Peterson Michael Form 3 December 13, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Peterson Michael

(Last)

(First) (Middle)

Statement

(Month/Day/Year)

12/11/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

SEI INVESTMENTS CO [SEIC]

4. Relationship of Reporting Person(s) to Issuer

Director

\_X\_\_ Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

1 FREEDOM VALLEY DRIVE

(Street)

(Check all applicable)

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

(give title below) (specify below) Form filed by More than One EXECUTIVE VICE PRESIDENT

10% Owner

Other

GC

Reporting Person

OAKS, X1Â 19456

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Title

5 Ownership Conversion or Exercise Form of Price of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Expiration Exercisable Date

Amount or Number of Shares

Security: Derivative Direct (D) Security or Indirect

(I)

(Instr. 5)

STOCK OPTION			STOCK				
STOCK OPTION (RIGHT TO	12/11/2025	12/11/2028	OPTION	20,000	\$ 48.47	D	Â
PURCHASE)			(RIGHT TO PURCHASE)	<u>(1)</u>			
			I UKCHASE)				

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Peterson Michael 1 FREEDOM VALLEY DRIVE OAKS, X1 19456	Â	Â	EXECUTIVE VICE PRESIDENT GC	Â	

## **Signatures**

RUTH MONTGOMERY (ATTORNEY IN FACT)

12/13/2018

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Company Grant of Non-Qualified Stock Options

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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