Samuels Eric Form 4 December 07, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

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if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Last)

(Print or Type Responses)

EASTMAN KODAK

1. Name and Address of Reporting Person * Samuels Eric

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

EASTMAN KODAK CO [KODK]

3. Date of Earliest Transaction

(Check all applicable)

(Month/Day/Year)

12/04/2018

Director 10% Owner X_ Officer (give title Other (specify

below) below)

CAO and Corp. Controller

COMPANY, 343 STATE STREET (Street)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

3.

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

ROCHESTER, NY 14650

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

4. Securities

5. Amount of 6. Ownership 7. Nature of Securities Beneficially (D) or Owned Following Reported

Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) Transaction(s) or

(Instr. 3 and 4) Code V Amount (D) Price

Common Stock, par

D 12,890

value \$.01

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0 (1)					<u>(1)</u>	09/03/2019	Common Stock, par value \$.01	3,210
Restricted Stock Units	\$ 0 (2)					<u>(2)</u>	03/21/2020	Common Stock, par value \$.01	3,004
Restricted Stock Units	\$ 0 (3)	12/04/2018		A	12,821	(3)	09/03/2021	Common Stock, par value \$.01	12,821
Stock Option (Right to Buy)	\$ 23.78					<u>(4)</u>	09/02/2021	Common Stock, par value \$.01	19,012
Stock Option (Right to Buy)	\$ 13.76					<u>(5)</u>	09/02/2022	Common Stock, par value \$.01	26,087
Stock Option (Right to Buy)	\$ 15.58					<u>(6)</u>	09/02/2023	Common Stock, par value \$.01	25,467
Stock Option (Right to Buy)	\$ 11.1					<u>(7)</u>	03/20/2024	Common Stock, par value \$.01	11,161
Stock Option (Right to Buy)	\$ 12.5					(8)	09/13/2024	Common Stock, par value \$.01	101,523
Stock Option (Right to Buy)	\$ 3.9	12/04/2018		A	20,326	<u>(9)</u>	12/03/2025	Common Stock, par value \$.01	20,326

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Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Samuels Eric EASTMAN KODAK COMPANY 343 STATE STREET ROCHESTER, NY 14650

CAO and Corp. Controller

Signatures

/s/ Sharon E. Underberg, Attorney-in-fact for Eric H. Samuels

12/07/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on each of the first three anniversaries of the 9/3/2016 grant date.
- These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on each of the first three anniversaries of the 3/21/2017 grant date.
- These restricted stock units, which convert into common stock on a one-for-one basis, were granted under the Company's 2013 Omnibus
- (3) Incentive Plan, as amended, in a transaction exempt under Rule 16b-3 and, except as otherwise provided in the award notice, vest one-third on 9/3/2019 and one-third on each of the first two anniversaries of such date.
- (4) This option vests one-third on each of the first three anniversaries of the 9/3/2014 grant date.
- (5) This option vests one-third on each of the first three anniversaries of the 9/3/2015 grant date.
- (6) This option vests one-third on each of the first three anniversaries of the 9/3/2016 grant date.
- (7) This option vests one-third on each of the first three anniversaries of the 3/21/2017 grant date.
- (8) This option vests one-third on each of the first three anniversaries of the 9/14/2017 grant date.
 - This option was granted under the Company's 2013 Omnibus Incentive Plan, as amended, in a transaction exempt under Rule 16b-3 and,
- (9) except as otherwise provided in the award notice, vests one-third on 9/3/2019 and one-third on each of the first two anniversaries of such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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