IMPERIAL CAPITAL BANCORP, INC.

Form 4 June 05, 2008

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

Common

Stock

06/04/2008

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading HALIGOWSKI GEORGE W Issuer Symbol IMPERIAL CAPITAL BANCORP, (Check all applicable) INC. [IMP] 10% Owner (Last) (First) (Middle) 3. Date of Earliest Transaction _X__ Director X_ Officer (give title Other (specify (Month/Day/Year) below) C/O IMPERIAL CAPITAL 06/04/2008 President and CEO BANCORP, INC., 888 PROSPECT STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting LA JOLLA, CA 92037 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 7. Nature of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of Transaction Disposed of (D) Securities Ownership Indirect Security (Month/Day/Year) Execution Date, if (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) (Instr. 3 and 4) Code V Amount (D) Price By Def. Common 06/04/2008 400 (1) I Α \$ 10.47 126,516 I Comp. Stock Plan By Def. Common 06/04/2008 I 700⁽¹⁾ A \$ 10.48 127,216 I Comp. Stock Plan

500 (1) A

\$ 10.49

127,716

Ι

Ι

By Def.

Comp.

Plan

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Common Stock	06/04/2008	I	3,476 (1)	A	\$ 10.5	131,192	I	By Def. Comp. Plan
Common Stock	06/04/2008	I	1,400 (1)	A	\$ 10.55	132,592	I	By Def. Comp. Plan
Common Stock	06/04/2008	I	1,400 (1)	A	\$ 10.5557	133,992	I	By Def. Comp. Plan
Common Stock	06/04/2008	I	224 (1)	A	\$ 10.64	134,216	I	By Def. Comp. Plan
Common Stock						169,059	I	By SERP
Common Stock						300	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secur (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 30.75					<u>(2)</u>	08/08/2017	Common Stock	25,000	
Stock Option (Right to Buy)	\$ 48.46					12/19/2005	12/19/2015	Common Stock	50,000	

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Stock Option (Right to Buy)	\$ 14	(3)	02/02/2009	Common Stock	10,000
Stock Option (Right to Buy)	\$ 23	<u>(4)</u>	02/19/2012	Common Stock	37,500

Reporting Owners

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
HALIGOWSKI GEORGE W C/O IMPERIAL CAPITAL BANCORP, INC. 888 PROSPECT STREET LA JOLLA, CA 92037	X		President and CEO			

Signatures

/s/ George W.
Haligowski

**Signature of Reporting Person

Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents acquisition of shares under the Issuer's Non-Qualified Employer Securities Deferred Compensation Plan.
- (2) Vesting schedule is as follows: one-third on August 8, 2008, one-third on August 8, 2009 and one-third on August 8, 2010.
- (3) One-third of the option vested on February 2, 2000, one-third vested on February 2, 2001 and one-third vested on February 2, 2002.
- (4) One-third of the option vested on February 19, 2003, one-third vested on February 19, 2004 and one-third vested on February 19, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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