Edgar Filing: PATRIOT NATIONAL BANCORP INC - Form 8-K

PATRIOT NATIONAL BANCORP INC Form 8-K June 21, 2011

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 8-K CURRENT REPORT

Pursuant to Section 13 OR 15(d) of The Securities Exchange Act of 1934 Date of Report (Date of earliest event reported): June 20, 2011 PATRIOT NATIONAL BANCORP, INC.

(Exact name of registrant as specified in its charter)

Connecticut 000-29599 06-1559137

(State or other jurisdiction of incorporation)

(Commission File Number)

(IRS Employer Identification No.)

900 Bedford Street, Stamford, Connecticut

06901

(Address of principal executive offices)

(Zip Code)

Registrant s telephone number, including area code: (203) 324-7500

Not Applicable

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Edgar Filing: PATRIOT NATIONAL BANCORP INC - Form 8-K

Item 8.01. Other Events.

On June 20, 2011, Patriot National Bancorp, Inc. (Patriot) issued a press release announcing that it had completed its operational restructuring phase. The 180-day recovery plan was designed and executed by Patriot s new management and was aimed at restoring health and stability to Patriot. A copy of Patriot s press release is attached to this report as Exhibit 99.1 and incorporated herein by reference.

Item 9.01. Financial Statements and Exhibits

(d) Exhibits.

Exhibit No. Description

99.1 Patriot National Bancorp, Inc., Press Release, issued June 20, 2011.

Edgar Filing: PATRIOT NATIONAL BANCORP INC - Form 8-K

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

PATRIOT NATIONAL BANCORP, INC.

Date: June 20, 2011 By: /s/ Robert F. O Connell

Robert F. O Connell Senior Executive Vice President and Chief Financial Officer