**ELLIE MAE INC** Form 4/A September 09, 2013

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person ** Corr Jonathan |                |               | 2. Issuer Name :<br>Symbol         | and Ticker or Trading       | 5. Relationship of Reporting Person(s) to Issuer                                                  |                                                               |  |  |
|----------------------------------------------------------|----------------|---------------|------------------------------------|-----------------------------|---------------------------------------------------------------------------------------------------|---------------------------------------------------------------|--|--|
| (It) (First) (Middle)                                    |                | (M:44le)      | ELLIE MAE I                        |                             | (Check all applicable)                                                                            |                                                               |  |  |
| (Last)                                                   | (First)        | (Middle)      | 3. Date of Earlies (Month/Day/Year |                             | Director                                                                                          | 10% Owner                                                     |  |  |
| C/O ELLIE MAE, INC., 4155<br>HOPYARD ROAD, SUITE 200     |                |               | 08/20/2013                         | , )                         | X_ Officer (gives below)                                                                          | we title $\frac{\text{Other (specify below)}}{\text{below)}}$ |  |  |
| IIOI I AKL                                               | ROAD, SU       | 11L 200       |                                    |                             | Pro                                                                                               | esident & COO                                                 |  |  |
| (Street)                                                 |                |               | 4. If Amendment                    | Date Original               | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person |                                                               |  |  |
|                                                          |                |               | Filed(Month/Day/\) 08/22/2013      | (ear)                       |                                                                                                   |                                                               |  |  |
| PLEASANTON, CA 94588                                     |                |               |                                    |                             | Form filed by More than One Reporting Person                                                      |                                                               |  |  |
| (City)                                                   | (State)        | (Zip)         | Table I - No                       | n-Derivative Securities Acq | quired, Disposed (                                                                                | of, or Beneficially Owner                                     |  |  |
| 1.Title of                                               | 2. Transaction | Date 2A. Deen | ned 3.                             | 4. Securities Acquired      | 5. Amount of                                                                                      | 6. Ownership 7. Natur                                         |  |  |

re of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common 112,000 08/20/2013 A \$0 112,000 D (1) Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: ELLIE MAE INC - Form 4/A

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.  onNumber of Derivative Securities Acquired (A) or Disposed of (D) |                     | ate                | Amount<br>Underly<br>Securiti | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|-----------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------|----------------------------------------|-----------------------------------------------------------------------|---------------------|--------------------|-------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|-----------------------------------------------------------------------------|
|                                                     |                                                                       |                                      |                                                             | Code V                                 | (Instr. 3, 4, and 5)  (A) (D)                                         | Date<br>Exercisable | Expiration<br>Date | Title N                       | Amount<br>or<br>Number<br>of<br>Shares                                    |                                                     |                                                                             |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Corr Jonathan C/O ELLIE MAE, INC. 4155 HOPYARD ROAD, SUITE 200 PLEASANTON, CA 94588

President & COO

#### **Signatures**

By: LeeAnn Linck, Attorney-in-fact For: Jonathan H. Corr

09/09/2013

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The purpose of this amendment is to correct the total number of shares of common stock awarded following the Compensation Committee of the Board of Directors certification on August 20, 2013 of the achievement of performance goals under the 2012

(1) performance share awards. 25% of the shares (which was reported in the original Form 4s) were issued as fully vested shares on or about September 6, 2013. An additional 25% of the shares will vest on each of August 6, 2014, August 6, 2015, and August 6, 2016, subject to continuous service through such dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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