#### Edgar Filing: MONRO MUFFLER BRAKE INC - Form 4

MONRO MUFFLER BRAKE INC Form 4 February 13, 2017 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Pickens Raymond L Issuer Symbol MONRO MUFFLER BRAKE INC (Check all applicable) [MNRO] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) 200 HOLLEDER PARKWAY 02/09/2017 Divisional Vice President (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ROCHESTER, NY 14615 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature 6. Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership of Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Ownership Owned Direct (D) (Instr. 8) Following or Indirect (Instr. 4) Reported  $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price HOLDINGS 687 D Common 02/09/2017 02/09/2017 M 1,000 Α \$ 30.63 1.687 D Stock \$ Common 58.8999 02/09/2017 02/09/2017 S 1.000 D 687 D Stock (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

### Edgar Filing: MONRO MUFFLER BRAKE INC - Form 4

#### number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)		, ,	te	7. Title and of Underly Securities (Instr. 3 an	ing	8. Pri Deriv Secur (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Options (Right to Buy)	\$ 30.63	02/09/2017	02/09/2017	М	250	) 05/11/2012	05/10/2017	Stock Options	250	\$ 30
Options (Right to Buy)	\$ 30.63	02/09/2017	02/09/2017	М	250	) 05/11/2013	05/10/2017	Stock Options	250	\$ 3(
Options (Right to Buy)	\$ 30.63	02/09/2017	02/09/2017	М	250	) 05/11/2014	05/10/2017	Stock Options	250	\$ 30
Options (Right to Buy)	\$ 30.63	02/09/2017	02/09/2017	М	250	) 05/11/2015	05/10/2017	Stock Options	250	\$ 3(

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>			Relationships		
	Director	10% Owner	Officer	Other	
Pickens Raymond L 200 HOLLEDER PARKWAY ROCHESTER, NY 14615			Divisional Vice President		
Signatures					

### Signatures

/s/ Raymond L. Pickens	02/13/2017		
<u>**</u> Signature of Reporting Person	Date		

## **Explanation of Responses:**

being reported on this Form 4.

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$58.855 to \$58.925, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price for the transactions

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.