

VEECO INSTRUMENTS INC
Form SC 13G
February 15, 2019

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934
(Amendment No.)*

Veeco Instruments Inc
(Name of Issuer)

Common Stock
(Title of Class of Securities)

922417100

(CUSIP Number)

December 31, 2018

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant
to which this Schedule is filed:

X

Rule 13d-1(b)

Rule 13d-1(c)

Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting
person's initial filing on this form with respect to the subject class
of securities, and for any subsequent amendment containing information
which would alter the disclosures provided in a prior cover
page.

The information required in the remainder of this cover page shall not be
deemed to be "filed" for the purpose of Section 18 of the
Securities Exchange Act of 1934 ("Act") or otherwise subject to the
liabilities of that section of the Act but shall be subject to all other
provisions of the Act (however, see the Notes).

CUSIP No.

1.

Names of Reporting Persons

River and Mercantile Asset Management LLP

2.

Check the Appropriate Box if a Member of a Group (See Instructions)

(a)

Edgar Filing: VEECO INSTRUMENTS INC - Form SC 13G

(b)

3.
SEC Use Only

4.
Citizenship or Place of Organization
United Kingdom

Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With
5.
Sole Voting Power
2,948,949 shares

6.
Shared Voting Power
0 shares

7.
Sole Dispositive Power
2,948,949 shares

8.
Shared Dispositive Power
0 shares

9.
Aggregate Amount Beneficially Owned by Each Reporting Person
2,948,949 shares

10.
Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See
Instructions)

11.
Percent of Class Represented by Amount in Row (9)
6.17%

Edgar Filing: VEECO INSTRUMENTS INC - Form SC 13G

12.

Type of Reporting Person (See Instructions)

IV

Item 1.

(a)

Name of Issuer

Veeco Instruments, Inc.

(b)

Address of Issuer's Principal Executive Offices

1 Terminal Drive
Plainview, NY 11803
United States

Item 2.

(a)

Name of Person Filing

River and Mercantile Asset Management LLP

(b)

Address of Principal Business Office or, if none, Residence

30 Coleman Street
London
EC2R 5AL
United Kingdom

(c)

Citizenship

United Kingdom

(d)

Title of Class of Securities

(e)

CUSIP Number

Item 3.

If this statement is filed pursuant to 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

(a)

Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o);

(b)

Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);

(c)

Edgar Filing: VEECO INSTRUMENTS INC - Form SC 13G

Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);

(d)

Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);

(e) X

An investment adviser in accordance with 240.13d-1(b)(1)(ii)(E);

(f)

An employee benefit plan or endowment fund in accordance with 240.13d-1(b)(1)(ii)(F);

(g)

A parent holding company or control person in accordance with 240.13d-1(b)(1)(ii)(G);

(h)

A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);

(i)

A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);

(j)

a non-U.S institution in accordance with 240.13d-1(b)(ii)(J);

(k)

Group, in accordance with 240.13d-1(b)(1)(ii)(J). If filing as a non-U.S. institution in accordance with 240.13d-1(b)(1)(ii)(J), please specify the type of institution.

Item 4.

Ownership

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a)

Amount beneficially owned:
2,948,949

(b)

Percent of class:
6.17%

Edgar Filing: VEECO INSTRUMENTS INC - Form SC 13G

(c)

Number of shares as to which the person has:

(i)

Sole power to vote or to direct the vote
2,948,949 shares

(ii)

Shared power to vote or to direct the vote
0 shares

(iii)

Sole power to dispose or to direct the disposition of
2,948,949 shares

(iv)

Shared power to dispose or to direct the disposition of
0 shares

Item 5.

Ownership of Five Percent or Less of a Class

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following .

Item 6.

Ownership of More than Five Percent on Behalf of Another Person

River and Mercantile Asset Management LLP (Asset Manager) owns 6.17% of Veeco Instruments Inc on behalf of discretionary clients.

Item 7.

Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person

N/A

Item 8.

Identification and Classification of Members of the Group

N/A

Item 9.

Notice of Dissolution of Group

N/A

Item 10.

Certification

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant

Edgar Filing: VEECO INSTRUMENTS INC - Form SC 13G

in any transaction having that purpose or effect, other than activities solely in connection with a nomination under 240.14a-11.

Signature

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 12, 2019

River and Mercantile Asset Management LLP

By:
Sean Breslin

Chief Compliance Officer

ATTENTION

Intentional misstatements or omissions of fact constitute Federal Criminal Violations (See 18 U.S.C. 1001).