#### COLUMBUS MCKINNON CORP

Form 4 May 20, 2015

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

D

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \*

See Instruction

Buer Gene P Issuer Symbol COLUMBUS MCKINNON CORP (Check all applicable) [CMCO] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify X\_ Officer (give title (Month/Day/Year) below) 140 JOHN JAMES AUDUBON 05/18/2015 VP Global Sys/Vertical Markets **PARKWAY** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting AMHERST, NY 14228 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) 5. Amount of 1. Title of 2. Transaction Date 2A. Deemed 3. 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) or Indirect (Instr. 4) Following Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Price Code V Amount (D) Common 35,030.2491 D Stock Common 05/18/2015 3,627 (1) 38,657.2491 Α D 24.94 Stock Common 272.0589 38,385.1902 05/19/2015 F D \$ 24.8 D (2) (3) Stock Common 1,010.8363

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of actionDerivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am or Nu of S
Non-Qualified Stock Options (Right to Buy)	\$ 28.45						05/19/2009	05/18/2018	Common Stock	4
Non-Qualified Stock Options (Right to Buy)	\$ 18.24						05/17/2011	05/16/2020	Common Stock	1,
Non-Qualified Stock Options (Right to Buy)	\$ 19.5						05/23/2012	05/22/2021	Common Stock	3,
Non-Qualified Stock Options (Right to Buy)	\$ 13.43						05/21/2013	05/20/2022	Common Stock	8,
Non-Qualified Stock Options (Right to Buy)	\$ 18.95						05/20/2014	05/20/2023	Common Stock	9,
Non-Qualified Stock Options (Right to Buy)	\$ 27.12						05/19/2015	05/19/2024	Common Stock	8,
Non-Qualified Stock Options (Right to Buy)	\$ 24.94	05/18/2015		A	10,444	Ĺ	05/18/2016	05/17/2025	Common Stock	10

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

VP Global Svs/Vertical Markets

Reporting Owners 2

Buer Gene P 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228

# **Signatures**

Gene P. Buer 05/20/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part, become fully vested and non-forfeitable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting of 826.0589 restricted stock units on 5/19/2015.
  - Includes 21,553.1902 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 862.5371 shares become fully vested and non-forfeitable on 5/23/2015; 2,800.2229 shares become fully vested and non-forfeitable 50% per year for two years
- beginning 5/21/2015; 3,331.2474 shares become fully vested and non-forfeitable 33.33% for three years beginning 5/20/2015; 5,808 shares become fully vested and non-forfeitable on 5/21/2015; 2,479.1828 shares become fully vested and non-forfeitable 33.33% for three years beginning 5/18/2016; 2,645 shares become fully vested and non-forfeitable on 5/20/2016; and the remaining 3,627 shares become fully vested and non-forfeitable 25% for four years beginning 5/19/2016, if reporting person remains an employee of issuer.
- (4) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (5) Fully exercisable, subject to IRS limitations.
- (6) Exercisable 50% per year for two years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term (10) Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; options become exercisable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3