

MUTUALFIRST FINANCIAL INC  
 Form 4  
 July 05, 2017

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BOTTS PATRICK C**

2. Issuer Name and Ticker or Trading Symbol  
**MUTUALFIRST FINANCIAL INC [MFSF]**

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
**07/03/2017**

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**President & COO**

**MUTUALFIRST FINANCIAL, INC., 110 EAST CHARLES STREET**

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**MUNCIE, IN 47305-2400**

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	Price		
Common Stock	07/03/2017		M		965	\$ 25.66	23,284	D
Common Stock	07/03/2017		S <sup>(5)</sup>		31	\$ 35	23,253	D
Common Stock	07/03/2017		S <sup>(5)</sup>		934	\$ 35.3829	22,319	D
Common Stock							12,363.191	I
							1,360	I

By ESOP  
(1)

Common Stock							By Spouse's IRA
Common Stock				200		I	By UTMA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. F Der Sec (Ins	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Purchase)	\$ 6.93					(2)	12/31/2021	Common Stock	15,000
Stock Option (Right to Purchase)	\$ 7.05					(2)	12/21/2025	Common Stock	40,000
Stock Option (Right to Purchase)	\$ 14.5					(3)	01/12/2011	Common Stock	7,800
Stock Option (Right to Purchase)	\$ 25.66	07/03/2017		M	965	(4)	12/17/2018	Common Stock	965

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

