GATZKE CARLA S

Form 4

August 24, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Estimated average

burden hours per

response...

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

0.5

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

+, .·

1(b).

(Print or Type Responses)

1. Name and Add GATZKE CA	_	ing Person *_	2. Issuer Name and Ticker or Trading Symbol DAKTRONICS INC /SD/ [DAKT]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				
			(Month/Day/Year)	Director 10% Owner			
201 DAKTRONICS DRIVE			08/23/2018	X Officer (give title Other (specify below) Secretary and VP of Human Reso			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person			
BROOKINGS, SD 57006				Form filed by More than One Reporting Person			

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) Beneficial Code (D) Beneficially (D) or (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common \$0 08/23/2018 M 550 A 436,578 D (1) Stock \$0 Common 08/23/2018 550 437,128 D M A Stock (1) Common \$0 08/23/2018 M 550 437,678 D A (1) Stock Common \$0 08/23/2018 M 550 A 438,228 D (1) Stock Common \$0 08/23/2018 M 550 438,778 D Α (1) Stock

Edgar Filing: GATZKE CARLA S - Form 4

Common Stock	08/23/2018	F	128	D	\$ 7.48	438,650	D
Common Stock	08/23/2018	F	128	D	\$ 7.48	438,522	D
Common Stock	08/23/2018	F	128	D	\$ 7.48	438,394	D
Common Stock	08/23/2018	F	128	D	\$ 7.48	438,266	D
Common Stock	08/23/2018	F	128	D	\$ 7.48	438,138	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Deriva Securit (Instr. :
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0	08/23/2018		M	550	(2)	(2)	Common Stock	550	\$ (
Restricted Stock Units	\$ 0	08/23/2018		M	550	(3)	<u>(3)</u>	Common Stock	550	\$ (
Restricted Stock Units	\$ 0	08/23/2018		M	550	<u>(4)</u>	<u>(4)</u>	Common Stock	550	\$ (
Restricted Stock Units	\$ 0	08/23/2018		M	550	<u>(5)</u>	<u>(5)</u>	Common Stock	550	\$ (
	\$ 0	08/23/2018		M	550	<u>(6)</u>	(6)		550	\$ (

Restricted Common Stock Stock Units

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GATZKE CARLA S 201 DAKTRONICS DRIVE BROOKINGS, SD 57006

Secretary and VP of Human Reso

Signatures

Carla S. Gatzke 08/24/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On August 23, 2018, 550 of the reporting persons restricted stock units vested, 422 shares of Common Stock were delivered, and 128 shares of Common Stock were withheld by the Company to satisfy income tax withholding obligation.
- (2) Restricted Stock Units granted on 08/22/2013 vest 20% each year for five years beginning on 08/23/2014. Vested shares will be delivered to the reporting person as soon as practicable after the date of vesting.
- (3) Restricted Stock Units granted on 09/04/2014 vest 20% each year for five years beginning on 08/23/2015. Vested shares will be delivered to the reporting person as soon as practicable after the date of vesting.
- (4) Restricted Stock Units granted on 09/03/2015 vest 20% each year for five years beginning on 08/23/2016. Vested shares will be delivered to the reporting person as soon as practicable after the date of vesting.
- (5) Restricted Stock Units granted on 09/01/2016 vest 20% each year for five years beginning on 08/23/2017. Vested shares will be delivered to the reporting person as soon as practicable after the date of vesting.
- (6) Restricted Stock Units granted on 08/31/2017 vest 20% each year for five years beginning on 08/23/2018. Vested shares will be delivered to the reporting person as soon as practicable after the date of vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3