SIMS LUKE E

Form 4

September 25, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Estimated average

burden hours per

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

0.5

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person **
SIMS LUKE E

2. Issuer Name **and** Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

EAGLE CAPITAL GROWTH FUND, INC. [GRF]

(Check all applicable)

(Last)

(First) (Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

__X__ Director __X__ Officer (give title

_____ 10% Owner e _____ Other (specify

777 E WISCONSIN AVE, STE 3700 09/24/2012

below) below)
President and CEO

(Street) 4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)
X Form filed by One Reporting Person

___ Form filed by More than One Reporting

Person

MILWAUKEE, WI 53202

(City)	(State) (Z	Zip) Table	e I - Non-D	erivative (Secur	ities Acq	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. 4. Securities Transaction(A) or Dispos Code (D)			d of	Securities Beneficially	6. Ownership Form: Direct (D) or	Indirect Beneficial	
		(Month/Day/Year)	(Instr. 8) Code V	(Instr. 3,	(A) or	ŕ	Owned Following Reported Transaction(s) (Instr. 3 and 4)	Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Common Stock	09/24/2012		P P	Amount 417	(D)	Price \$ 6.75	274,172	D	
Common Stock	09/24/2012		P	100	A	\$ 6.81	274,272	D	
Common Stock	09/24/2012		P	1,000	A	\$ 6.87	275,272	D	
Common Stock	09/24/2012		P	6,000	A	\$ 6.89	281,272	D	
Common Stock	09/24/2012		P	900	A	\$ 6.9	282,172	D	

Edgar Filing: SIMS LUKE E - Form 4

Common Stock	09/24/2012	P	1,000	A	\$ 6.91	283,172	D	
Common Stock	09/24/2012	P	500	A	\$ 6.93	283,672	D	
Common Stock						11,389 (1)	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	Amount of		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
					4, and 5)						
				Code V	(A) (D)	Date Exercisable	Expiration Date	or Title No	umber		

Reporting Owners

Reporting Owner Name / Address	Relationships						
Topolonig o milet i tume (i tume oso	Director	10% Owner	Officer	Other			
SIMS LUKE E 777 E WISCONSIN AVE STE 3700 MILWAUKEE, WI 53202	X		President and CEO				
Signatures							

/s/ Fred B. Green, as 09/25/2012 attorney-in-fact Date

**Signature of Reporting Person

Reporting Owners 2 Edgar Filing: SIMS LUKE E - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for the purpose of Section 16 or otherwise.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.