Dykes Dennis Form 3/A April 02, 2018

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting

Person \*

Dykes Dennis

(Last)

(Print or Type Responses)

(First)

(Street)

820 RIVERSIDE PARKWAY

(Middle)

Statement

(Month/Day/Year)

06/21/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

RiceBran Technologies [RIBT]

4. Relationship of Reporting Person(s) to Issuer

Filed(Month/Day/Year)

06/28/2017

(Check all applicable)

Director 10% Owner \_X\_\_ Officer \_ Other (give title below) (specify below) **CAO** 

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

5. If Amendment, Date Original

Form filed by More than One

Reporting Person

**WEST** SACRAMENTO. CAÂ 95605

(City) 1. Title of Security

(Instr. 4)

(State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Direct (D) or Indirect (I)

(Instr. 5)

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

Securities Underlying **Derivative Security** (Instr. 4)

4. Conversion or Exercise Price of

Derivative

5. Ownership Form of Derivative

Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Expiration

Exercisable Date

Amount or Title Number of Shares

Direct (D) Security or Indirect (I) (Instr. 5)

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Common Stock Option, right to buy $\frac{(3)}{}$	(1)	08/10/2025	Common Stock	5,000	\$ 2.97	D	Â
Common Stock Option, right to buy (3)	(2)	04/06/2027	Common Stock	20,000	\$ 0.85	D	Â

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Dykes Dennis
820 RIVERSIDE PARKWAY

Â
Â
Â
CAO Â
WEST SACRAMENTO, CAÂ 95605

### **Signatures**

Dennis Dykes 04/02/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option was vested and exercisable as to 3,058 shares as of June 21, 2017, and vests and becomes exercisable as to the remaining shares in equal monthly installments ending August 10, 2018.
- (2) The option vests and becomes exercisable in four equal annual installments ending April 6, 2021.
- (3) This Form 3/A amends the Form 3 for Mr. Dykes filed June 28, 2017, to add the options listed.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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