Wagner Richard Form 4 February 13, 2019

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** 3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005

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1,700

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person ** Wagner Richard			2. Issuer Name <b>and</b> Ticker or Trading Symbol INSTEEL INDUSTRIES INC [IIIN]					5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) (First) (Middle) 1373 BOGGS DRIVE			3. Date of Earliest Transaction (Month/Day/Year) 02/11/2019					Director 10% Owner X Officer (give title Other (specify below) Vice President			
				dment, Dat h/Day/Year)	_			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
MOUNT AIF						Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Table	I - Non-Do	erivative S	Securi	ties Acqu	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	) Executi	emed ion Date, if /Day/Year)	3. Transacti Code (Instr. 8)	4. Securi or(A) or D (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/11/2019			Code V F	Amount 785	(D)	Price \$ 21.41	(Instr. 3 and 4) 27,086	D		
Common Stock (Restricted Stock Units)	02/12/2019			A	3,187	A	\$ 0	13,564	D		
Common								1 700	ī	Held in	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

401(K)

Account

## Edgar Filing: Wagner Richard - Form 4

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SEC 1474 (9-02)

> 8. I De Sec (In

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exer Expiration D (Month/Day)	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 21.57	02/12/2019		A	8,637		<u>(1)</u>	02/12/2029	Common Stock	8,637
Stock Option (Right to Buy)	\$ 26.75						<u>(1)</u>	08/07/2027	Common Stock	4,918
Stock Option (Right to Buy)	\$ 23.95						<u>(1)</u>	02/11/2026	Common Stock	2,637
Stock Option (Right to Buy)	\$ 41.85						<u>(1)</u>	08/13/2028	Common Stock	4,828
Stock Option (Right to Buy)	\$ 29.69						<u>(1)</u>	02/23/2028	Common Stock	6,573
Stock Option (Right to Buy)	\$ 37.06						<u>(1)</u>	02/07/2027	Common Stock	5,033
Stock Option (Right to	\$ 34.49						<u>(1)</u>	08/11/2026	Common Stock	5,942

Buy)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Wagner Richard

1373 BOGGS DRIVE Vice President

MOUNT AIRY, NC 27030

**Signatures** 

James F. Petelle for Richard T. Wagner 02/13/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest 1/3 annually beginning one year from grant date.

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