

Johnson Gerald  
Form 3  
April 03, 2019

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB  
Number: 3235-0104  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Johnson Gerald

(Last) (First) (Middle)

300 RENAISSANCE  
CENTER, M/C: 482-C23-A68

(Street)

DETROIT, MI 48265

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

04/01/2019

3. Issuer Name and Ticker or Trading Symbol  
General Motors Co [GM]

4. Relationship of Reporting  
Person(s) to Issuer

5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_X\_ Officer \_\_\_\_ Other  
(give title below) (specify below)  
Executive Vice President

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting  
Person  
\_\_\_\_ Form filed by More than One  
Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

28,550

D

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.**

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)

3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)

Title

4. Conversion  
or Exercise  
Price of  
Derivative  
Security

5. Ownership  
Form of  
Derivative  
Security:  
Direct (D)

6. Nature of Indirect  
Beneficial Ownership  
(Instr. 5)

## Edgar Filing: Johnson Gerald - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(1)</sup>	07/28/2025	Common Stock	22,776	\$ 31.32	D	Â
Employee Stock Option (Right to Buy) <sup>(2)</sup>	Â <sup>(2)</sup>	06/07/2027	Common Stock	31,627	\$ 34.34	D	Â
Employee Stock Option (Right to Buy) <sup>(3)</sup>	Â <sup>(3)</sup>	02/11/2028	Common Stock	19,022	\$ 41.4	D	Â
Employee Stock Option (Right to Buy) <sup>(4)</sup>	Â <sup>(4)</sup>	02/13/2029	Common Stock	21,000	\$ 39	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Johnson Gerald 300 RENAISSANCE CENTER M/C: 482-C23-A68 DETROIT, MI 48265	Â	Â	Â Executive Vice President	Â

## Signatures

/s/ Tia Y. Turk, Attorney-in-Fact for Mr. Johnson

04/03/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) These Stock Options were granted on July 28, 2015, (the "Grant Date") under the Company's 2014 Long-Term Incentive Plan (the "DSV Options"). The DSV Options will vest on February 15, 2020, provided that the Company meets or exceeds the median total shareholder return of the 14 original equipment manufacturers (other than GM) on the Dow Jones Automobiles and Parts Titans 30 Index on the Grant Date for the performance period from July 28, 2015, through December 31, 2019.
- (2) These Stock Options were granted on June 7, 2017, under the Company's 2017 Long-Term Incentive Plan (the "2017 LTIP"). Two-thirds of the 31,627 Stock Options granted have vested; the remaining one-third will vest on February 14, 2020.
- (3) These Stock Options were granted on February 13, 2018, under the Company's 2017 LTIP. One-third of the 19,022 Stock Options granted have vested; one-third will vest on February 13, 2020; and one-third will vest on February 13, 2021.
- (4) These Stock Options were granted on February 13, 2019, under the Company's 2017 LTIP. One-third of the 21,000 Stock Options granted will vest on February 13, 2020; one-third will vest on February 13, 2021; and one-third will vest on February 13, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.