MCKENNA MICHAEL J

Form 4

August 16, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person * MCKENNA MICHAEL J

2. Issuer Name and Ticker or Trading Symbol

INTRICON CORP [IIN]

(Month/Day/Year) 08/14/2018

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

3. Date of Earliest Transaction

_X__ Director Officer (give title below)

10% Owner Other (specify

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

C/O INTRICON CORPORATION, 1260 RED FOX **ROAD**

(First)

(Street)

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

ARDEN HILLS, MN 55112

(City)	(State) ((Zip) Tabl	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securitie Transaction(A) or Disp Code (Instr. 3, 4 a			of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A) or		Transaction(s) (Instr. 3 and 4)		
Common Stock	08/14/2018		Code V M	Amount 12,000 (1)	(D)	Price \$ 3.03	78,009	D	
Common Stock	08/14/2018		F	531 (1)	D	\$ 68.6	77,478	D	
Common Stock	08/14/2018		M	12,000 (3)	A	\$ 4	89,478	D	
Common Stock	08/14/2018		F	700 (3)	D	\$ 68.6	88,778	D	
Common Stock	08/14/2018		M	12,000 (4)	A	\$ 4.1	100,778	D	

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Common Stock	08/14/2018	F	718 (4)	D	\$ 68.6	100,060	D
Common Stock	08/14/2018	M	12,000 (5)	A	\$ 4.53	112,060	D
Common Stock	08/14/2018	F	793 (5)	D	\$ 68.6	111,267	D
Common Stock	08/14/2018	M	8,000 (6)	A	\$ 5.85	119,267	D
Common Stock	08/14/2018	F	683 <u>(6)</u>	D	\$ 68.6	118,584	D
Common Stock	08/14/2018	M	2,945 (7)	A	\$ 6.82	121,529	D
Common Stock	08/14/2018	F	293 (7)	D	\$ 68.6	121,236	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of of Date Expiration Securities (Month/Date Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase - Common Stock	\$ 3.03	08/14/2018		M	12,000	(2)	04/15/2019	Common Stock	12,000
Option to Purchase - Common Stock	\$ 4	08/14/2018		M	12,000	(2)	04/21/2020	Common Stock	12,000
	\$ 4.1	08/14/2018		M	12,000	(2)	04/24/2023		12,000

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Option to Purchase - Common							Common Stock	
Stock								
Option to Purchase - Common Stock	\$ 4.53	08/14/2018	М	12,000	<u>(2)</u>	04/27/2021	Common Stock	12,000
Option to Purchase - Common Stock	\$ 5.85	08/14/2018	М	8,000	(2)	04/28/2026	Common Stock	8,000
Option to Purchase - Common Stock	\$ 6.82	08/14/2018	М	2,945	(2)	04/23/2024	Common Stock	2,945

Reporting Owners

Reporting Owner Name / Address	Relationships							
. 0	Director	10% Owner	Officer	Other				
MCKENNA MICHAEL J C/O INTRICON CORPORATION 1260 RED FOX ROAD ARDEN HILLS, MN 55112	X							

Signatures

/s/ Scott Longval, attorney-in-fact 08/16/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) "Cashless Exercise" Resulting in Net Acquisition of 11,469 Shares.
- (2) This option is Fully Vested and May BE Exercised Immediately.
- (3) "Cashless Exercise" Resulting in Net Acquisition 11,300 Shares.
- (4) "Cashless Exercise" Resulting in Net Acquisition of 11,282 Shares.
- (5) "Cashless Exercise" Resulting in Net Acquisition of 11,207 Shares.
- (6) "Cashless Exercise" Resulting in Net Acquisition of 7,317 Shares.
- (7) "Cashless Exercise" Resulting in Net Acquisition of 2,652 Shares.

Reporting Owners 3

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.