KANSAS CITY SOUTHERN

Form 4

February 21, 2017

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|----|---|---|---|
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Check this box

if no longer

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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subject to Section 16. Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

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obligations

(Print or Type Responses)

1. Name and Address of Reporting Person * Songer Jeffrey M

(First)

(Street)

(State)

02/17/2017

KANSAS CITY SOUTHERN, PO

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(City)

(Middle)

(Zip)

KANSAS CITY SOUTHERN [KSU]

3. Date of Earliest Transaction

(Month/Day/Year) 02/17/2017

(Check all applicable) Director 10% Owner

X_ Officer (give title

below)

below) **EVP & COO**

BOX 21935

4. If Amendment, Date Original

Code V

A

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

KANSAS CITY, MO 64121

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

Amount

3,095

(1)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Other (specify

(A) (D) Transaction(s)

(Instr. 3 and 4) Price

26,947.3556

D

Common Stock

Stock

Common

A \$0 (1)

1.063

By 401(k) I & P/S Plan

SEC 1474

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | Securities | 6. Date Exer Expiration I (Month/Day | Date | 7. Title and A Underlying S (Instr. 3 and | Securities |
|---|---|---|---|---------------------------------------|------------|--|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (Right to Buy) | \$ 97.77 | | | | | (2) | 02/20/2023 | Common Stock | 393 |
| Employee Stock Option (Right to Buy) | \$ 94.23 | | | | | <u>(2)</u> | 02/19/2024 | Common Stock | 411 |
| Employee Stock Option (Right to Buy) | \$ 119.35 | | | | | (2) | 02/17/2025 | Common Stock | 1,649 |
| Employee Stock Option (Right to Buy) | \$ 82.71 | | | | | (2) | 02/18/2026 | Common Stock | 3,851 |
| Employee Stock Option (Right to Buy) | \$ 86.89 | 02/17/2017 | | A | 6,552 | (2) | 02/16/2027 | Common Stock | 6,552 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | |
|--|---------------|-----------|-----------|-------|--|
| · · · · · · · · · · · · · · · · · · · | Director | 10% Owner | Officer | Other | |
| Songer Jeffrey M KANSAS CITY SOUTHERN | | | EVP & COO | | |

Reporting Owners 2

PO BOX 21935 KANSAS CITY, MO 64121

Signatures

Julie D. Powell, Attorney-in-fact

02/21/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 334 of these shares represent performance shares that were earned on February 17, 2017 when the KCS Compensation and Organization

 Committee determined that the performance goals were met. These performance shares will not vest until February 28, 2017 contingent upon continued employment by the reporting person through that date. Until these shares vest, the reporting person does not have the right to vote, receive, or be entitled to receive, cash or non-cash dividends or any other beneficial rights as a shareholder of the Company.
- (2) These options become exercisable in three equal installments beginning on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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