

CHIN DEAN A
Form 4
September 10, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
CHIN DEAN A

2. Issuer Name **and** Ticker or Trading
Symbol
ABM INDUSTRIES INC /DE/
[ABM]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
ONE LIBERTY PLAZA, 7TH
FLOOR

3. Date of Earliest Transaction
(Month/Day/Year)
09/07/2018

____ Director ____ 10% Owner
__X__ Officer (give title below) ____ Other (specify below)
SVP - Chief Accounting Officer

(Street)
NEW YORK, NY 10006

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/07/2018		M	V Amount (A) or (D) Price 5,682 A \$ 19.09	32,847	D	
Common Stock	09/07/2018		S ⁽¹⁾	2,000 D \$ 32.689 (2)	30,847	D	
Common Stock	09/07/2018		S ⁽¹⁾	1,593 D \$ 33.5852 (3)	29,254	D	
Common Stock	09/07/2018		S ⁽¹⁾	2,089 D \$ 34.4855 (4)	27,165 (5)	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V	(A)	(D)	Title	Amount or Number of Shares
Stock Options (6)	\$ 19.09	09/07/2018		M		5,682	<u>(7)</u> 09/13/2018	Common Stock	5,682

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

CHIN DEAN A
ONE LIBERTY PLAZA
7TH FLOOR
NEW YORK, NY 10006

SVP - Chief Accounting Officer

Signatures

By: Barbara L. Smithers, by power of
attorney

09/10/2018

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) All sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan entered into by the reporting person.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices from 32.15 to 32.96, inclusive. The reporting person undertakes to provide to ABM Industries Incorporated, any security holder of ABM Industries Incorporated, or staff of the Securities and Exchange Commission, upon request, full information regarding the number shares sold at each separate price within the range set forth in footnote 2 of this Form 4.

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- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices from 33.16 to 33.98, inclusive. The reporting person undertakes to provide to ABM Industries Incorporated, any security holder of ABM Industries Incorporated, or staff of the Securities and Exchange Commission, upon request, full information regarding the number shares sold at each separate price within the range set forth in footnote 3 of this Form 4.
- (3)

- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices from 34.01 to 34.84, inclusive. The reporting person undertakes to provide to ABM Industries Incorporated, any security holder of ABM Industries Incorporated, or staff of the Securities and Exchange Commission, upon request, full information regarding the number shares sold at each separate price within the range set forth in footnote 4 of this Form 4.
- (4)

- (5) Includes 12,560 RSUs, adjusted to reflect the cumulative effect of fractional shares, 1,490 performance shares earned but not vested with respect to TSR performance shares granted on 9/8/2015 and DERs related thereto.

- (6) Stock options granted under the 2006 Equity Incentive Plan.

- (7) 25% exercisable on 9/13/2012 and 25% on the anniversary date of the following three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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