virginia
MM Riverside LLC	Energy related	December 19, 1996	DE	50%	Landfill gas fueled power generation for project in California
MM San Diego LLC	Energy related	January 8, 1997	DE	50%	Landfill gas fueled power generation for Miramar project in California
MM SKB Energy LLC	Energy related	June 20, 1997	DE	50%	Landfill gas fueled power generation for project in
MM Spokane Energy LLC	Energy related	January 8, 1997	DE	50%	Pennsylvania Landfill gas fueled power generation for project in
MM Tacoma LLC	Energy related	December 19, 1996	DE	50%	Washington Landfill gas fueled power generation for project in
MM Tajiguas Energy LLC	Energy related	January 20, 1998	DE	50%	Washington Landfill gas fueled power generation for project in
MM Taunton Energy LLC	Energy related	January 8, 1997	DE	50%	California Landfill gas fueled power generation for project in
MM Tomoka Farms Energy LLC	Energy related	March 11, 1997	DE	50%	Massachusetts Landfill gas fueled power generation for project in
MM Tri-Cities Energy LLC	Energy related	February 10, 2000	DE	50%	Florida Landfill gas power generation in Fremont, CA
MM Tulare Energy LLC	Energy related	May 16, 1997	DE	50%	Landfill gas fueled power generation for Visalia project in California
MM West Covina LLC	Energy related	December 4, 1995	DE	50%	Landfill gas fueled power generation for BKK project in California
MM Woodville Energy LLC	Energy related	February 11, 1998	DE	50%	Landfill gas fueled power generation for project in California
MM Yolo Power LLC	Energy related	February 14, 1996	DE	50%	Landfill gas fueled power generation for Visalia project in California
MMSB Transco Holdings LLC	Energy related	December 30, 1998	DE	50%	Transport landfill gas for resale
NEO Landfill Gas Inc.	Energy related	April 8, 1996	DE	100%	Domestic holding company-holds 99% interest in landfill gas collection system projects.
Northbrook Acquisition Corp.	Energy related	December 23, 1994	DE	50%	Domestic holding company in STS Hydropower
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Name of Reporting	Energy or Gas Related	Gas Related Date of		Percentage Of Voting Securities	Nature Of
Company	Company	Organization	Organization	Held	Business
Northbrook Carolina Hydro LLC	Energy related	October 5, 1995	DE	50%	Owns and operates hydroelectric power plants in North Carolina and South Carolina
Northbrook Energy LLC	Energy related Energy	November 4, 1994	DE	50%	Develops hydroelectric power projects in the U.S.
Northbrook New York LLC	related Energy	June 21, 1999 February 26,	DE	30%	Holds interest in Northbrook Acquisition Corp
O Brien Biogas (Mazzaro) Inc	related	1990	DE	50%	Landfill gas collection system for project in Pennsylvania
O Brien Biogas IV LLC	Energy related	July 8, 1997	DE	50%	Landfill gas fueled power generation for Edgeboro project in New Jersey
O Brien Standby Power Energy Inc	Energy related	December 6, 1988	DE	50%	Landfill gas fueled power generation for SKB project in Pennsylvania
STS Hydropower LTD (a)	Energy related	August 11, 1987	MI	50%	Owns and operates hydroelectric projects in California, Colorado, Michigan, Virginia and Wash.
NEO Albany LLC	Energy related	September 12, 1995	DE	100%	Landfill gas collection system for project in NY
NEO Cuyahoga LLC	Energy related Energy	October 31, 1996	DE	100%	Landfill gas collection system for project in Ohio Landfill gas collection system for O'Brien
NEO Edgeboro LLC	related	January 6, 1997	DE	100%	project in New Jersey
NEO Fitchburg LLC	Energy related	January 16, 1997	DE	100%	Landfill gas collection system for project in Massachusetts
NEO Hartford LLC	Energy related	October 13, 1995	DE	100%	Landfill gas collection system for project in Connecticut
NEO Lopez Canyon LLC	Energy related	January 4, 1996	DE	100%	Landfill gas collection system for project in California
NEO Lowell LLC	Energy related	January 16, 1997	DE	100%	Landfill gas collection system for project in Massachusetts
NEO Prince William LLC	Energy related	October 30, 1996	DE	100%	Landfill gas collection system for project in Virginia Landfill gas collection system for Miramar
NEO San Diego LLC	Energy related	October 12, 1995	DE	100%	project in California
NEO Spokane LLC	Energy related	November 22, 1995	DE	100%	Landfill gas collection system for project in Washington
NEO Tacoma LLC	Energy related	December 5, 1995	DE	100%	Landfill gas collection system for project in Washington
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Name of	Energy or Gas			Percentage	Nature
Reporting	Related	Date of	State of	Of Voting Securities	Of
Company	Company	Organization	Organization	Held	Business
NEOT 4 LLC	Energy	January 16,	DE	1000	T 1011 11 41 4 6 4 4 4
NEO Taunton LLC	related	1997	DE	100%	Landfill gas collection system for project in Massachusetts
NEO Tomoka Farms LLC	Energy related	December 5, 1995	DE	100%	Landfill gas collection system of Volusia project in Florida
NEO Tulare LLC	Energy related	December 5, 1995	DE	100%	Landfill gas collection system for Visalia project in California
NEO West Covina LLC	Energy related	July 16, 1997	DE	100%	Landfill gas collection system for BKK project in California
NEO Yolo LLC	Energy related	December 23, 1996	DE	100%	Landfill gas collection system for project in California
NRG Thermal Corporation (a)	Energy related	October 25, 1999	DE	100%	Provides district heating and cooling systems in several cities in the U.S.
NRG Energy Center Smyrna LLC NRG Energy Center Minneapolis	Energy related Energy	December 20, 2001 October 27,	DE	100%	Operator of 45 MW plant located in Smyrna, Delaware. Owns and operates the district heating and
LLC	related	1999	DE	100%	cooling system serving customers in the downtown Minneapolis
NRG Energy Center San Diego LLC	Energy related	October 27, 1999	DE	100%	area Formed to own and operate San Diego Power & Cooling, a chilled water plant serving customers in downtown
NRG Energy Center Rock Tenn LLC	Energy related	October 27, 1999	DE	100%	San Diego area Owns assets in connection with the sale of steam to Rock-Tenn Corporation in St. Paul
NRG Energy Center Grand Forks LLC	Energy related	October 27, 1999	DE	100%	Owns assets in connection with a contract to provide steam at the Grand Forks Air Force Base
NRG Energy Center Paxton Inc.	Energy related	April 25, 2000	DE	100%	Formed to own interest in cogeneration facility and sell steam to NRG Energy Center Harrisburg
NRG Energy Center Washco LLC	Energy related	October 27, 1999	DE	100%	Inc. Owns assets in connection with the sale of steam to Anderson Corporation and the State of Minnesota
NRG Energy Center Dover LLC	Energy related	July 12, 2000	DE	100%	Correctional Facility Own 18 MW cogeneration facility and 80 MW expansion project in Dover, Delaware
NRG Thermal Services Inc.	Energy related	July 5, 2000	DE	100%	This entity formed to hold the chiller plant assets and diesel services business for NRG Energy Center Harrisburg Inc.
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Name of	Energy or Gas			Percentage	Nature
Reporting	Related	Date of	State of	Of Voting Securities	Of
Company	Company	Organization	Organization		Business
NRG Energy Center Pittsburgh LLC	Energy related	October 27, 1999	DE	100%	Formed to own and operate the Pittsburgh Thermal district heating and cooling plant which currently serves approx 25 customers in the
NRG Energy Center San Francisco LLC	Energy related	July 30, 1991	DE	48.09%	Pittsburgh area. Provides district heating and cooling services in California
NRG Capital LLC (a)	Energy related Energy	April 9, 2001	DE	100%	Sole member of construction revolver borrower Borrower under proposed
NRG Finance Company I LLC (a)	related Energy	February 6, 2001	DE	100%	construction revolver Owner for financing purposes of
NRG Turbines LLC	related	August 15, 2001	DE	100%	certain turbines prior to their assignment to project
Utility Engineering Corporation (UE) (a)	Energy related	August 14, 1985	TX	100%	companies Holds energy-related companies and is engaged in engineering, design and construction management, and invests in developing cogeneration and energy-related projects
Quixx Corporation (a)	Energy related	August 14, 1985	TX	100%	Invests in developing cogeneration and energy-related projects
Quixxlin Corporation (a)	Energy related	July 16, 1997	DE	100%	Owns .5% general partnership interest in Quixx Linden Owns and operates gas-fired
Quixx Linden (GP)	Energy related Energy	June 25, 1996	TX	0.50%	cogeneration facility Owns and operates gas-fired
Quixx Linden (LP)	related	June 25, 1996	TX	49.5%	cogeneration facility Holding company for subsidiaries
Xcel Energy Markets Holdings Inc. (a)					providing energy marketing services
e prime Inc. (a)	Energy related	January 30,1995	СО	100%	Holds energy-related companies and engages in energy marketing, trading and providing energy services
Young Gas Storage Company (a)	Energy related	July 6, 1993	DE	100%	Hold energy-related company
Young Gas Storage Company Ltd.	Energy related Energy	June 30, 1993	СО	47.5%	Owns & operates underground gas storage facilities
Johnstown Cogeneration Company LLC	related	February 8, 1996	СО	50%	Operates cogeneration facility
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Name of	Energy or			Percentage	Nature
Reporting	Gas Related	Date of	State of	Of Voting Securities	Of
Company	Company	Organization	Organization	Held	Business
		February 2,			
Viking Gas Transmission Company	Gas related	1988	DE	100%	Natural gas transmission
	Energy	November 19,			Owns 20% interest in Nuclear
NSP-Minnesota (a)	related	1999	MN	100%	Management Co.
NSP Nuclear Corporation (a)					
	Energy	February 26,			Nuclear operating company that
Nuclear Management Company LLC	related	1999	WI	20%	manages several
					nuclear plants for 5 different utilities.
	Energy	September 6,			Storage facility of spent nuclear fuel
Private Fuel Storage LLC	related	1995	DE	9.9%	in Utah

<sup>(</sup>a) Directly and/or indirectly holds securities in energy/gas related companies.

<sup>#</sup> new entity\* inactive entity

LP: Limited Partner, GP: General Partner.

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#### Narrative Description of Activities for Reporting Period

XERS Inc. XERS, a nonregulated subsidiary of Xcel Energy, operates as a retailer of electric power in targeted U.S. markets. XERS functions as an intermediary between energy producers, resellers and end-users and is exposed to the business risks involved with these operations. Therefore, XERS enters into fixed or full requirements contracts ranging from one month to several years.

Cadence Network Inc. Cadence Network Inc. provides a single source for both energy management services and products designed to lower energy costs for national companies that operate at multiple locations.

Planergy International Inc. and subsidiaries Planergy International Inc. consists of operating subsidiaries providing energy management, consulting and demand side management services to industrial, commercial, utility and municipal customers.

e prime Energy Marketing Inc. e prime Energy Marketing Inc. provides energy related products and services, which include natural gas marketing and trading.

e prime Florida Inc. e prime Florida Inc. provides energy related products and services.

Texas-Ohio Pipeline Inc. Small pipeline company that connects two major interstate pipelines.

James River Cogeneration Co. Owns the James River Station 110 MW facility in Virginia.

NRG Woodland Operations LLC Operates a facility in Woodland, CA.

NRG Power Marketing Inc. Established to maximize the utilization and return of NRG s generation assets and to mitigate the risk associated with those assets. NRG Power Marketing purchases and markets energy and energy related commodities including electricity, natural gas, oil, coal and emission allowances.

NRG PacGen Inc. Owns interests in cogeneration and competitive power producing projects.

Graystone Corporation General partner in Louisiana Energy Services LP which provides uranium enrichment services.

NRG Cadillac Inc. Owns 50 percent of Cadillac Renewable Energy LLC. Which owns a 38 MW wood fired plant located in Cadillac, Michigan.

O Brien Cogeneration Inc. II Owns and/or operates cogeneration facilities.

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Narrative Description of Activities for Reporting Period (continued)

NEO Corporation Develops, owns and operates landfill gas, hydroelectric and small cogeneration projects in the U.S.

NRG Thermal Corporation Provides district heating and cooling systems in several cities in the U.S.

NRG Capital LLC Sole member of construction revolver borrower.

Utility Engineering Corporation Utility Engineering Corporation is engaged in engineering, design, construction management and other miscellaneous services. On January 1, 2000, UE acquired Quixx Corporation (Quixx) and its subsidiaries from NC Enterprises. Quixx s primary business is investing in and developing cogeneration and energy-related projects. Quixx also holds water rights and certain other non-utility assets. Quixx currently has several subsidiaries, most of which hold interests in various energy-related investments.

Quixx Linden LP Quixx Linden LP owns a 23 Mw natural gas fired cogeneration facility in Linden, New Jersey. Quixxlin Corporation, a wholly-owned subsidiary of Quixx, holds a 0.5% general partnership interest in this partnership. Quixx also directly holds a 49.5% limited partnership interest in Quixx Linden, L.P.

e prime Inc.( e prime ) e prime provides energy related products and services (directly and through its subsidiaries) which include, but are not limited to, energy marketing and trading and energy consulting. Also, e prime owns Young Gas Storage Company, which has a 47.5% interest in Young Gas Storage Company Ltd.

Johnstown Cogeneration Company LLC ( Johnstown ) Johnstown is an electric cogeneration facility which e prime owns a 50% general partnership interest.

Viking Gas Transmission Company Gas transmission pipeline company.

Nuclear Management Company 
Nuclear operating company that manages several nuclear plants for 5 different utilities.

Private Fuel Storage LLC Storage facility of spent nuclear fuel in Utah.

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Item 2. ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTION

ISSUANCES AND RENEWALS OF SECURITIES (in thousands of dollars):

Company Issuing	Type of security	Principal amount of	Issue or	Cost of	Person to whom security	Collateral given with	Consideration received for each	
security	issued	security	renewal	capital	was issued	security	security	
Vool Energy Dateil	ST Debt	\$ 15,247	Renewal	2.97%	Dianaray International Inc	None	\$ 15,247	
Xcel Energy Retail		. ,			Planergy International Inc.			
Xcel Energy Retail	ST Debt	855	Renewal	2.97%	Cadence Network Inc.	None	855	
e prime Energy Marketing	ST Debt	5,612	Issue	2.97%	Xcel Energy Retail	None	5,612	
Quixx Corporation	ST Debt	48,550	Renewal	2.97%	Utility Engineering Corp.	None	48,550	
Quixx Corporation	ST Debt	850	Issue	2.97%	Utility Engineering Corp.	None	850	
Utility Engineering Corp.	ST Debt	175	Renewal	2.97%	Applied Power Associates	None	175	
Utility Engineering Corp.	ST Debt	388	Issue	2.97%	Applied Power Associates	None	388	
Utility Engineering Corp.	ST Debt	2,150	Renewal	2.97%	Proto-Power Corporation	None	2,150	
Utility Engineering Corp.	ST Debt	1,500	Renewal	2.97%	Universal Utility Services	None	1,500	
Utility Engineering Corp.	ST Debt	575	Renewal	2.97%	Precision Resource Co.	None	575	
Utility Engineering Corp.	ST Debt	58,500	Renewal	2.97%	Xcel Energy Wholesale Inc.	None	58,500	
Utility Engineering Corp.	ST Debt	5,800	Issue	2.97%	Xcel Energy Wholesale Inc.	None	5,800	
e prime, Inc.	ST Debt	500	Issue	2.97%	Xcel Energy Markets Inc.	None	500	
Xcel Energy Markets Inc.	ST Debt	9,900	Issue	2.97%	Viking Gas Transmission	None	9,900	
Texas-Ohio Pipeline Inc.	ST Debt	116	Renewal	2.97%	Xcel Energy Ventures Inc.	None	116	
XERS Inc.	ST Debt	2,695	Renewal	2.97%	Xcel Energy Retail	None	2,695	
XERS Inc.	ST Debt	2,645	Issue	2.97%	Xcel Energy Retail	None	2,645	

CAPITAL CONTRIBUTIONS (in thousands of dollars):

Company	Company	Amount of		
Contributing	Receiving	Capital		
Capital	Capital	Contribution		
NSP-Minnesota	Private Fuel Storage	\$	3,375	
NSP Nuclear Corporation	Nuclear Management Company, LLC	\$	25	

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#### Item 3. ASSOCIATED TRANSACTIONS

Part I. Transactions performed by reporting companies on behalf of associate companies (in thousands of dollars).

Reporting Company Rendering Services	Associate Company Receiving Services	Types of Services Rendered	Direct Costs Charged	Indirect Costs Charged	Cost of Capital	Total Amount Billed
Utility Engineering Corp.	PSCo	Engineering/Utility	\$ 51,584			\$ 51,584
Utility Engineering Corp.	Southwestern Public Service	Professional Services Engineering/Utility	9,005			9,005
Utility Engineering Corp.	Co. Xcel Energy Services Inc.	Professional Services Engineering/Utility	1,032			1,032
Utility Engineering Corp.	Northern States Power	Professional Services Engineering/Utility	5,591			5,591
Utility Engineering Corp.	Company Xcel International	Professional Services Engineering/Utility	228			228
e prime Inc.	Southwestern Public Service	Professional Services Gas purchased	10,950			10,950
e prime Inc.	Co. Northern States Power	Gas purchased	2,665			2,665
e prime Inc.	Company NRG Energy Inc.	Gas purchased	19,482			19,482
Viking Gas Transmission Co.	NSP-Minnesota	Gas transportation services	1,257			1,257
Viking Gas Transmission Co.	NSP-Wisconsin	Gas transportation services	600			600
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Part II. Transactions performed by associate companies on behalf of reporting companies (in thousands of dollars).

Reporting Company Rendering Services	Associate Company Receiving Services	Types of Services Rendered	Direct Costs Charged	Indirect Costs Charged	Cost of Capital	Total Amount Billed	
Cadence Network Inc.	Xcel Energy Services Inc.	Administrative support; mgmt. Services	\$ 1	\$		\$ 1	
Planergy International Inc.	Xcel Energy Services Inc.	Administrative support; mgmt services	110	194	6	310	
Quixx Linden LP	Quixx Power Services	Power plant operating management fee and expenses	1,085			1,085	
Quixx Linden LP	Quixx Corporation	Partnership management fee	75			75	
Utility Engineering Corporation	Xcel Energy Services Inc.	Administrative support; mgmt services	663	996	112	1,771	
e prime Inc.	Xcel Energy Services Inc.	Administrative support; mgmt services	644	228	3	875	
e prime Inc.	PSCo	Gas transportation services	178			178	
e prime Inc.	PSCo	Compressor-Usage	63			63	
NRG Energy Inc.	Xcel Energy Services Inc.	Administrative support; mgmt services	1,511	3,306	3	4,820	
XERS Inc.	Xcel Energy Services Inc.	Administrative support; mgmt services	1,962	60	1	2,023	
Energy Masters International	Xcel Energy Services Inc.	Administrative support; mgmt services	298			298	
e prime Energy Marketing Inc.	Xcel Energy Services Inc.	Administrative support; mgmt services	85	4		89	
Viking Gas Transmission Co.	Xcel Energy Services Inc.	Administrative support; mgmt services	385	235	1	621	
e prime Florida	Xcel Energy Services Inc.	Administrative support: mgmt services	1			1	
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#### Item 4. SUMMARY OF AGGREGATE INVESTMENT

Investments in energy-related companies:		(in thousands)			
Total consolidated capitalization as of September 30, 2002	\$	22,262,244			Line 1
Total capitalization multiplied by 15% (Line 1 multiplied by 0.15)		3,339,337			Line 2
Greater of \$50 million or line 2 Total current aggregate investment: (categorized by major line of energy-related business)			\$	3,339,337	Line 3
Energy management services (Category I)  Compressed natural gas services (Category III)		90,382 101			
Brokering/Marketing services (Category V) Utility engineering/construction services (Category VII) Developing/Ownership QF s (Category VIII)*		337,319 171,832 2,470,551			
Total current aggregate investment		_		3,070,185	Line 4
Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (Line 3 less Line 4)			\$	269,152	Line 5
Investments in gas-related companies:	(iı	n thousands)			
Total current aggregate investment: (categorized by major line of gas-related business) Section 2(a) gas-related activities (Category I)		87,797			
Total current aggregate investment			\$	87,797	
*Includes \$2,467.1 million of aggregated investment by Xcel Energy in NRG Energy	gy				
(a) Aggregate investment includes guarantees.					
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Item 5. OTHER INVESTMENTS (in thousands)

Major Line	Inv	Other estment in		Other estment in	Reason for Difference	
of Energy-Related		Last		This	in Other	
Business (a)	U-90	C-3 Report	U-90	C-3 Report	Investment	
Brokering/Marketing services	\$	27,199	\$	27,199	N/A	
Engineering and related services	\$	11,463	\$	11,463	N/A	
Developing/Ownership QF s	\$	85,713	\$	85,713	N/A	

<sup>(</sup>a) Investments for these reporting companies, prior to March 23, 1997, were excluded from aggregate investments in Items 4. These reporting companies investments were subsidiaries, directly or indirectly, of Xcel Energy Inc.

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#### Item 6. FINANCIAL STATEMENTS AND EXHIBITS

#### A. Financial Statements:

Exhibit A-1 Financial statements of XERS, Inc. for the three and nine months ended September 30, 2002 filed under confidential treatment pursuant to Rule 104(b).

Exhibit A-2 Financial statements of Cadence Network Inc. for the three and nine months ended September 30, 2002 filed under confidential treatment pursuant to Rule 104(b).

Exhibit A-3 Consolidated financial statements of Planergy International Inc. and subsidiaries for the three and nine months ended September 30, 2002 - filed under confidential treatment pursuant to Rule 104(b).

Exhibit A-4 Financial statements of e prime Energy Marketing Inc. for the three and nine months ended September 30, 2002 filed under confidential treatment pursuant to Rule 104(b).

Exhibit A-5 Financial statements of e prime Florida Inc. for the three and nine months ended September 30, 2002 filed under confidential treatment pursuant to Rule 104(b).

Exhibit A-6 Financial statements of Texas-Ohio Pipeline Inc. for the three and nine months ended September 30, 2002 filed under confidential treatment pursuant to Rule 104(b).

Exhibit A-7 Consolidated financial statements of James River Cogeneration Co. and subsidiaries for the three and nine months ended September 30, 2002 - filed under confidential treatment pursuant to Rule 104(b).

Exhibit A-8 Financial statements of NRG Woodland Operations LLC for the three and nine months ended September 30, 2002- filed under confidential treatment pursuant to Rule 104(b).

Exhibit A-9 Consolidated financial statements of NRG Power Marketing Inc. and subsidiaries for the three and nine months ended September 30, 2002 filed under confidential treatment pursuant to Rule 104(b).

Exhibit A-10 Consolidated financial statements of NRG PacGen Inc. and subsidiaries for the three and nine months ended September 30, 2002 filed under confidential treatment pursuant to Rule 104(b).

Exhibit A-11 Consolidated financial statements of Graystone Corporation and subsidiaries for the three and nine months ended September 30, 2002 filed under confidential treatment pursuant to Rule 104(b).

Exhibit A-12 Consolidated financial statements of NRG Cadillac Inc. and subsidiaries for the three and nine months ended September 30, 2002 filed under confidential treatment pursuant to Rule 104(b).

Exhibit A-13 Consolidated financial statements of O Brien Cogeneration Inc. II and subsidiaries for the three and nine months ended September 30, 2002 - filed under confidential treatment pursuant to Rule 104(b).

Exhibit A-14 Consolidated financial statements of NEO Corporation and subsidiaries for the three and nine months ended September 30, 2002 filed under confidential treatment pursuant to Rule 104(b).

Exhibit A-15 Consolidated financial statements of NRG Thermal Corporation and subsidiaries for the three and nine months ended September 30, 2002 filed under confidential treatment pursuant to Rule 104(b).

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#### Item 6. FINANCIAL STATEMENTS AND EXHIBITS (continued)

Exhibit A-16 Consolidated financial statements of NRG Capital LLC and subsidiaries for the three and nine months ended September 30, 2002 filed under confidential treatment pursuant to Rule 104(b).

Exhibit A-17 Confidential financial statements of Utility Engineering Corporation and subsidiaries for the three and nine months ended September 30, 2002 filed under confidential treatment pursuant to Rule 104(b).

Exhibit A-18 Financial statements of Quixx Linden LP for the three and nine months ended September 30, 2002 filed under confidential treatment pursuant to Rule 104(b).

Exhibit A-19 Consolidated financial statements of e prime Inc. and subsidiaries for the three and nine months ended September 30, 2002 filed under confidential treatment pursuant to Rule 104(b).

Exhibit A-20 Financial statements of Johnstown Cogeneration Company LLC for the three and nine months ended September 30, 2002 filed under confidential treatment pursuant to Rule 104(b).

Exhibit A-21 Financial statements of Viking Gas Transmission Company for the three and nine months ended September 30, 2002 filed under confidential treatment pursuant to Rule 104(b).

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#### **SIGNATURE**

The undersigned registered holding company has duly caused this quarterly report to be signed on its behalf by the undersigned officer thereunto duly authorized pursuant to the requirements of the Public Utility Holding Company Act of 1935.

Xcel Energy Inc.

/s/ BENJAMIN G. FOWKE III

Benjamin G. Fowke III Vice President and Treasurer

November 29, 2002

With the above signature, I also certify that the corresponding report on Form U-9C-3 for the third quarter of 2002 was filed with Xcel Energy Inc. s interested state commissions whose names and addresses are listed below.

Public Utilities Commission of the State of Colorado 1580 Logan Street Denver, CO 80203

Public Service Commission of Wyoming Hansen Building, Suite 300 2515 Warren Avenue Cheyenne, WY 82002

Public Utility Commission of Texas 1701 N. Congress Avenue Austin, Texas 78711

New Mexico Public Regulation Commission 224 E. Palace Avenue Santa Fe, NM 87503

Kansas Corporation Commission 1500 SW Arrowhead Road Topeka, KS 66604

Oklahoma Corporation Commission 2101 N. Lincoln Blvd., Suite 130 Oklahoma City, OK 73105

Minnesota Public Utilities Commission 121 E. 7th Place, Suite 350 St. Paul, MN 55101

North Dakota Public Service Commission 600 East Blvd Bismarck, ND 58505 South Dakota Public Utilities Commission 500 E. Capitol Ave. Pierre, SD 57501

Public Service Commission of Wisconsin 610 North Whitney Way Madison, WI 53707

Michigan Public Service Commission 6545 Mercantile Way, Suite 7 Lansing, MI 48911

Arizona Corporation Commission 1200 West Washington Phoenix, AZ 85007

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