Edgar Filing: SEVCON, INC. - Form 4

SEVCON, I	NC.									
Form 4										
February 18	, 2015									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB APPROVAL			
. 0111	• • UNITED	STATES SI	ECURITIES A Washington			NGE CO	OMMISSION	OMB Number:	3235-0287	
Check th			U	·				Expires:	January 31,	
if no lon subject t		IENT OF C	HANGES IN	BENEF	ICIA	L OWN	ERSHIP OF	Estimated average		
Section			SECURITIES					burden hours per		
Form 4 or								response	.0.5	
Form 5 obligatio			tion 16(a) of th			•				
may con			•	•	· ·		1935 or Section	l		
See Instr 1(b).	ruction	30(h) of	the Investment	t Compan	iy Ac	t of 1940)			
(Print or Type	Pasponsas)									
(I fint of Type)	(Copolises)									
Morris Ryan J. Sys						5. Relationship of Reporting Person(s) to				
			Symbol I				Issuer			
			SEVCON, INC. [SEV]				(Check all applicable)			
(Last)	(First) (I	Middle) 3.	3. Date of Earliest Transaction				, ,			
							_X_Director10% Owner			
C/O SEVCON, INC., 155 NORTHBORO ROAD			02/17/2015				Officer (give title Other (specify below) below)			
NORTHEC	ORO ROAD									
(Street)			4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
		Fil	ed(Month/Day/Yea	ur)			Applicable Line)	na Danastina Da	***	
SOUTURO	ROUGH, MA 01	770					_X_ Form filed by O Form filed by M			
30011100		112					Person			
(City)	(State)	(Zip)	Table I - Non-l	Derivative	Secur	ities Acqu	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of	2. Transaction Date		3.				5. Amount of Securities	6.	7. Nature of	
Security	(Month/Day/Year)	Execution Da		Code (Instr. 3, 4 and 5)				Ownership	Indirect	
(Instr. 3)		any (Month/Day/						Form: Direct (D)	Beneficial Ownership	
		(· · · · · · · · · · · · · · · · · · ·	, , ,				Following	or Indirect	(Instr. 4)	
					(A)		Reported	(I) (I. (I. (I. (I. (I. (I. (I. (I. (I. (I.		
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
_			Code V	mount	(D)	11100			By Meson	
Common	02/17/2015		Р	15,462	А	\$ 7.1646	37,650	Ι	Capital	
Stock						/.1646			L.P. <u>(1)</u>	
Common										
Stock							6,000	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: SEVCON, INC. - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Derivative	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. F Der Sec (Ins
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Series A Convertible Preferred Stock	\$ 8	02/17/2015		Р	500	02/17/2015	(2)	Common Stock	1,500	\$
Series A Convertible Preferred Stock	\$8					09/08/2014	(2)	Common Stock	1,014	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Morris Ryan J. C/O SEVCON, INC. 155 NORTHBORO ROAD SOUTHBOROUGH, MA 01772	Х					
Signatures						
/s/ Raymond J. Thibault Attorney-In-Fact	02/18/2015					
Signature of Reporting Person		Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the (1) inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all the reported shares for purposes of
- Section 16 or for any other purpose.
- (2) The Series A Convertible Preferred Stock has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Edgar Filing: SEVCON, INC. - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.