### Edgar Filing: Lee Yongsam - Form 4

37

	111									
Form 4	2 2017									
November 0										
FORM 4 UNITED STATES SECURITIES AND					D FYCHANGE COMMISSION			OMB APPROVAL		
Chaols th			ashington			HUE C		OMB Number:	3235-0287	
Check th if no lon	ger							Expires:	January 31, 2005	
subject t Section Form 4 c	6. <b>STATEN</b>	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP O SECURITIES							verage rs per 0.5	
Form 5 obligatio may con <i>See</i> Instr 1(b).	tinue. Section 17(	suant to Section a) of the Public 30(h) of the	Utility Ho	lding Co	mpar	ny Act of	1935 or Section	I		
(Print or Type	Responses)									
1. Name and Address of Reporting Person <u>*</u> Lee Yongsam							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (M	Middle) 3. Date	of Earliest 7	Fransaction			(Cheek	an applicable	)	
C/O MASII DISCOVEI	MO CORPORAT RY		/Day/Year) /2017				Director X Officer (give below) E		Owner r (specify	
(Street)							6. Individual or Joint/Group Filing(Check Applicable Line)			
IRVINE, C	A 92618						_X_ Form filed by O Form filed by Mo Person			
(City)	(State)	(Zip) Ta	ble I - Non-	Dorivativa	Secu	rities A cau	ured Disposed of	or Bonoficial	v Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transacti Code	Transaction Disposed of (D) Code (Instr. 3, 4 and 5)				6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	11/01/2017		М	5,000	А	\$ 30.79	74,000	D		
Common Stock	11/01/2017		S <u>(1)</u>	5,000	D	\$ 89.8909 (2)	69,000	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: Lee Yongsam - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	ative Expiration Date s (Month/Day/Year) d		7. Title and Ame Underlying Secu (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Ai or Ni of Sh
Non-Qualified Stock Option (Right to Buy)	\$ 30.79	11/01/2017		М	5,000	02/07/2009(3)	02/07/2018	Common Stock	5

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
, e e	Director	10% Owner	Officer	Other		
Lee Yongsam C/O MASIMO CORPORATION 52 DISCOVERY IRVINE, CA 92618			EVP & CIO			
Signatures						
/s/ David J. Van Ramshorst, Attorney-In-Fact		11/03/2017				
**Signature of Reporting Person		D	ate			

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The shares covered by this Form 4 were exercised and sold pursuant to a Rule 10b5-1 Sales Plan dated as of August 21, 2017, which is intended to comply with Rule 10b5-1 promulgated under the Securities Exchange Act of 1934, as amended.

The price reported in Column 4 represents the weighted average sale price per share. The actual sale prices ranged from a low of \$89.02(2) per share to a high of \$90.68 per share. The reporting person hereby undertakes to provide upon request to the SEC staff, the, issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

(3) This option was granted on February 7, 2008 and is exercisable as the option vests. This option vests over a five year period, with 20% of the shares subject to the option vesting on each anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.