## Edgar Filing: Burke Kate C - Form 4

Burke Kat	e C											
Form 4 December	14 2017											
										OM	B APPRO\	/AL
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Numbe	r: 323	5-0287		
Check if no le subjec Sectio Form obliga may c <i>See</i> In 1(b).	Section Public	ANGES I SECU 1 16(a) of Utility H Investme	)F     4,	January 31 2005 Estimated average burden hours per response 0.5								
(Print or Typ	e Responses)											
1. Name and Address of Reporting Person <u>*</u> Burke Kate C			Symbo ALLI	uer Name a bl ANCEB DING L.	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
	(First) IANCEBER E OF THE A	(Middle) NSTEIN, 1345 MERICAS	3. Date (Month	e of Earlies n/Day/Yeaı	t Transacti	on		Director X Officer ( below) Head of	give tit	below	10% Owner Other (speci al and CTO	fy
(Street) NEW YORK, NY 10105				mendment, ⁄Ionth/Day/Y	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person							
(City)	(State)	(Zip)	Т	abla I Na	n Dominat	ino Sor	itica A		d of o	n Donof	Sololly Orr	ad
1.Title of Security (Instr. 3)		n Date 2A. Deeme Year) Execution any	ed Date, if	Table I - Non-Derivative Securities A         3.       4. Securities Acquired         e, if       Transaction(A) or Disposed of (D)         Code       (Instr. 3, 4 and 5)         ear)       (Instr. 8)         (A)         or         Code       V         Amount       (D)         Price		5. Amount of 6. Securities Ow Beneficially For Owned Dir Following or I Reported (I)		ership	7. Nature of Indirect Beneficial Ownership (Instr. 4)	of		
AB Holding Units (1)	12/12/2017			A	16,433 (2)	A	\$ 24.95	32,853	I		by rabbi under employe incentive compens plan	e

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC information contained in this form are not required to respond unless the form displays a currently valid OMB control

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Dat any (Month/Day/Y	ite, if	4. Transactic Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year) ve ss d		Amou Under Secur	tle and unt of erlying rities r. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Repor	rting O	wners										
Repo	rting Owner !	Name / Address					onships					
Burke Ka	Ite C	NSTEIN	Director	10% Ov	wner O	Officer				Other		

C/O ALLIANCEBERNSTEIN 1345 AVENUE OF THE AMERICAS NEW YORK, NY 10105

Head of Human Capital and CTO

# Signatures

/s/ David M. Lesser, by pwr.	12/14/2017
of att'y	12/14/2017

<u>\*\*</u>Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

(1) Units representing assignments of beneficial ownership of limited partnership interests in AllianceBernstein Holding L.P. ("AB Holding Units")

Reporting Person's 2017 long-term incentive compensation award, which was approved by the Compensation Committee of the Board on December 12, 2017, was made in the form of AB Holding Units. Reporting Person therefore may be deemed to have acquired 16,433 AB Holding Units. These AB Holding Units are held in a rabbi trust under AllianceBernstein's incentive compensation award program until

(2) Holding Units. These AD Holding Units are need in a factor dust under Amarce Deristein's incentive compensation award program until they vest and are delivered to the Reporting Person. The award vests, subject to various agreements and covenants set forth in the applicable award agreement, in equal annual increments on each of December 1, 2018, 2019, 2020 and 2021. The price set forth in the table is the closing price of an AB Holding Unit on December 12, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.