Edgar Filing: Advaxis, Inc. - Form 4

Advaxis, Inc											
Form 4	2015										
December 01										PROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB OMB Number:	3235-0287		
Check this box				iGES IN BENEFICIAL OWNERSHIP (SECURITIES					Expires: January 3 200 Estimated average burden hours per		
Form 5 obligation may cont <i>See</i> Instru 1(b).	Filed p ^{1s} Section 1	7(a) of the 1	Public Ut		ling Con	npany	Act of	e Act of 1934, 1935 or Section 0		0.5	
(Print or Type R	Responses)										
PETIT ROBERT Symbol			Symbol	er Name and Ticker or Trading is, Inc. [ADXS]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Chec					c all applicable)			
305 COLLEGE ROAD EAST 11/30/20				Day/Year)				Director 10% Owner XOfficer (give title Other (specify below) below) below) Chief Scientific Officer			
				ndment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
PRINCETO	N,, NJ 08540							Person		1 6	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	r) Execution any	n Date, if	3. Transactio Code (Instr. 8)	4. Securi n(A) or Di (Instr. 3,	spose 4 and	d of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	11/30/2015			А	163 <u>(1)</u>	А	\$ 12.07	133,262	D		
Common Stock	11/30/2015			F	22 <u>(2)</u>	D	\$ 12.07	133,240	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
i o	Director	10% Owner	Officer	Other				
PETIT ROBERT 305 COLLEGE ROAD EAST PRINCETON,, NJ 08540			Chief Scientific Officer					
Signatures								
/s/ Sara Bonstein, as attorney-in Robert	12/01/2015							
** Signature of Reporting Pe	Date							
Explanation of Pa	onon	0001						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person voluntarily purchases restricted stock directly from the Company at market price on the last trading day of the month.
- (2) Represents shares forfeited by the reporting person in order to pay withholding taxes associated with the stock issuance.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.