

COLUMBUS MCKINNON CORP

Form 4

August 07, 2014

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Buer Gene P

2. Issuer Name **and** Ticker or Trading  
Symbol  
COLUMBUS MCKINNON CORP  
[CMCO]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
140 JOHN JAMES AUDUBON  
PARKWAY

3. Date of Earliest Transaction  
(Month/Day/Year)  
08/05/2014

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)  
VP Global Svs/Vertical Markets

(Street)  
AMHERST, NY 14228

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                 | V Amount (A) or (D) Price                                               |                                                                                                                    |                                                                      |                                                                   |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                         | 39,697 <sup>(1)</sup>                                                                                              | D                                                                    |                                                                   |
| Common<br>Stock                       | 08/05/2014                              |                                                             | S                                    | 2,500 D \$<br>23.75                                                     | 37,197 <sup>(1)</sup>                                                                                              | D                                                                    |                                                                   |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                         | 955.0536 <sup>(2)</sup>                                                                                            | D                                                                    |                                                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
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SEC 1474  
(9-02)

**displays a currently valid OMB control number.**

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V (A) (D)                                                                                                             | Date<br>Exercisable<br>Expiration<br>Date                      | Title<br>Amount<br>or<br>Number<br>of<br>Shares                     |
| Non-Qualified<br>Stock Options<br>(Right to Buy)    | \$ 28.45                                                              |                                         |                                                             |                                         |                                                                                                                       | 05/19/2009 05/18/2018                                          | Common<br>Stock 432                                                 |
| Non-Qualified<br>Stock Options<br>(Right to Buy)    | \$ 18.24                                                              |                                         |                                                             |                                         |                                                                                                                       | 05/17/2011 05/16/2020                                          | Common<br>Stock 1,622                                               |
| Non-Qualified<br>Stock Options<br>(Right to Buy)    | \$ 19.5                                                               |                                         |                                                             |                                         |                                                                                                                       | 05/23/2012 05/22/2021                                          | Common<br>Stock 3,230                                               |
| Non-Qualified<br>Stock Options<br>(Right to Buy)    | \$ 13.43                                                              |                                         |                                                             |                                         |                                                                                                                       | 05/21/2013 05/20/2022                                          | Common<br>Stock 8,360                                               |
| Non-Qualified<br>Stock Options<br>(Right to Buy)    | \$ 18.95                                                              |                                         |                                                             |                                         |                                                                                                                       | 05/20/2014 05/20/2023                                          | Common<br>Stock 9,299<br>(6)                                        |
| Non-Qualified<br>Stock Options<br>(Right to Buy)    | \$ 27.12                                                              |                                         |                                                             |                                         |                                                                                                                       | 05/19/2015 05/19/2024                                          | Common<br>Stock 8,325                                               |

## Reporting Owners

| Reporting Owner Name / Address                                     | Relationships                    |
|--------------------------------------------------------------------|----------------------------------|
|                                                                    | Director 10% Owner Officer Other |
| Buer Gene P<br>140 JOHN JAMES AUDUBON PARKWAY<br>AMHERST, NY 14228 | VP Global Sys/Vertical Markets   |

## Signatures

Gene P. Buer

08/07/2014

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 18,679 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 856 shares become fully vested and non-forfeitable on 5/23/2015, 2,779 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/21/2015,

- (1) 3,306 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015, 5,808 shares become fully vested and non-forfeitable on 5/21/2015, 3,285 shares become fully vested and non-forfeitable for four years beginning 5/19/2015, and 2,645 shares become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
- (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (3) Fully exercisable, subject to IRS limitations.
- (4) Exercisable 50% per year for two years beginning 5/23/2014, if reporting person remains an employee of issuer.
- (5) Exercisable 33.33% per year for three years beginning 5/21/2014, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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