Shelly Kirsten L Form SC 13G/A February 12, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SCHEDULE 13G/A (Amendment No. 2)

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT TO RULES 13d-1(b) AND (c) AND AMENDMENTS THERETO FILED PURSUANT TO 13d-2(b)

### ECOLOGY AND ENVIRONMENT, INC.

(Name of Issuer)

Class A Common Stock
(Class B Common Stock is Convertible into Class A Common Stock on a one for one basis)
(Title of Class of Securities)

278878 10 3 (CUSIP Number)

### December 31, 2012

(Date of Event Which Requires Filing of this Statement)

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

### CUSIP NO.: 278878 10 3

Name

1. Kirsten Shelly Reporting Persons.

Check

the

Appropriate

Box

2. Not Applicable.

Member

of

Group.

Securities

and

3. Exchange Commission

use

only

Citizenship

4. Planited States

of

Organization.

NUMBER OF

**SHARES** 

**BENEFICIALLY** 

OWNED BY

REPORTING

PERSON WITH:

Sole

5. Vdtissg $A^{(2)}$  Class  $B^{(1)}$ 

Power

121,878 115,558

Shared

6. VdtissgA Class B

Power

-0--0-

7. Solass A<sup>(2)</sup> Class B

Dispositive

### Edgar Filing: Shelly Kirsten L - Form SC 13G/A

Power

6,320 -0-

Shared

8. Dispossitive Class B (1)

Power<sup>(4)</sup>

115,558 115,558

- (1) Class B Stock is convertible into Class A Stock on a one-for-one basis.
- (2) Includes 2 shares of Class A Common Stock owned by a Partnership in which Ms. Shelly is a general partner. Does not include any shares of Common Stock owned by Ms. Shelly's spouse.
- (3) Does not include 3,400 shares of Class A Common Stock held in equal amounts by Ms. Shelly as Custodian for her two children, as to which she disclaims beneficiary ownership

Messrs. Gerhard J. Neumaier, Silvestro, Frank and Strobel entered into a Stockholders' Agreement dated May 12, 1970, as amended January 24, 2011, which governs the sale of certain shares of common stock owned by them and the children of those individuals. The Agreement provides that prior to accepting a bona fide offer to purchase the certain covered part of their shares, each party must first allow the other members to the Agreement the opportunity to acquire on a pro rata basis, with right of over-allotment, all of such shares covered by the offer on the same terms and conditions proposed by the offer.

9. Aggregate Amount Beneficially Owned by Reporting Person: Class A<sup>(2)(3)</sup> Class B

121,878 115, 558

10. Check box if the aggregate amount in Row 9 excludes certain shares \*

11. Percent of Class Represented by Amount in Row 9: Class A Class B

Common Stock<sup>(2)(3)</sup> Common Stock

4.5% 7.0%

12. Type of Reporting Person: Individual

# SECURITIES AND EXCHANGE COMMISSION Washington, D.C.

### Schedule 13G

Under the Securities Exchange Act of 1934

Item 1(a):	Name of Issuer:	Ecology and Environment, Inc.
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Item 1(b):	Address of Issuer's Principal Executive Offices:	368 Pleasant View Drive Lancaster, New York
	Offices:	

	Name of	
Item 2(a):	Person	Kirsten Shelly
	Filing:	

Item 2(b):	Address of	368 Pleasant
	Principal	View Drive
	Business	Lancaster, New
	Office:	York

Item 2(c): Citizenship: United States

Class A
Common Stock
(Class B

basis)

Title of Common Stock
Item 2(d): Class of is convertible
Securities: into Class A
Stock on a
one-for-one

Item 2(e): CUSIP Number: 278878 10 3

Item 3: Not Applicable

Amount Beneficially

Item 4(a): Owned: (1) Class A Class B

(2) (3) (4) (5) (6)

### Edgar Filing: Shelly Kirsten L - Form SC 13G/A

121,878 115,558

Item 4(b): Percent of

Class:

Class A Class B

4.5% 7.0%

Sole Power

Item 4(c)(i): to Vote or to

to Vote or to Class A Class B

vote:

121,878 115,558

Shared

Power to

Item 4(c)(ii): Vote or to Class A Class B

direct the vote:

-0-

Sole Power to dispose or

Item 4(c)(iii): to direct the Class A Class B

disposition

of:

6,320 -0-

Shared

Power to

Item 4(c)(iv): dispose or to Class A Class B

direct the disposition

of:

115,558 115,558

(1) Class B Stock is convertible into Class A Stock on a one-for-one basis.

The Filing Person is deemed to be the beneficial owner of securities that may be acquired within 60 days through the exercise of exchange or conversion rights. The shares of Class A Common Stock issuable upon conversion by any such shareholder are not included in calculating the number of shares or percentage of Class A Common Stock beneficially owned by any other shareholder. Moreover, the table does not give effect to any shares of Class A Common Stock that may be issued pursuant to the Company's Incentive Stock Option Plan, none of which have been granted to the Filing Person.

- (3) Does not include 3,400 shares of Class A Common Stock held in equal amounts by Ms. Shelly as Custodian for her two children, as to which she disclaims beneficial ownership.
- (4) Messrs. Gerhard J. Neumaier, Silvestro, Frank and Strobel entered into a Stockholders' Agreement dated May 12, 1970, as amended January 24, 2011, which governs the sale of certain shares of common stock owned by them and the children of those individuals. The Agreement provides that prior to accepting a bona fide offer to purchase the

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certain covered part of their shares, each party must first allow the other members to the Agreement the opportunity to acquire on a pro rata basis, with right of over-allotment, all of such shares covered by the offer on the same terms and conditions proposed by the offer.

- (5) There are 2,600,144 shares of Class A Common Stock outstanding and 1,643,773 shares of Class B Common Stock outstanding as of December 31, 2012.
- (6) Includes 2 shares of Class A Common Stock owned by a Partnership in which Ms. Shelly is a general partner. Does not include any shares of Common Stock owned by Ms. Shelly's spouse.

Item 5: Ownership of Five Percent or Less of a Class:

Not Applicable

Item 6: Ownership of More than Five Percent on Behalf of Another Person:

Not Applicable

Item 7: Identification and Classification of the Subsidiary Which Acquired the Security Being Reported Not on By the Parent Holding Company:

App

**Applicable** 

Not

Item 8: Identification and Classification of Members of the Group:

**Applicable** 

Item 9: Notice of Dissolution of Group:

Not **Applicable** 

Not

Item Certification: 10:

Applicable

# **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date:

February 12, 2013

Signature: /s/ Kirsten Shelly

Name/Title: Kirsten Shelly