Clarke Tod A Form 4 March 20, 2019

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

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January 31, 2005

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Clarke Tod A

2. Issuer Name and Ticker or Trading

Symbol

Issuer

5. Relationship of Reporting Person(s) to

ABRAXAS PETROLEUM CORP

(Check all applicable)

[AXAS]

(Last)

(First)

(Street)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 03/19/2019

Director 10% Owner X\_ Officer (give title Other (specify

below) Vice President - Land

18803 MEISNER DRIVE

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SAN ANTONIO, TX 78258

(City)	(State) (Z	Zip) Table	I - Non-De	erivative S	Securi	ties Acq	uired, Disposed (	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	Transaction(A) or Disposed of Code (D)		Securities Form: Direct Beneficially (D) or Owned Indirect (I) Following (Instr. 4) Reported		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)		
Abraxas Petroleum Common Stock	03/19/2019		S	3,462	D	\$ 1.43	95,630	D	
Abraxas Petroleum Common Stock	03/19/2019		M	5,000	A	\$ 0.99	100,630	D	
Abraxas Petroleum Common Stock							37,437	I	401-K

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	Code			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and 4
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Performance-based Restricted Stock Unit	\$ 2.19						04/02/2021	04/02/2021	Abraxas Petroleum Common Stock
Employee Stock Option	\$ 3.15						03/11/2015	03/11/2024	Abraxas Petroleum Common Stock
Employee Stock Options	\$ 0.97						03/15/2017	03/17/2026	Abraxas Petroleum Common Stock
Employee Stock Option	\$ 3.74						03/08/2013	03/08/2022	Abraxas Petroleum Common Stock
Employee Stock Option	\$ 4.72						03/15/2012	03/15/2021	Abraxas Petroleum Common Stock
Employee Stock Option	\$ 2.09						03/16/2011	03/16/2020	Abraxas Petroleum Common Stock
Employee Stock Option	\$ 0.99	03/19/2019		M	5	5,000	03/17/2010	03/17/2019	Abraxas Petroleum Common

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				Stock
Employee Stock Option	\$ 2.39	05/14/2014	05/14/2023	Abraxas Petroleum Common Stock
Employee Stock Option	\$ 3.55	08/09/2012	08/09/2021	Abraxas Petroleum Common Stock
Employee Stock Option	\$ 1.75	10/05/2010	10/05/2019	Abraxas Petroleum Common Stock

## **Reporting Owners**

Reporting Owner Name / Address	Keiationsnips						
	Director	10% Owner	Officer	Other			

Clarke Tod A 18803 MEISNER DRIVE

Vice President - Land

Deletionshin

SAN ANTONIO, TX 78258

## **Signatures**

Tod A. Clarke 03/19/2019

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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