

CORNERSTONE STRATEGIC VALUE FUND INC  
Form N-PX  
August 19, 2016

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT  
INVESTMENT COMPANY

Investment Company Act file number 811-05150

Cornerstone Strategic Value Fund, Inc.

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(Exact name of registrant as specified in charter)

48 Wall Street New York, NY 10005  
(Address of principal executive offices) (Zip code)

Frank J. Maresca

AST Fund Solutions, LLC, 48 Wall Street, 22<sup>nd</sup> Floor, New York, NY 10005

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(Name and address of agent for service)

Registrant's telephone number, including area code: (866) 668-6558

Date of fiscal year end: December 31

Date of reporting period: July 1, 2015 - June 30, 2016

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ITEM 1. PROXY VOTING RECORD.

Company Name	Ticker	CUSIP	Meeting Date	Proposal Number	Proposal Description	Proponent	Management Recommendation	Vote Ca
3M Company	MMM	88579Y101	5/10/2016	1	Elect Sondra L. Barbour	Mgmt	For	For
3M Company	MMM	88579Y101	5/10/2016	2	Elect Thomas K. Brown	Mgmt	For	For
3M Company	MMM	88579Y101	5/10/2016	3	Elect Vance D. Coffman	Mgmt	For	For
3M Company	MMM	88579Y101	5/10/2016	4	Elect David B. Dillon	Mgmt	For	For
3M Company	MMM	88579Y101	5/10/2016	5	Elect Michael L. Eskew	Mgmt	For	For
3M Company	MMM	88579Y101	5/10/2016	6	Elect Herbert L. Henkel	Mgmt	For	For
3M Company	MMM	88579Y101	5/10/2016	7	Elect Muhtar Kent	Mgmt	For	For
3M Company	MMM	88579Y101	5/10/2016	8	Elect Edward M. Liddy	Mgmt	For	For
3M Company	MMM	88579Y101	5/10/2016	9	Elect Gregory R. Page	Mgmt	For	For
3M Company	MMM	88579Y101	5/10/2016	10	Elect Inge G. Thulin	Mgmt	For	For
3M Company	MMM	88579Y101	5/10/2016	11	Elect Robert J. Ulrich	Mgmt	For	For
3M Company	MMM	88579Y101	5/10/2016	12	Elect Patricia A. Woertz	Mgmt	For	For
3M Company	MMM	88579Y101	5/10/2016	13	Ratification of Auditor	Mgmt	For	For
3M Company	MMM	88579Y101	5/10/2016	14	Advisory Vote on Executive Compensation	Mgmt	For	For
3M Company	MMM	88579Y101	5/10/2016	15	Approval of the 2016 Long-Term Incentive Plan	Mgmt	For	For
3M Company	MMM	88579Y101	5/10/2016	16	Shareholder Proposal Regarding Special Meetings	ShrHoldr	Against	For
3M Company	MMM	88579Y101	5/10/2016	17	Shareholder Proposal Regarding Excluding Share Repurchases in Executive Compensation	ShrHoldr	Against	Against
	ABT	002824100	4/29/2016	1.1		Mgmt	For	For

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Abbott Laboratories					Elect Robert J. Alpern			
Abbott Laboratories	ABT	002824100	4/29/2016	1.2	Elect Roxanne S. Austin	Mgmt	For	For
Abbott Laboratories	ABT	002824100	4/29/2016	1.3	Elect Sally E. Blount	Mgmt	For	For
Abbott Laboratories	ABT	002824100	4/29/2016	1.4	Elect W. James Farrell	Mgmt	For	For
Abbott Laboratories	ABT	002824100	4/29/2016	1.5	Elect Edward M. Liddy	Mgmt	For	For
Abbott Laboratories	ABT	002824100	4/29/2016	1.6	Elect Nancy McKinstry	Mgmt	For	For
Abbott Laboratories	ABT	002824100	4/29/2016	1.7	Elect Phebe N. Novakovic	Mgmt	For	For
Abbott Laboratories	ABT	002824100	4/29/2016	1.8	Elect William A. Osborn	Mgmt	For	For
Abbott Laboratories	ABT	002824100	4/29/2016	1.9	Elect Samuel C. Scott III	Mgmt	For	For
Abbott Laboratories	ABT	002824100	4/29/2016	1.10	Elect Glenn F. Tilton	Mgmt	For	For
Abbott Laboratories	ABT	002824100	4/29/2016	1.11	Elect Miles D. White	Mgmt	For	For
Abbott Laboratories	ABT	002824100	4/29/2016	2	Ratification of Auditor	Mgmt	For	For
Abbott Laboratories	ABT	002824100	4/29/2016	3	Advisory Vote on Executive Compensation	Mgmt	For	For
AbbVie Inc.	ABBV	00287Y109	5/6/2016	1.1	Elect William H.L. Burnside	Mgmt	For	For
AbbVie Inc.	ABBV	00287Y109	5/6/2016	1.2	Elect Brett J. Hart	Mgmt	For	For
AbbVie Inc.	ABBV	00287Y109	5/6/2016	1.3	Elect Edward J. Rapp	Mgmt	For	For
AbbVie Inc.	ABBV	00287Y109	5/6/2016	2	Ratification of Auditor	Mgmt	For	For
AbbVie Inc.	ABBV	00287Y109	5/6/2016	3	Advisory Vote on Executive Compensation	Mgmt	For	For
AbbVie Inc.	ABBV	00287Y109	5/6/2016	4	Repeal of Classified Board	Mgmt	For	For
AbbVie Inc.	ABBV	00287Y109	5/6/2016	5	Approval of Performance Goals Under the Performance Incentive Plan	Mgmt	For	For

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AbbVie Inc.	ABBV	00287Y109	5/6/2016	6	Shareholder Proposal Regarding Take-Back Programs for Unused Medicines	ShrHoldr	Against	Against
AbbVie Inc.	ABBV	00287Y109	5/6/2016	7	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	Against
Aberdeen Australia Equity Fund Inc.	IAF	003011103	3/24/2016	1	Elect Neville J. Miles	Mgmt	For	For 79% Against 18% Abstain
Aberdeen Australia Equity Fund Inc.	IAF	003011103	3/24/2016	2	Elect Moritz Sell	Mgmt	For	For 80% Against 18% Abstain
Aberdeen Chile Fund Inc	CH	00301W105	3/24/2016	1	Elect Lawrence J. Fox	Mgmt	For	For 95% Against Abstain
Aberdeen Greater China Fund Inc	GCH	003031101	6/22/2016	1	Election of Directors	Mgmt	For	21% Fo and 79% Against
Aberdeen Israel Fund Inc	ISL	00305P106	3/24/2016	1	Elect James J. Cattano	Mgmt	For	For 98% Against Abstain
Aberdeen Israel Fund Inc	ISL	00301L109	3/24/2016	1	Elect Lawrence J. Fox	Mgmt	For	For 95% Against Abstain
Aberdeen Singapore Fund Inc	SGF	003244100	6/22/2016	1	Elect David G. Harmer	Mgmt	For	71% Fo and 29% Against
Aberdeen Singapore Fund Inc	SGF	003244100	6/22/2016	2	Elect Rahn K. Porter	Mgmt	For	71% Fo and 29% Against
ACE Limited	ACE	H0023R105	10/22/2015	1	Increase in Authorized Share Capital	Mgmt	For	For
ACE Limited	ACE	H0023R105	10/22/2015	2	Company Name Change	Mgmt	For	For
ACE Limited	ACE	H0023R105	10/22/2015	3	Merger/Acquisition	Mgmt	For	For
ACE Limited	ACE	H0023R105	10/22/2015	4	Elect Sheila P. Burke	Mgmt	For	For
ACE Limited	ACE	H0023R105	10/22/2015	5	Elect James I. Cash, Jr.	Mgmt	For	For
ACE Limited	ACE	H0023R105	10/22/2015	6		Mgmt	For	For

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						Elect Lawrence W. Kellner			
ACE Limited	ACE	H0023R105	10/22/2015	7		Elect James M. Zimmerman	Mgmt	For	For
ACE Limited	ACE	H0023R105	10/22/2015	8		Board Compensation	Mgmt	For	For
ACE Limited	ACE	H0023R105	10/22/2015	9		Additional or Miscellaneous Proposals	ShrHoldr	For	Abstain
Adams Diversified Equity Fund	ADX	006212104	4/14/2016	1.1		Elect Enrique R. Arzac	Mgmt	For	For 87% Withho 13%
Adams Diversified Equity Fund	ADX	006212104	4/14/2016	1.2		Elect Phyllis O. Bonanno	Mgmt	For	For 87% Withho 13%
Adams Diversified Equity Fund	ADX	006212104	4/14/2016	1.3		Elect Kenneth J. Dale	Mgmt	For	For 89% Withho 11%
Adams Diversified Equity Fund	ADX	006212104	4/14/2016	1.4		Elect Frederic A. Escherich	Mgmt	For	For 87% Withho 13%
Adams Diversified Equity Fund	ADX	006212104	4/14/2016	1.5		Elect Roger W. Gale	Mgmt	For	For 87% Withho 13%
Adams Diversified Equity Fund	ADX	006212104	4/14/2016	1.6		Elect Kathleen T. McGahran	Mgmt	For	For 87% Withho 13%
Adams Diversified Equity Fund	ADX	006212104	4/14/2016	1.7		Elect Craig R. Smith	Mgmt	For	For 87% Withho 13%
Adams Diversified Equity Fund	ADX	006212104	4/14/2016	1.8		Elect Mark E. Stoeckle	Mgmt	For	For 87% Withho 13%
Adams Diversified Equity Fund	ADX	006212104	4/14/2016	2		Ratification of Auditor	Mgmt	For	For 97% Abstain
Advent-Claymore Enhanced Growth & Income Fund	LCM	00765E104	9/30/2015	1		Elect Tracy V. Maitland	Mgmt	For	For 76% Against Abstain 22%
Advent-Claymore Enhanced Growth & Income Fund	LCM	00765E104	9/30/2015	2		Elect Ronald A. Nyberg	Mgmt	For	For 76% Against Abstain 22%
Aetna Inc.	AET	00817Y108	5/20/2016	1			Mgmt	For	For

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					Elect Fernando Aguirre				
Aetna Inc.	AET	00817Y108	5/20/2016	2	Elect Mark T. Bertolini	Mgmt	For		For
Aetna Inc.	AET	00817Y108	5/20/2016	3	Elect Frank M. Clark, Jr.	Mgmt	For		For
Aetna Inc.	AET	00817Y108	5/20/2016	4	Elect Betsy Z. Cohen	Mgmt	For		For
Aetna Inc.	AET	00817Y108	5/20/2016	5	Elect Molly J. Coye	Mgmt	For		For
Aetna Inc.	AET	00817Y108	5/20/2016	6	Elect Roger N. Farah	Mgmt	For		For
Aetna Inc.	AET	00817Y108	5/20/2016	7	Elect Jeffrey E. Garten	Mgmt	For		For
Aetna Inc.	AET	00817Y108	5/20/2016	8	Elect Ellen M. Hancock	Mgmt	For		For
Aetna Inc.	AET	00817Y108	5/20/2016	9	Elect Richard J. Harrington	Mgmt	For		For
Aetna Inc.	AET	00817Y108	5/20/2016	10	Elect Edward J. Ludwig	Mgmt	For		For
Aetna Inc.	AET	00817Y108	5/20/2016	11	Elect Joseph P. Newhouse	Mgmt	For		For
Aetna Inc.	AET	00817Y108	5/20/2016	12	Elect Olympia J. Snowe	Mgmt	For		For
Aetna Inc.	AET	00817Y108	5/20/2016	13	Ratification of Auditor	Mgmt	For		For
Aetna Inc.	AET	00817Y108	5/20/2016	14	Approval of the 2016 Employee Stock Purchase Plan	Mgmt	For		For
Aetna Inc.	AET	00817Y108	5/20/2016	15	Advisory Vote on Executive Compensation	Mgmt	For		For
Aetna Inc.	AET	00817Y108	5/20/2016	16	Shareholder Proposal Regarding Report on Indirect Political Spending	ShrHoldr	Against		Against
Aetna Inc.	AET	00817Y108	10/19/2015	1	Merger	Mgmt	For		For
Aetna Inc.	AET	00817Y108	10/19/2015	2	Right to Adjourn Meeting	Mgmt	For		For
Aetna Inc.	AET	00817Y108	10/19/2015	1	Merger	Mgmt	For		For
Aetna Inc.	AET	00817Y108	10/19/2015	2	Right to Adjourn Meeting	Mgmt	For		For
Air Products and Chemicals, Inc.	APD	009158106	1/28/2016	1	Elect Susan K. Carter	Mgmt	For		For

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Air Products and Chemicals, Inc.	APD	009158106	1/28/2016	2	Elect Charles I. Cogut	Mgmt	For	For
Air Products and Chemicals, Inc.	APD	009158106	1/28/2016	3	Elect Seifi Ghasemi	Mgmt	For	For
Air Products and Chemicals, Inc.	APD	009158106	1/28/2016	4	Elect David H. Y. Ho	Mgmt	For	For
Air Products and Chemicals, Inc.	APD	009158106	1/28/2016	5	Elect Margaret G. McGlynn	Mgmt	For	For
Air Products and Chemicals, Inc.	APD	009158106	1/28/2016	6	Advisory Vote on Executive Compensation	Mgmt	For	For
Air Products and Chemicals, Inc.	APD	009158106	1/28/2016	7	Ratification of Auditor	Mgmt	For	For
Air Products and Chemicals, Inc.	APD	009158106	1/28/2016	8	Approval of Annual Incentive Plan Terms	Mgmt	For	For
Allergan plc	AGN	G0177J108	5/5/2016	1.1	Elect Nesli Basgoz	Mgmt	For	For
Allergan plc	AGN	G0177J108	5/5/2016	1.2	Elect Paul M. Bisaro	Mgmt	For	For
Allergan plc	AGN	G0177J108	5/5/2016	1.3	Elect James H. Bloem	Mgmt	For	For
Allergan plc	AGN	G0177J108	5/5/2016	1.4	Elect Christopher W. Bodine	Mgmt	For	For
Allergan plc	AGN	G0177J108	5/5/2016	1.5	Elect Christopher J. Coughlin	Mgmt	For	For
Allergan plc	AGN	G0177J108	5/5/2016	1.6	Elect Michael R. Gallagher	Mgmt	For	For
Allergan plc	AGN	G0177J108	5/5/2016	1.7	Elect Catherine M. Klema	Mgmt	For	For
Allergan plc	AGN	G0177J108	5/5/2016	1.8	Elect Peter J. McDonnell	Mgmt	For	For
Allergan plc	AGN	G0177J108	5/5/2016	1.9	Elect Patrick J. O'Sullivan	Mgmt	For	For
Allergan plc	AGN	G0177J108	5/5/2016	1.10	Elect Brenton L. Saunders	Mgmt	For	For
Allergan plc	AGN	G0177J108	5/5/2016	1.11	Elect Ronald R. Taylor	Mgmt	For	For
Allergan plc	AGN	G0177J108	5/5/2016	1.12	Elect Fred G. Weiss	Mgmt	For	For
Allergan plc	AGN	G0177J108	5/5/2016	2	Advisory Vote on Executive Compensation	Mgmt	For	Against
Allergan plc	AGN	G0177J108	5/5/2016	3	Ratification of Auditor and Authority to Set	Mgmt	For	For

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					Fees				
Allergan plc	AGN	G0177J108	5/5/2016	4	Amendment to the Memorandum of Association to Make Certain Administrative Amendments	Mgmt	For		For
Allergan plc	AGN	G0177J108	5/5/2016	5	Amendment to the Articles of Association to make Certain Administrative Amendments	Mgmt	For		For
Allergan plc	AGN	G0177J108	5/5/2016	6	Provide for a Plurality Voting Standard in the Event of a Contested Election	Mgmt	For		For
Allergan plc	AGN	G0177J108	5/5/2016	7	Sole Authority of Board to Determine Board Size	Mgmt	For		For
Allergan plc	AGN	G0177J108	5/5/2016	8	Reduction of Company Capital	Mgmt	For		For
Allergan plc	AGN	G0177J108	5/5/2016	9	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against		Against
Allergan plc	AGN	G0177J108	5/5/2016	10	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against		For
AllianzGI Convertible & Income Fund II	NCZ	01883A107	6/30/2016	1.1	Elect Deborah A. DeCotis	Mgmt	For		For 91% Withho 9%
AllianzGI Convertible & Income Fund II	NCZ	01883A107	6/30/2016	1.2	Elect Bradford K. Gallagher	Mgmt	For		For 91% Withho 9%
AllianzGI Convertible & Income Fund II	NCZ	01883A107	6/30/2016	1.3	Elect James A. Jacobson	Mgmt	For		For 85% Withho 15%
AllianzGI Convertible & Income Fund II	NCZ	01883A107	6/30/2016	1.4	Elect Barbara R. Claussen	Mgmt	For		For 95% Withho 5%
AllianzGI Convertible & Income Fund II	NCZ	01883A107	6/30/2016	1.5	Elect A. Douglas Eu	Mgmt	For		For 94% Withho 6%
	NCZ	018825109	6/30/2016	1.1		Mgmt	For		



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AllianzGI Convertible & Income Fund II					Elect William B. Ogden IV			For 94% Withho 6%
AllianzGI Convertible & Income Fund II	NCZ	018825109	6/30/2016	1.2	Elect Barbara R. Claussen	Mgmt	For	For 95% Withho 5%
AllianzGI Convertible & Income Fund II	NCZ	018825109	6/30/2016	1.3	Elect A. Douglas Eu	Mgmt	For	For 94% Withho 6%
AllianzGI Convertible & Income Fund II	NCZ	018828103	6/30/2016	1.1	Elect Hans W. Kertess	Mgmt	N/A	For 94% Withho 6%
AllianzGI Convertible & Income Fund II	NCZ	018828103	6/30/2016	1.2	Elect William B. Ogden IV	Mgmt	N/A	For 94% Withho 6%
AllianzGI Convertible & Income Fund II	NCZ	018828103	6/30/2016	1.3	Elect Barbara R. Claussen	Mgmt	N/A	For 95% Withho 5%
AllianzGI Convertible & Income Fund II	NCZ	018828103	6/30/2016	1.4	Elect A. Douglas Eu	Mgmt	N/A	For 94% Withho 6%
Allstate Corporation	ALL	020002101	5/24/2016	1	Elect Kermit R. Crawford	Mgmt	For	For
Allstate Corporation	ALL	020002101	5/24/2016	2	Elect Michael L. Eskew	Mgmt	For	For
Allstate Corporation	ALL	020002101	5/24/2016	3	Elect Herbert L. Henkel	Mgmt	For	For
Allstate Corporation	ALL	020002101	5/24/2016	4	Elect Siddharth N. Mehta	Mgmt	For	For
Allstate Corporation	ALL	020002101	5/24/2016	5	Elect Jacques P. Perold	Mgmt	For	For
Allstate Corporation	ALL	020002101	5/24/2016	6	Elect Andrea Redmond	Mgmt	For	For
Allstate Corporation	ALL	020002101	5/24/2016	7	Elect John W. Rowe	Mgmt	For	For
Allstate Corporation	ALL	020002101	5/24/2016	8	Elect Judith A. Sprieser	Mgmt	For	For
Allstate Corporation	ALL	020002101	5/24/2016	9	Elect Mary Alice Taylor	Mgmt	For	For
Allstate Corporation	ALL	020002101	5/24/2016	10	Elect Thomas J. Wilson	Mgmt	For	For
Allstate Corporation	ALL	020002101	5/24/2016	11	Advisory Vote on Executive Compensation	Mgmt	For	For

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Allstate Corporation	ALL	020002101	5/24/2016	12	Ratification of Auditor	Mgmt	For	For
Allstate Corporation	ALL	020002101	5/24/2016	13	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against	For
Allstate Corporation	ALL	020002101	5/24/2016	14	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.1	Elect Larry Page	Mgmt	For	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.2	Elect Sergey Brin	Mgmt	For	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.3	Elect Eric E. Schmidt	Mgmt	For	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.4	Elect L. John Doerr	Mgmt	For	Withho
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.5	Elect Diane B. Greene	Mgmt	For	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.6	Elect John L. Hennessy	Mgmt	For	Withho
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.7	Elect Ann Mather	Mgmt	For	Withho
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.8	Elect Alan R. Mulally	Mgmt	For	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.9	Elect Paul S. Otellini	Mgmt	For	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.10	Elect K. Ram Shriram	Mgmt	For	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	1.11	Elect Shirley M. Tilghman	Mgmt	For	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	2	Ratification of Auditor	Mgmt	For	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	3	Amendment to the 2012 Stock Plan	Mgmt	For	Against
Alphabet Inc.	GOOGL	02079K305	6/8/2016	4	Amendment to Google Inc.'s Certificate of Incorporation	Mgmt	For	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	5	Shareholder Proposal Regarding Recapitalization	ShrHoldr	Against	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	6	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	7	Shareholder Proposal Regarding	ShrHoldr	Against	Against

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Political  
Contributions and  
Expenditures Report

Shareholder  
Proposal Regarding  
Majority Vote for  
Election of Directors

Shareholder  
Proposal Regarding  
Independent Board  
Chairman

Shareholder  
Proposal Regarding  
Gender Pay Equity  
Report

Elect James A.  
Jacobson

Elect H. Guy Leibler

Transaction of Other  
Business

Elect James A.  
Jacobson

Elect H. Guy Leibler

Transaction of Other  
Business

Elect James A.  
Jacobson

Elect H. Guy Leibler

Transaction of Other  
Business

Mgmt

Alphabet Inc.	GOOGL	02079K305	6/8/2016	8	Shareholder Proposal Regarding Majority Vote for Election of Directors	ShrHoldr	Against	For
Alphabet Inc.	GOOGL	02079K305	6/8/2016	9	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against	Against
Alphabet Inc.	GOOGL	02079K305	6/8/2016	10	Shareholder Proposal Regarding Gender Pay Equity Report	ShrHoldr	Against	For
Alpine Global Dynamic Dividend Fund	AGD	02082E205	5/17/2016	1.1	Elect James A. Jacobson	Mgmt	For	For 85% Withho 15%
Alpine Global Dynamic Dividend Fund	AGD	02082E205	5/17/2016	1.2	Elect H. Guy Leibler	Mgmt	For	For 85% Withho 15%
Alpine Global Dynamic Dividend Fund	AGD	02082E205	5/17/2016	2	Transaction of Other Business	Mgmt	For	For 55% Against 39% Abstain
Alpine Global Premier Properties Fund	AWP	02083A103	5/17/2016	1.1	Elect James A. Jacobson	Mgmt	For	For 85% Withho 15%
Alpine Global Premier Properties Fund	AWP	02083A103	5/17/2016	1.2	Elect H. Guy Leibler	Mgmt	For	For 85% Withho 15%
Alpine Global Premier Properties Fund	AWP	02083A103	5/17/2016	2	Transaction of Other Business	Mgmt	For	For 55% Against 39% Abstain
Alpine Total Dynamic Dividend Fund	AOD	021060207	5/17/2016	1.1	Elect James A. Jacobson	Mgmt	For	For 85% Withho 15%
Alpine Total Dynamic Dividend Fund	AOD	021060207	5/17/2016	1.2	Elect H. Guy Leibler	Mgmt	For	For 85% Withho 15%
Alpine Total Dynamic Dividend Fund	AOD	021060207	5/17/2016	2	Transaction of Other Business	Mgmt	For	For 55% Against 39% Abstain
	AMZN	023135106	5/17/2016	1		Mgmt	For	For

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Amazon.com, Inc.						Elect Jeffrey P. Bezos			
Amazon.com, Inc.	AMZN	023135106	5/17/2016	2		Elect Tom A. Alberg	Mgmt	For	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	3		Elect John Seely Brown	Mgmt	For	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	4		Elect William B. Gordon	Mgmt	For	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	5		Elect Jamie S. Gorelick	Mgmt	For	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	6		Elect Judith A McGrath	Mgmt	For	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	7		Elect Jonathan J. Rubinstein	Mgmt	For	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	8		Elect Thomas O. Ryder	Mgmt	For	Against
Amazon.com, Inc.	AMZN	023135106	5/17/2016	9		Elect Patricia Q. Stonesifer	Mgmt	For	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	10		Elect Wendell P. Weeks	Mgmt	For	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	11		Ratification of Auditor	Mgmt	For	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	12		Shareholder Proposal Regarding Sustainability Report	ShrHoldr	Against	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	13		Shareholder Proposal Regarding Human Rights Report	ShrHoldr	Against	For
Amazon.com, Inc.	AMZN	023135106	5/17/2016	14		Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against	Against
American Express Company	AXP	025816109	5/2/2016	1		Elect Charlene Barshefsky	Mgmt	For	For
American Express Company	AXP	025816109	5/2/2016	2		Elect Ursula M. Burns	Mgmt	For	For
American Express Company	AXP	025816109	5/2/2016	3		Elect Kenneth I. Chenault	Mgmt	For	For

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American Express Company	AXP	025816109	5/2/2016	4	Elect Peter Chernin	Mgmt	For	For
American Express Company	AXP	025816109	5/2/2016	5	Elect Ralph de la Vega	Mgmt	For	For
American Express Company	AXP	025816109	5/2/2016	6	Elect Anne L. Lauvergeon	Mgmt	For	For
American Express Company	AXP	025816109	5/2/2016	7	Elect Micheal O. Leavitt	Mgmt	For	For
American Express Company	AXP	025816109	5/2/2016	8	Elect Theodore J. Leonsis	Mgmt	For	For
American Express Company	AXP	025816109	5/2/2016	9	Elect Richard C. Levin	Mgmt	For	For
American Express Company	AXP	025816109	5/2/2016	10	Elect Samuel J. Palmisano	Mgmt	For	For
American Express Company	AXP	025816109	5/2/2016	11	Elect Daniel L. Vasella	Mgmt	For	For
American Express Company	AXP	025816109	5/2/2016	12	Elect Robert D. Walter	Mgmt	For	For
American Express Company	AXP	025816109	5/2/2016	13	Elect Ronald A. Williams	Mgmt	For	For
American Express Company	AXP	025816109	5/2/2016	14	Ratification of Auditor	Mgmt	For	For
American Express Company	AXP	025816109	5/2/2016	15	Advisory Vote on Executive Compensation	Mgmt	For	Against
American Express Company	AXP	025816109	5/2/2016	16	Approval of the 2016 Incentive Compensation Plan	Mgmt	For	For
American Express Company	AXP	025816109	5/2/2016	17	Shareholder Proposal Regarding Employment Diversity Report	ShrHoldr	Against	Against
American Express	AXP	025816109	5/2/2016	18	Shareholder Proposal Regarding	ShrHoldr	Against	Against

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Company					Privacy and Data Security			
American Express Company	AXP	025816109	5/2/2016	19	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	For
American Express Company	AXP	025816109	5/2/2016	20	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	Against
American Express Company	AXP	025816109	5/2/2016	21	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against	For
American International Group, Inc.	AIG	026874784	5/11/2016	1	Elect W. Don Cornwell	Mgmt	For	For
American International Group, Inc.	AIG	026874784	5/11/2016	2	Elect Peter R. Fisher	Mgmt	For	For
American International Group, Inc.	AIG	026874784	5/11/2016	3	Elect John Fitzpatrick	Mgmt	For	For
American International Group, Inc.	AIG	026874784	5/11/2016	4	Elect Peter D. Hancock	Mgmt	For	For
American International Group, Inc.	AIG	026874784	5/11/2016	5	Elect William G. Jurgensen	Mgmt	For	For
American International Group, Inc.	AIG	026874784	5/11/2016	6	Elect Christopher S. Lynch	Mgmt	For	For
American International Group, Inc.	AIG	026874784	5/11/2016	7	Elect Samuel J. Merksamer	Mgmt	For	For
American International Group, Inc.	AIG	026874784	5/11/2016	8	Elect George L. Miles, Jr.	Mgmt	For	Against
American International Group, Inc.	AIG	026874784	5/11/2016	9	Elect Henry S. Miller	Mgmt	For	For
American International Group, Inc.	AIG	026874784	5/11/2016	10	Elect Robert S. Miller	Mgmt	For	For
American International	AIG	026874784	5/11/2016	11	Elect Linda A. Mills	Mgmt	For	For

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Group, Inc.

American International Group, Inc.	AIG	026874784	5/11/2016	12	Elect Suzanne Nora Johnson	Mgmt	For	For
American International Group, Inc.	AIG	026874784	5/11/2016	13	Elect John A. Paulson	Mgmt	For	For
American International Group, Inc.	AIG	026874784	5/11/2016	14	Elect Ronald A. Rittenmeyer	Mgmt	For	For
American International Group, Inc.	AIG	026874784	5/11/2016	15	Elect Douglas M. Steenland	Mgmt	For	For
American International Group, Inc.	AIG	026874784	5/11/2016	16	Elect Theresa M. Stone	Mgmt	For	For
American International Group, Inc.	AIG	026874784	5/11/2016	17	Advisory Vote on Executive Compensation	Mgmt	For	For
American International Group, Inc.	AIG	026874784	5/11/2016	18	Ratification of Auditor	Mgmt	For	For
American Tower Corporation	AMT	03027X100	6/1/2016	1	Elect Raymond P. Dolan	Mgmt	For	For
American Tower Corporation	AMT	03027X100	6/1/2016	2	Elect Robert D. Hormats	Mgmt	For	For
American Tower Corporation	AMT	03027X100	6/1/2016	3	Elect Carolyn Katz	Mgmt	For	For
American Tower Corporation	AMT	03027X100	6/1/2016	4	Elect Gustavo Lara Cantu	Mgmt	For	For
American Tower Corporation	AMT	03027X100	6/1/2016	5	Elect Craig Macnab	Mgmt	For	For
American Tower Corporation	AMT	03027X100	6/1/2016	6	Elect JoAnn A. Reed	Mgmt	For	For
American Tower Corporation	AMT	03027X100	6/1/2016	7	Elect Pamela D.A. Reeve	Mgmt	For	For
American Tower Corporation	AMT	03027X100	6/1/2016	8	Elect David E. Sharbutt	Mgmt	For	For
American Tower Corporation	AMT	03027X100	6/1/2016	9	Elect James D. Taiclet, Jr.	Mgmt	For	For
American Tower Corporation	AMT	03027X100	6/1/2016	10	Elect Samme L. Thompson	Mgmt	For	For
American Tower Corporation	AMT	03027X100	6/1/2016	11	Ratification of Auditor	Mgmt	For	For

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American Tower Corporation	AMT	03027X100	6/1/2016	12	Advisory Vote on Executive Compensation	Mgmt	For	For
American Tower Corporation	AMT	03027X100	6/1/2016	13	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against	For
AMGEN Inc.	AMGN	031162100	5/19/2016	1	Elect David Baltimore	Mgmt	For	For
AMGEN Inc.	AMGN	031162100	5/19/2016	2	Elect Frank J. Biondi, Jr.	Mgmt	For	For
AMGEN Inc.	AMGN	031162100	5/19/2016	3	Elect Robert A. Bradway	Mgmt	For	For
AMGEN Inc.	AMGN	031162100	5/19/2016	4	Elect Francois de Carbonnel	Mgmt	For	For
AMGEN Inc.	AMGN	031162100	5/19/2016	5	Elect Robert A. Eckert	Mgmt	For	For
AMGEN Inc.	AMGN	031162100	5/19/2016	6	Elect Greg C. Garland	Mgmt	For	For
AMGEN Inc.	AMGN	031162100	5/19/2016	7	Elect Fred Hassan	Mgmt	For	For
AMGEN Inc.	AMGN	031162100	5/19/2016	8	Elect Rebecca M. Henderson	Mgmt	For	For
AMGEN Inc.	AMGN	031162100	5/19/2016	9	Elect Frank C. Herringer	Mgmt	For	For
AMGEN Inc.	AMGN	031162100	5/19/2016	10	Elect Tyler Jacks	Mgmt	For	For
AMGEN Inc.	AMGN	031162100	5/19/2016	11	Elect Judith C. Pelham	Mgmt	For	For
AMGEN Inc.	AMGN	031162100	5/19/2016	12	Elect Ronald D. Sugar	Mgmt	For	For
AMGEN Inc.	AMGN	031162100	5/19/2016	13	Elect R. Sanders Williams	Mgmt	For	For
AMGEN Inc.	AMGN	031162100	5/19/2016	14	Ratification of Auditor	Mgmt	For	For
AMGEN Inc.	AMGN	031162100	5/19/2016	15	Advisory Vote on Executive Compensation	Mgmt	For	For
AMGEN Inc.	AMGN	031162100	5/19/2016	16	Shareholder Proposal Regarding Counting Abstentions	ShrHoldr	Against	Against
Anthem, Inc.	ANTM	036752103	5/19/2016	1	Elect Lewis Hay, III	Mgmt	For	For
Anthem, Inc.	ANTM	036752103	5/19/2016	2	Elect George A. Schaefer, Jr.	Mgmt	For	For



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Anthem, Inc.	ANTM	036752103	5/19/2016	3	Elect Joseph R. Swedish	Mgmt	For	For
Anthem, Inc.	ANTM	036752103	5/19/2016	4	Elect Elizabeth E. Tallett	Mgmt	For	For
Anthem, Inc.	ANTM	036752103	5/19/2016	5	Ratification of Auditor	Mgmt	For	For
Anthem, Inc.	ANTM	036752103	5/19/2016	6	Advisory Vote on Executive Compensation	Mgmt	For	For
Anthem, Inc.	ANTM	036752103	5/19/2016	7	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	Against
Anthem, Inc.	ANTM	036752103	12/3/2015	1	Merger/Acquisition	Mgmt	For	For
Anthem, Inc.	ANTM	036752103	12/3/2015	2	Right to Adjourn Meeting	Mgmt	For	For
Aon plc	AON	G0408V102	6/24/2016	1	Elect Lester B. Knight	Mgmt	For	For
Aon plc	AON	G0408V102	6/24/2016	2	Elect Gregory C. Case	Mgmt	For	For
Aon plc	AON	G0408V102	6/24/2016	3	Elect Fulvio Conti	Mgmt	For	For
Aon plc	AON	G0408V102	6/24/2016	4	Elect Cheryl Francis	Mgmt	For	For
Aon plc	AON	G0408V102	6/24/2016	5	Elect James W. Leng	Mgmt	For	For
Aon plc	AON	G0408V102	6/24/2016	6	Elect J. Michael Losh	Mgmt	For	For
Aon plc	AON	G0408V102	6/24/2016	7	Elect Robert S. Morrison	Mgmt	For	For
Aon plc	AON	G0408V102	6/24/2016	8	Elect Richard B. Myers	Mgmt	For	For
Aon plc	AON	G0408V102	6/24/2016	9	Elect Richard C. Notebaert	Mgmt	For	For
Aon plc	AON	G0408V102	6/24/2016	10	Elect Gloria Santona	Mgmt	For	For
Aon plc	AON	G0408V102	6/24/2016	11	Elect Carolyn Y. Woo	Mgmt	For	For
Aon plc	AON	G0408V102	6/24/2016	12	Advisory Vote on Executive Compensation	Mgmt	For	Against
Aon plc	AON	G0408V102	6/24/2016	13	Remuneration Report (Advisory)	Mgmt	For	Against
Aon plc	AON	G0408V102	6/24/2016	14	Accounts and Reports	Mgmt	For	For
Aon plc	AON	G0408V102	6/24/2016	15	Ratification of Auditor	Mgmt	For	For

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Aon plc	AON	G0408V102	6/24/2016	16	Ratification of Statutory Auditor	Mgmt	For	For
Aon plc	AON	G0408V102	6/24/2016	17	Authority to Set Auditor's Fees	Mgmt	For	For
Aon plc	AON	G0408V102	6/24/2016	18	Approval of Share Repurchase Contracts and Repurchase Counterparties	Mgmt	For	For
Aon plc	AON	G0408V102	6/24/2016	19	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For
Aon plc	AON	G0408V102	6/24/2016	20	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For
Aon plc	AON	G0408V102	6/24/2016	21	Authorization of Political Donations and Expenditures	Mgmt	For	For
Apollo Senior Floating Rate Fund Inc.	AFT	037638103	5/19/2016	1.1	Elect Glenn N. Marchak	Mgmt	For	For 89% Withho 11%
Apollo Senior Floating Rate Fund Inc.	AFT	037638103	5/19/2016	1.2	Elect Todd J. Slotkin	Mgmt	For	For 89% Withho 11%
Apple Inc.	AAPL	037833100	2/26/2016	1	Elect James A. Bell	Mgmt	For	For
Apple Inc.	AAPL	037833100	2/26/2016	2	Elect Timothy D. Cook	Mgmt	For	For
Apple Inc.	AAPL	037833100	2/26/2016	3	Elect Albert A. Gore	Mgmt	For	For
Apple Inc.	AAPL	037833100	2/26/2016	4	Elect Robert A. Iger	Mgmt	For	For
Apple Inc.	AAPL	037833100	2/26/2016	5	Elect Andrea Jung	Mgmt	For	For
Apple Inc.	AAPL	037833100	2/26/2016	6	Elect Arthur D. Levinson	Mgmt	For	For
Apple Inc.	AAPL	037833100	2/26/2016	7	Elect Ronald D. Sugar	Mgmt	For	For
Apple Inc.	AAPL	037833100	2/26/2016	8	Elect Susan L. Wagner	Mgmt	For	For
Apple Inc.	AAPL	037833100	2/26/2016	9	Ratification of Auditor	Mgmt	For	For
Apple Inc.	AAPL	037833100	2/26/2016	10	Advisory Vote on Executive Compensation	Mgmt	For	For
Apple Inc.	AAPL	037833100	2/26/2016	11	Approval of Amended and	Mgmt	For	For

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Apple Inc.	AAPL	037833100	2/26/2016	12	Restated 2014 Employee Stock Plan Shareholder Proposal Regarding Net Zero Greenhouse Gas Emissions	ShrHoldr	Against	Against
Apple Inc.	AAPL	037833100	2/26/2016	13	Shareholder Proposal Regarding Racial Diversity of Board and Management	ShrHoldr	Against	Against
Apple Inc.	AAPL	037833100	2/26/2016	14	Shareholder Proposal Regarding Country Selection Guidelines	ShrHoldr	Against	Against
Apple Inc.	AAPL	037833100	2/26/2016	15	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against	Against
AT and T Inc.	T	00206R102	4/29/2016	1	Elect Randall L. Stephenson	Mgmt	For	For
AT and T Inc.	T	00206R102	4/29/2016	2	Elect Samuel A. Di Piazza, Jr.	Mgmt	For	For
AT and T Inc.	T	00206R102	4/29/2016	3	Elect Richard W. Fisher	Mgmt	For	For
AT and T Inc.	T	00206R102	4/29/2016	4	Elect Scott T. Ford	Mgmt	For	For
AT and T Inc.	T	00206R102	4/29/2016	5	Elect Glenn H. Hutchins	Mgmt	For	For
AT and T Inc.	T	00206R102	4/29/2016	6	Elect William E. Kennard	Mgmt	For	For
AT and T Inc.	T	00206R102	4/29/2016	7	Elect Michael McCallister	Mgmt	For	For
AT and T Inc.	T	00206R102	4/29/2016	8	Elect Beth E. Mooney	Mgmt	For	For
AT and T Inc.	T	00206R102	4/29/2016	9	Elect Joyce M. Roche	Mgmt	For	For
AT and T Inc.	T	00206R102	4/29/2016	10	Elect Matthew K. Rose	Mgmt	For	For
AT and T Inc.	T	00206R102	4/29/2016	11	Elect Cynthia B. Taylor	Mgmt	For	For
AT and T Inc.	T	00206R102	4/29/2016	12	Elect Laura D'Andrea Tyson	Mgmt	For	For
AT and T Inc.	T	00206R102	4/29/2016	13	Ratification of	Mgmt	For	For

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					Auditor				
AT and T Inc.	T	00206R102	4/29/2016	14	Advisory Vote on Executive Compensation	Mgmt	For		For
AT and T Inc.	T	00206R102	4/29/2016	15	Approval of the 2016 Incentive Plan	Mgmt	For		For
AT and T Inc.	T	00206R102	4/29/2016	16	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against		Against
AT and T Inc.	T	00206R102	4/29/2016	17	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against		For
AT and T Inc.	T	00206R102	4/29/2016	18	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against		For
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	1.1	Elect Ellen R. Alemany	Mgmt	For		For
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	1.2	Elect Peter Bisson	Mgmt	For		For
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	1.3	Elect Richard T. Clark	Mgmt	For		For
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	1.4	Elect Eric C. Fast	Mgmt	For		For
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	1.5	Elect Linda R. Gooden	Mgmt	For		For
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	1.6	Elect Michael P. Gregoire	Mgmt	For		For
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	1.7	Elect R. Glenn Hubbard	Mgmt	For		For 97% Withho 3%
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	1.8	Elect John P. Jones	Mgmt	For		Withho
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	1.9	Elect Carlos A. Rodriguez	Mgmt	For		For
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	2	Advisory Vote on Executive Compensation	Mgmt	For		For
Automatic Data Processing, Inc.	ADP	053015103	11/10/2015	3	Ratification of Auditor	Mgmt	For		For
Avenue Income Credit Strategies	ACP	05358E106	5/12/2016	1.1	Elect Julie Dien Ledoux	Mgmt	For		For 94% Withho

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Fund

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Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.1	Elect Warren E. Buffett	Mgmt	For	For
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.2	Elect Charles T. Munger	Mgmt	For	For
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.3	Elect Howard G. Buffett	Mgmt	For	For
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.4	Elect Stephen B. Burke	Mgmt	For	For
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.5	Elect Susan L. Decker	Mgmt	For	For
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.6	Elect William H. Gates III	Mgmt	For	For
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.7	Elect David S. Gottesman	Mgmt	For	For
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.8	Elect Charlotte Guyman	Mgmt	For	For
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.9	Elect Thomas S. Murphy	Mgmt	For	For
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.10	Elect Ronald L. Olson	Mgmt	For	For
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.11	Elect Walter Scott, Jr.	Mgmt	For	For
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	1.12	Elect Meryl B. Witmer	Mgmt	For	For
Berkshire Hathaway Inc.	BRK.A	084670702	4/30/2016	2	Shareholder Proposal Regarding Reporting Of Climate Change Risks	ShrHoldr	Against	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.1	Elect Michael J. Castellano	Mgmt	For	For 97% Withho 3%
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.2	Elect Richard E. Cavanagh	Mgmt	For	For 97% Withho 3%
BlackRock Corporation High	HYT	09255P107	7/29/2015	1.3	Elect Frank J. Fabozzi	Mgmt	For	For 97% Withho

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Yield Fund III Inc									3%
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.4	Elect Kathleen F. Feldstein	Mgmt	For		For 97% Withho 3%
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.5	Elect James T. Flynn	Mgmt	For		For 97% Withho 3%
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.6	Elect Jerrold B. Harris	Mgmt	For		For 97% Withho 3%
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.7	Elect R. Glenn Hubbard	Mgmt	For		For 97% Withho 3%
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.8	Elect W. Carl Kester	Mgmt	For		For 97% Withho 3%
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.9	Elect Babara G. Novick	Mgmt	For		For 97% Withho 3%
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.10	Elect John M. Perlowski	Mgmt	For		For 97% Withho 3%
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.11	Elect Karen P. Robards	Mgmt	For		For 97% Withho 3%
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.1	Elect Michael J. Castellano	Mgmt	For		For 97% Withho 3%
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.2	Elect Richard E. Cavanagh	Mgmt	For		For 97% Withho 3%
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.3	Elect Frank J. Fabozzi	Mgmt	For		For 97% Withho 3%

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BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.4	Elect Kathleen F. Feldstein	Mgmt	For	For 97% Withho 3%
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.5	Elect James T. Flynn	Mgmt	For	For 97% Withho 3%
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.6	Elect Jerrold B. Harris	Mgmt	For	For 97% Withho 3%
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.7	Elect R. Glenn Hubbard	Mgmt	For	For 97% Withho 3%
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.8	Elect W. Carl Kester	Mgmt	For	For 97% Withho 3%
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.9	Elect Babara G. Novick	Mgmt	For	For 97% Withho 3%
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.10	Elect John M. Perlowski	Mgmt	For	For 97% Withho 3%
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/29/2015	1.11	Elect Karen P. Robards	Mgmt	For	For 97% Withho 3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.1	Elect Michael J. Castellano	Mgmt	For	For 97% Withho 3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.2	Elect Richard E. Cavanagh	Mgmt	For	For 97% Withho 3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.3	Elect Frank J. Fabozzi	Mgmt	For	For 97% Withho 3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.4	Elect Kathleen F. Feldstein	Mgmt	For	For 97% Withho 3%
BlackRock Debt Strategies Fund,	DSU	09255R103	7/29/2015	1.5	Elect James T. Flynn	Mgmt	For	For 97% Withho

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Inc.									3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.6	Elect Jerrold B. Harris	Mgmt	For		For 97% Withho 3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.7	Elect R. Glenn Hubbard	Mgmt	For		For 97% Withho 3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.8	Elect W. Carl Kester	Mgmt	For		For 97% Withho 3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.9	Elect Babara G. Novick	Mgmt	For		For 97% Withho 3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.10	Elect John M. Perlowski	Mgmt	For		For 97% Withho 3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.11	Elect Karen P. Robards	Mgmt	For		For 97% Withho 3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.1	Elect Michael J. Castellano	Mgmt	For		For 97% Withho 3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.2	Elect Richard E. Cavanagh	Mgmt	For		For 97% Withho 3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.3	Elect Frank J. Fabozzi	Mgmt	For		For 97% Withho 3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.4	Elect Kathleen F. Feldstein	Mgmt	For		For 97% Withho 3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.5	Elect James T. Flynn	Mgmt	For		For 97% Withho 3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.6	Elect Jerrold B. Harris	Mgmt	For		For 97% Withho 3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.7	Elect R. Glenn Hubbard	Mgmt	For		For 97% Withho 3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.8	Elect W. Carl Kester	Mgmt	For		For 97% Withho 3%
BlackRock Debt Strategies Fund,	DSU	09255R103	7/29/2015	1.9	Elect Babara G. Novick	Mgmt	For		For 97% Withho



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Inc.									3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.10	Elect John M. Perlowski	Mgmt	For		For 97% Withho 3%
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/29/2015	1.11	Elect Karen P. Robards	Mgmt	For		For 97% Withho 3%
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/29/2015	1.1	Elect Frank J. Fabozzi	Mgmt	For		For 97% Withho 3%
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/29/2015	1.2	Elect James T. Flynn	Mgmt	For		For 97% Withho 3%
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/29/2015	1.3	Elect Babara G. Novick	Mgmt	For		For 97% Withho 3%
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/29/2015	1.4	Elect John M. Perlowski	Mgmt	For		For 97% Withho 3%
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/29/2015	1.5	Elect Karen P. Robards	Mgmt	For		For 97% Withho 3%
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/29/2015	1.1	Elect Frank J. Fabozzi	Mgmt	For		For 97% Withho 3%
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/29/2015	1.2	Elect James T. Flynn	Mgmt	For		For 97% Withho 3%
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/29/2015	1.3	Elect Babara G. Novick	Mgmt	For		For 97% Withho 3%
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/29/2015	1.4	Elect John M. Perlowski	Mgmt	For		For 97% Withho 3%
BlackRock Global Opportunities	BOE	092501105	7/29/2015	1.5	Elect Karen P. Robards	Mgmt	For		For 97% Withho 3%

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Equity Trust

BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/29/2015	1.1	Elect Frank J. Fabozzi	Mgmt	For	For 97% Withho 3%
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/29/2015	1.2	Elect James T. Flynn	Mgmt	For	For 97% Withho 3%
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/29/2015	1.3	Elect Babara G. Novick	Mgmt	For	For 97% Withho 3%
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/29/2015	1.4	Elect John M. Perlowski	Mgmt	For	For 97% Withho 3%
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/29/2015	1.5	Elect Karen P. Robards	Mgmt	For	For 97% Withho 3%
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/29/2015	1.1	Elect Frank J. Fabozzi	Mgmt	For	For 97% Withho 3%
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/29/2015	1.2	Elect James T. Flynn	Mgmt	For	For 97% Withho 3%
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/29/2015	1.3	Elect Babara G. Novick	Mgmt	For	For 97% Withho 3%
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/29/2015	1.4	Elect John M. Perlowski	Mgmt	For	For 97% Withho 3%
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/29/2015	1.5	Elect Karen P. Robards	Mgmt	For	For 97% Withho 3%
BlackRock Utility and Infrastructure Trust	BUI	09248D104	7/29/2015	1.1	Elect Frank J. Fabozzi	Mgmt	For	For 97% Withho 3%
BlackRock Utility and Infrastructure Trust	BUI	09248D104	7/29/2015	1.2	Elect James T. Flynn	Mgmt	For	For 97% Withho 3%
BlackRock Utility and Infrastructure Trust	BUI	09248D104	7/29/2015	1.3	Elect Babara G. Novick	Mgmt	For	For 97% Withho 3%
BlackRock Utility and	BUI	09248D104	7/29/2015	1.4	Elect John M. Perlowski	Mgmt	For	For 97% Withho

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Infrastructure Trust									3%
BlackRock Utility and Infrastructure Trust	BUI	09248D104	7/29/2015	1.5	Elect Karen P. Robards	Mgmt	For		For 97% Withho 3%
Boeing Company	BA	097023105	5/2/2016	1	Elect David L. Calhoun	Mgmt	For		For
Boeing Company	BA	097023105	5/2/2016	2	Elect Arthur D. Collins, Jr.	Mgmt	For		For
Boeing Company	BA	097023105	5/2/2016	3	Elect Kenneth M. Duberstein	Mgmt	For		For
Boeing Company	BA	097023105	5/2/2016	4	Elect Edmund P. Giambastiani, Jr.	Mgmt	For		For
Boeing Company	BA	097023105	5/2/2016	5	Elect Lynn J. Good	Mgmt	For		For
Boeing Company	BA	097023105	5/2/2016	6	Elect Lawrence W. Kellner	Mgmt	For		For
Boeing Company	BA	097023105	5/2/2016	7	Elect Edward M. Liddy	Mgmt	For		For
Boeing Company	BA	097023105	5/2/2016	8	Elect Dennis A. Muilenburg	Mgmt	For		For
Boeing Company	BA	097023105	5/2/2016	9	Elect Susan C. Schwab	Mgmt	For		For
Boeing Company	BA	097023105	5/2/2016	10	Elect Randall L. Stephenson	Mgmt	For		For
Boeing Company	BA	097023105	5/2/2016	11	Elect Ronald A. Williams	Mgmt	For		For
Boeing Company	BA	097023105	5/2/2016	12	Elect Mike S. Zafirovski	Mgmt	For		For
Boeing Company	BA	097023105	5/2/2016	13	Advisory Vote on Executive Compensation	Mgmt	For		For
Boeing Company	BA	097023105	5/2/2016	14	Ratification of Auditor	Mgmt	For		For
Boeing Company	BA	097023105	5/2/2016	15	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against		Against
Boeing Company	BA	097023105	5/2/2016	16	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against		For
Boeing Company	BA	097023105	5/2/2016	17	Shareholder Proposal Regarding Independent Board	ShrHoldr	Against		For

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					Chairman				
Boeing Company	BA	097023105	5/2/2016	18	Shareholder Proposal Regarding Report on Arms Sales to Israel	ShrHoldr	Against		Against
Boston Scientific Corporation	BSX	101137107	5/3/2016	1	Elect Nelda J. Connors	Mgmt	For		For
Boston Scientific Corporation	BSX	101137107	5/3/2016	2	Elect Charles J. Dockendorff	Mgmt	For		For
Boston Scientific Corporation	BSX	101137107	5/3/2016	3	Elect Donna A. James	Mgmt	For		For
Boston Scientific Corporation	BSX	101137107	5/3/2016	4	Elect Kristina M. Johnson	Mgmt	For		For
Boston Scientific Corporation	BSX	101137107	5/3/2016	5	Elect Edward J. Ludwig	Mgmt	For		For
Boston Scientific Corporation	BSX	101137107	5/3/2016	6	Elect Stephen P. MacMillan	Mgmt	For		For
Boston Scientific Corporation	BSX	101137107	5/3/2016	7	Elect Michael F. Mahoney	Mgmt	For		For
Boston Scientific Corporation	BSX	101137107	5/3/2016	8	Elect David J. Roux	Mgmt	For		For
Boston Scientific Corporation	BSX	101137107	5/3/2016	9	Elect John E. Sununu	Mgmt	For		For
Boston Scientific Corporation	BSX	101137107	5/3/2016	10	Elect Ellen M. Zane	Mgmt	For		For
Boston Scientific Corporation	BSX	101137107	5/3/2016	11	Advisory Vote on Executive Compensation	Mgmt	For		For
Boston Scientific Corporation	BSX	101137107	5/3/2016	12	Amendment to the 2011 Long-Term Incentive Plan	Mgmt	For		For
Boston Scientific Corporation	BSX	101137107	5/3/2016	13	Ratification of Auditor	Mgmt	For		For
Calamos Funds	CHW	12811L107	6/30/2016	1.1	Elect Virginia G. Breen	Mgmt	For		For 96% Withho. 4%
Calamos Funds	CHW	12811L107	6/30/2016	1.2	Elect Theresa A. Hamacher	Mgmt	For		For 96% Withho. 4%
Calamos Funds	CHW	12811L107	6/30/2016	1.3	Elect Stephen B. Timbers	Mgmt	For		For 96% Withho. 4%
Calamos Global Total Return	CGO	128118106	6/30/2016	1.1	Elect Virginia G. Breen	Mgmt	For		For 96% Withho.

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Fund									
Calamos Global Total Return Fund	CGO	128118106	6/30/2016	1.2	Elect Theresa A. Hamacher	Mgmt	For	4%	For 96% Withho 4%
Calamos Global Total Return Fund	CGO	128118106	6/30/2016	1.3	Elect Stephen B. Timbers	Mgmt	For	4%	For 96% Withho 4%
Calamos Strategic Total Return Fund	CSQ	128125101	6/30/2016	1.1	Elect Virginia G. Breen	Mgmt	For	4%	For 96% Withho 4%
Calamos Strategic Total Return Fund	CSQ	128125101	6/30/2016	1.2	Elect Theresa A. Hamacher	Mgmt	For	4%	For 96% Withho 4%
Calamos Strategic Total Return Fund	CSQ	128125101	6/30/2016	1.3	Elect Stephen B. Timbers	Mgmt	For	4%	For 96% Withho 4%
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	1	Elect David J. Anderson	Mgmt	For		For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	2	Elect Colleen F. Arnold	Mgmt	For		For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	3	Elect George S. Barrett	Mgmt	For		For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	4	Elect Carrie S. Cox	Mgmt	For		For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	5	Elect Calvin Darden	Mgmt	For		For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	6	Elect Bruce L. Downey	Mgmt	For		For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	7	Elect Patricia A. Hemingway Hall	Mgmt	For		For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	8	Elect Clayton M. Jones	Mgmt	For		For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	9	Elect Gregory B. Kenny	Mgmt	For		Against
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	10	Elect Nancy Killefer	Mgmt	For		For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	11	Elect David P. King	Mgmt	For		For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	12	Ratification of Auditor	Mgmt	For		For
Cardinal Health, Inc.	CAH	14149Y108	11/4/2015	13	Advisory Vote on Executive Compensation	Mgmt	For		For
	IGR	12504G100	10/7/2015	1		Mgmt	For		

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CBRE Clarion Global Real Estate Income Fund					Elect Asuka Nakahara				For 98% Withhol 2%
Celgene Corporation	CELG	151020104	6/15/2016	1.1	Elect Robert J. Hugin	Mgmt	For		For
Celgene Corporation	CELG	151020104	6/15/2016	1.2	Elect Mark J. Alles	Mgmt	For		For
Celgene Corporation	CELG	151020104	6/15/2016	1.3	Elect Richard W. Barker	Mgmt	For		For
Celgene Corporation	CELG	151020104	6/15/2016	1.4	Elect Michael W. Bonney	Mgmt	For		For
Celgene Corporation	CELG	151020104	6/15/2016	1.5	Elect Michael D. Casey	Mgmt	For		For
Celgene Corporation	CELG	151020104	6/15/2016	1.6	Elect Carrie S. Cox	Mgmt	For		For
Celgene Corporation	CELG	151020104	6/15/2016	1.7	Elect Jacquelyn A. Fouse	Mgmt	For		For
Celgene Corporation	CELG	151020104	6/15/2016	1.8	Elect Michael A. Friedman	Mgmt	For		For
Celgene Corporation	CELG	151020104	6/15/2016	1.9	Elect Julia A. Haller	Mgmt	For		For
Celgene Corporation	CELG	151020104	6/15/2016	1.10	Elect Gilla Kaplan	Mgmt	For		For
Celgene Corporation	CELG	151020104	6/15/2016	1.11	Elect James J. Loughlin	Mgmt	For		For
Celgene Corporation	CELG	151020104	6/15/2016	1.12	Elect Ernest Mario	Mgmt	For		For
Celgene Corporation	CELG	151020104	6/15/2016	2	Ratification of Auditor	Mgmt	For		For
Celgene Corporation	CELG	151020104	6/15/2016	3	Amendment to the 2008 Stock Incentive Plan	Mgmt	For		For
Celgene Corporation	CELG	151020104	6/15/2016	4	Advisory Vote on Executive Compensation	Mgmt	For		For
Celgene Corporation	CELG	151020104	6/15/2016	5	Exclusive Forum Provision	Mgmt	For		Against
Celgene Corporation	CELG	151020104	6/15/2016	6	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against		For
Celgene Corporation	CELG	151020104	6/15/2016	7	Shareholder Proposal Regarding	ShrHoldr	Against		For

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Chevron Corporation	CVX	166764100	5/25/2016	1	Elect Alexander B. Cummings, Jr.	Mgmt	For	Abstain
Chevron Corporation	CVX	166764100	5/25/2016	2	Elect Linnet F. Deily	Mgmt	For	For
Chevron Corporation	CVX	166764100	5/25/2016	3	Elect Robert E. Denham	Mgmt	For	For
Chevron Corporation	CVX	166764100	5/25/2016	4	Elect Alice P. Gast	Mgmt	For	For
Chevron Corporation	CVX	166764100	5/25/2016	5	Elect Enrique Hernandez, Jr.	Mgmt	For	For
Chevron Corporation	CVX	166764100	5/25/2016	6	Elect Jon M. Huntsman, Jr.	Mgmt	For	For
Chevron Corporation	CVX	166764100	5/25/2016	7	Elect Charles W. Moorman, IV	Mgmt	For	For
Chevron Corporation	CVX	166764100	5/25/2016	8	Elect John G. Stumpf	Mgmt	For	For
Chevron Corporation	CVX	166764100	5/25/2016	9	Elect Ronald D. Sugar	Mgmt	For	For
Chevron Corporation	CVX	166764100	5/25/2016	10	Elect Inge G. Thulin	Mgmt	For	For
Chevron Corporation	CVX	166764100	5/25/2016	11	Elect John S. Watson	Mgmt	For	For
Chevron Corporation	CVX	166764100	5/25/2016	12	Ratification of Auditor	Mgmt	For	For
Chevron Corporation	CVX	166764100	5/25/2016	13	Advisory Vote on Executive Compensation	Mgmt	For	Against
Chevron Corporation	CVX	166764100	5/25/2016	14	Amendment to Non-Employee Directors' Equity Compensation and Deferral Plan	Mgmt	For	For
Chevron Corporation	CVX	166764100	5/25/2016	15	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For
Chevron Corporation	CVX	166764100	5/25/2016	16	Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions	ShrHoldr	Against	Against
Chevron Corporation	CVX	166764100	5/25/2016	17	Shareholder Proposal Regarding	ShrHoldr	Against	For

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					Climate Change Policy Risk				
Chevron Corporation	CVX	166764100	5/25/2016	18	Shareholder Proposal Regarding Report on Reserve Replacements	ShrHoldr	Against		Against
Chevron Corporation	CVX	166764100	5/25/2016	19	Shareholder Proposal Regarding Dividend Policy	ShrHoldr	Against		Against
Chevron Corporation	CVX	166764100	5/25/2016	20	Shareholder Proposal Regarding Hydraulic Fracturing	ShrHoldr	Against		Against
Chevron Corporation	CVX	166764100	5/25/2016	21	Shareholder Proposal Regarding Environmental Expertise on Board	ShrHoldr	Against		Against
Chevron Corporation	CVX	166764100	5/25/2016	22	Shareholder Proposal Regarding Right to Call a Special meeting	ShrHoldr	Against		Against
Chubb Limited	CB	H1467J104	5/19/2016	1	Accounts and Reports	Mgmt	For		For
Chubb Limited	CB	H1467J104	5/19/2016	2	Allocation of Profits/Dividends	Mgmt	For		For
Chubb Limited	CB	H1467J104	5/19/2016	3	Dividends from Reserves	Mgmt	For		For
Chubb Limited	CB	H1467J104	5/19/2016	4	Ratification of Board Acts	Mgmt	For		For
Chubb Limited	CB	H1467J104	5/19/2016	5	Election of Statutory Auditors	Mgmt	For		For
Chubb Limited	CB	H1467J104	5/19/2016	6	Appointment of Auditor	Mgmt	For		For
Chubb Limited	CB	H1467J104	5/19/2016	7	Appointment of Special Auditor	Mgmt	For		For
Chubb Limited	CB	H1467J104	5/19/2016	8	Elect Evan G. Greenberg	Mgmt	For		For
Chubb Limited	CB	H1467J104	5/19/2016	9	Elect Robert M. Hernandez	Mgmt	For		Against
Chubb Limited	CB	H1467J104	5/19/2016	10	Elect Michael G. Atieh	Mgmt	For		For
Chubb Limited	CB	H1467J104	5/19/2016	11	Elect Sheila P. Burke	Mgmt	For		For
Chubb Limited	CB	H1467J104	5/19/2016	12	Elect James I. Cash, Jr.	Mgmt	For		For



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Chubb Limited	CB	H1467J104	5/19/2016	13	Elect Mary A. Cirillo	Mgmt	For	Against
Chubb Limited	CB	H1467J104	5/19/2016	14	Elect Michael P. Connors	Mgmt	For	Against
Chubb Limited	CB	H1467J104	5/19/2016	15	Elect John A. Edwardson	Mgmt	For	For
Chubb Limited	CB	H1467J104	5/19/2016	16	Elect Lawrence W. Kellner	Mgmt	For	For
Chubb Limited	CB	H1467J104	5/19/2016	17	Elect Leo F. Mullin	Mgmt	For	For
Chubb Limited	CB	H1467J104	5/19/2016	18	Elect Kimberly Ross	Mgmt	For	For
Chubb Limited	CB	H1467J104	5/19/2016	19	Elect Robert W. Scully	Mgmt	For	For
Chubb Limited	CB	H1467J104	5/19/2016	20	Elect Eugene B. Shanks, Jr.	Mgmt	For	For
Chubb Limited	CB	H1467J104	5/19/2016	21	Elect Theodore Shasta	Mgmt	For	For
Chubb Limited	CB	H1467J104	5/19/2016	22	Elect David H. Sidwell	Mgmt	For	For
Chubb Limited	CB	H1467J104	5/19/2016	23	Elect Olivier Steimer	Mgmt	For	For
Chubb Limited	CB	H1467J104	5/19/2016	24	Elect James M. Zimmerman	Mgmt	For	For
Chubb Limited	CB	H1467J104	5/19/2016	25	Elect Evan G. Greenberg as Chairman of the Board	Mgmt	For	For
Chubb Limited	CB	H1467J104	5/19/2016	26	Elect Michael P. Connors	Mgmt	For	Against
Chubb Limited	CB	H1467J104	5/19/2016	27	Elect Mary A. Cirillo	Mgmt	For	Against
Chubb Limited	CB	H1467J104	5/19/2016	28	Elect Robert M. Hernandez	Mgmt	For	Against
Chubb Limited	CB	H1467J104	5/19/2016	29	Elect Robert W. Scully	Mgmt	For	For
Chubb Limited	CB	H1467J104	5/19/2016	30	Elect James M. Zimmerman	Mgmt	For	For
Chubb Limited	CB	H1467J104	5/19/2016	31	ELECTION OF HOMBURGER AG AS INDEPENDENT PROXY	Mgmt	For	For
Chubb Limited	CB	H1467J104	5/19/2016	32	Increase in Authorized Share Capital for General	Mgmt	For	For

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					Purposes				
Chubb Limited	CB	H1467J104	5/19/2016	33	Approval of the 2016 Long-Term Incentive Plan	Mgmt	For		For
Chubb Limited	CB	H1467J104	5/19/2016	34	Compensation of the Board until the Next AGM	Mgmt	For		For
Chubb Limited	CB	H1467J104	5/19/2016	35	Executive Compensation	Mgmt	For		For
Chubb Limited	CB	H1467J104	5/19/2016	36	Advisory Vote on Executive Compensation	Mgmt	For		Against
Chubb Limited	CB	H1467J104	5/19/2016	37	Additional or Miscellaneous Proposals	Mgmt	For		Abstain
CIGNA Corporation	CI	125509109	4/27/2016	1	Elect David M. Cordani	Mgmt	For		For
CIGNA Corporation	CI	125509109	4/27/2016	2	Elect Isaiah Harris, Jr.	Mgmt	For		For
CIGNA Corporation	CI	125509109	4/27/2016	3	Elect Jane E. Henney	Mgmt	For		For
CIGNA Corporation	CI	125509109	4/27/2016	4	Elect Donna F. Zarcone	Mgmt	For		For
CIGNA Corporation	CI	125509109	4/27/2016	5	Advisory Vote on Executive Compensation	Mgmt	For		For
CIGNA Corporation	CI	125509109	4/27/2016	6	Ratification of Auditor	Mgmt	For		For
CIGNA Corporation	CI	125509109	12/3/2015	1	Merger/Acquisition	Mgmt	For		For
CIGNA Corporation	CI	125509109	12/3/2015	2	Advisory Vote on Golden Parachutes	Mgmt	For		For
CIGNA Corporation	CI	125509109	12/3/2015	3	Right to Adjourn Meeting	Mgmt	For		For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	1	Elect Carol A. Bartz	Mgmt	For		For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	2	Elect M. Michele Burns	Mgmt	For		For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	3	Elect Michael D. Capellas	Mgmt	For		For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	4	Elect John T. Chambers	Mgmt	For		For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	5	Elect Brian L. Halla	Mgmt	For		For

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Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	6	Elect John L. Hennessy	Mgmt	For	Against
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	7	Elect Kristina M. Johnson	Mgmt	For	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	8	Elect Roderick C. McGeary	Mgmt	For	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	9	Elect Charles H. Robbins	Mgmt	For	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	10	Elect Arun Sarin	Mgmt	For	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	11	Elect Steven M. West	Mgmt	For	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	12	Advisory Vote on Executive Compensation	Mgmt	For	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	13	Ratification of Auditor	Mgmt	For	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	14	Shareholder Proposal Regarding Holy Land Principles	ShrHoldr	Against	Against
Cisco Systems, Inc.	CSCO	17275R102	11/19/2015	15	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against	For
Clough Global Allocation Fund	GLV	18913Y103	7/28/2015	1.1	Elect Robert L. Butler	Mgmt	For	For 96% Withho 4%
Clough Global Allocation Fund	GLV	18913Y103	7/28/2015	1.2	Elect James E. Canty	Mgmt	For	For 96% Withho 4%
Clough Global Allocation Fund	GLV	18913Y103	7/28/2015	1.3	Elect Richard C. Rantzow	Mgmt	For	For 96% Withho 4%
Clough Global Allocation Fund	GLV	18913Y103	7/28/2015	1.1	Elect Robert L. Butler	Mgmt	For	For 96% Withho 4%
Clough Global Allocation Fund	GLV	18913Y103	7/28/2015	1.2	Elect James E. Canty	Mgmt	For	For 96% Withho 4%
Clough Global Allocation Fund	GLV	18913Y103	7/28/2015	1.3	Elect Richard C. Rantzow	Mgmt	For	For 96% Withho 4%
Cognizant Technology Solutions	CTSH	192446102	6/15/2016	1	Elect Zein Abdalla	Mgmt	For	For

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Corporation									
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	2	Elect Maureen Breakiron-Evans	Mgmt	For		For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	3	Elect Jonathan Chadwick	Mgmt	For		For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	4	Elect Francisco D'Souza	Mgmt	For		For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	5	Elect John N. Fox, Jr.	Mgmt	For		For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	6	Elect John E. Klein	Mgmt	For		For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	7	Elect Leo S. Mackay, Jr.	Mgmt	For		For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	8	Elect Lakshmi Narayanan	Mgmt	For		For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	9	Elect Michael Patsalos-Fox	Mgmt	For		For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	10	Elect Robert E. Weissman	Mgmt	For		For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	11	Elect Thomas M. Wendel	Mgmt	For		For
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	12	Advisory Vote on Executive Compensation	Mgmt	For		For
	CTSH	192446102	6/15/2016	13		Mgmt	For		For

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Cognizant Technology Solutions Corporation						Ratification of Auditor			
Cognizant Technology Solutions Corporation	CTSH	192446102	6/15/2016	14		Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	For
Cohen & Steers Infrastructure Fund Inc	UTF	19248A109	4/28/2016	1.1		Elect Joseph M. Harvey	Mgmt	For	For 95% Withho 5%
Cohen & Steers Infrastructure Fund Inc	UTF	19248A109	4/28/2016	1.2		Elect Gerald J. Maginnis	Mgmt	For	For 94% Withho 6%
Cohen & Steers Infrastructure Fund Inc	UTF	19248A109	4/28/2016	1.3		Elect Richard J. Norman	Mgmt	For	For 94% Withho 6%
Cohen & Steers Infrastructure Fund Inc	UTF	19248A109	4/28/2016	1.4		Elect Frank K. Ross	Mgmt	For	For 94% Withho 6%
Cohen & Steers Quality Income Realty Fund, Inc.	RQI	19247L106	4/28/2016	1.1		Elect Joseph M. Harvey	Mgmt	For	For 95% Withho 5%
Cohen & Steers Quality Income Realty Fund, Inc.	RQI	19247L106	4/28/2016	1.2		Elect Gerald J. Maginnis	Mgmt	For	For 94% Withho 6%
Cohen & Steers Quality Income Realty Fund, Inc.	RQI	19247L106	4/28/2016	1.3		Elect Richard J. Norman	Mgmt	For	For 94% Withho 6%
Cohen & Steers Quality Income Realty Fund, Inc.	RQI	19247L106	4/28/2016	1.4		Elect Frank K. Ross	Mgmt	For	For 94% Withho 6%
Cohen & Steers REIT & Preferred Income Fund, Inc.	RNP	19247X100	4/28/2016	1.1		Elect Joseph M. Harvey	Mgmt	For	For 95% Withho 5%
Cohen & Steers REIT & Preferred Income Fund, Inc.	RNP	19247X100	4/28/2016	1.2		Elect Gerald J. Maginnis	Mgmt	For	For 94% Withho 6%
Cohen & Steers REIT & Preferred Income Fund, Inc.	RNP	19247X100	4/28/2016	1.3		Elect Richard J. Norman	Mgmt	For	For 94% Withho 6%
Cohen & Steers REIT & Preferred	RNP	19247X100	4/28/2016	1.4		Elect Frank K. Ross	Mgmt	For	For 94% Withho

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Income Fund, Inc.									6%
Cohen and Steers Funds	INB	19248M103	4/28/2016	1.1	Elect Joseph M. Harvey	Mgmt	For		For 95% Withho 5%
Cohen and Steers Funds	INB	19248M103	4/28/2016	1.2	Elect Gerald J. Maginnis	Mgmt	For		For 94% Withho 6%
Cohen and Steers Funds	INB	19248M103	4/28/2016	1.3	Elect Richard J. Norman	Mgmt	For		For 94% Withho 6%
Cohen and Steers Funds	INB	19248M103	4/28/2016	1.4	Elect Frank K. Ross	Mgmt	For		For 94% Withho 6%
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.1	Elect Kenneth J. Bacon	Mgmt	For		For
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.2	Elect Madeline S. Bell	Mgmt	For		For
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.3	Elect Sheldon M. Bonovitz	Mgmt	For		For
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.4	Elect Edward D. Breen	Mgmt	For		Withho
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.5	Elect Joseph J. Collins	Mgmt	For		Withho
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.6	Elect Gerald L. Hassell	Mgmt	For		Withho
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.7	Elect Jeffrey A. Honickman	Mgmt	For		For
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.8	Elect Eduardo G. Mestre	Mgmt	For		For
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.9	Elect Brian L. Roberts	Mgmt	For		For
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.10	Elect Johnathan A. Rodgers	Mgmt	For		For
Comcast Corporation	CMCSA	20030N101	5/19/2016	1.11	Elect Judith Rodin	Mgmt	For		Withho
Comcast Corporation	CMCSA	20030N101	5/19/2016	2	Ratification of Auditor	Mgmt	For		For
Comcast Corporation	CMCSA	20030N101	5/19/2016	3	Amend 2002 Restricted Stock Plan	Mgmt	For		For
Comcast Corporation	CMCSA	20030N101	5/19/2016	4	Amend 2003 Stock Option Plan	Mgmt	For		For
	CMCSA	20030N101	5/19/2016	5		Mgmt	For		For

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Comcast Corporation						Amend 2002 Employee Stock Purchase Plan			
Comcast Corporation	CMCSA	20030N101	5/19/2016	6		Amend 2011 Employee Stock Purchase Plan	Mgmt	For	For
Comcast Corporation	CMCSA	20030N101	5/19/2016	7		Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	Against
Comcast Corporation	CMCSA	20030N101	5/19/2016	8		Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against	For
Comcast Corporation	CMCSA	20030N101	5/19/2016	9		Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against	For
Comcast Corporation	CMCSA	20030N101	5/19/2016	10		Shareholder Proposal Regarding Recapitalization	ShrHoldr	Against	For
Comcast Corporation	CMCSA	20030N101	12/10/2015	1		Conversion of Class A Special Common Stock Into Class A Common Stock	Mgmt	For	For
Costco Wholesale Corporation	COST	22160K105	1/29/2016	1.1		Elect Hamilton E. James	Mgmt	For	Withho
Costco Wholesale Corporation	COST	22160K105	1/29/2016	1.2		Elect W. Craig Jelinek	Mgmt	For	Withho
Costco Wholesale Corporation	COST	22160K105	1/29/2016	1.3		Elect John W. Stanton	Mgmt	For	For
Costco Wholesale Corporation	COST	22160K105	1/29/2016	1.4		Elect Maggie Wilderotter	Mgmt	For	For
Costco Wholesale Corporation	COST	22160K105	1/29/2016	2		Ratification of Auditor	Mgmt	For	For
Costco Wholesale Corporation	COST	22160K105	1/29/2016	3		Advisory Vote on Executive Compensation	Mgmt	For	For
Costco Wholesale Corporation	COST	22160K105	1/29/2016	4		Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against	For

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Cutwater Select Income Fund	CSI	232229104	6/15/2016	1.1	Elect W. Thacher Brown	Mgmt	For	For 100
Cutwater Select Income Fund	CSI	232229104	6/15/2016	1.2	Elect Ellen D. Harvey	Mgmt	For	For 100
Cutwater Select Income Fund	CSI	232229104	6/15/2016	1.3	Elect Thomas E. Spock	Mgmt	For	For 100
Cutwater Select Income Fund	CSI	232229104	6/15/2016	1.4	Elect Suzanne P. Welsh	Mgmt	For	For 100
CVS Health Corporation	CVS	126650100	5/19/2016	1	Elect Richard M. Bracken	Mgmt	For	For
CVS Health Corporation	CVS	126650100	5/19/2016	2	Elect C. David Brown II	Mgmt	For	For
CVS Health Corporation	CVS	126650100	5/19/2016	3	Elect Alecia A. DeCoudreaux	Mgmt	For	For
CVS Health Corporation	CVS	126650100	5/19/2016	4	Elect Nancy-Ann M. DeParle	Mgmt	For	For
CVS Health Corporation	CVS	126650100	5/19/2016	5	Elect David W. Dorman	Mgmt	For	For
CVS Health Corporation	CVS	126650100	5/19/2016	6	Elect Anne M. Finucane	Mgmt	For	For
CVS Health Corporation	CVS	126650100	5/19/2016	7	Elect Larry J. Merlo	Mgmt	For	For
CVS Health Corporation	CVS	126650100	5/19/2016	8	Elect Jean-Pierre Millon	Mgmt	For	For
CVS Health Corporation	CVS	126650100	5/19/2016	9	Elect Richard J. Swift	Mgmt	For	For
CVS Health Corporation	CVS	126650100	5/19/2016	10	Elect William C. Weldon	Mgmt	For	For
CVS Health Corporation	CVS	126650100	5/19/2016	11	Elect Tony L. White	Mgmt	For	For
CVS Health Corporation	CVS	126650100	5/19/2016	12	Ratification of Auditor	Mgmt	For	For
CVS Health Corporation	CVS	126650100	5/19/2016	13	Advisory Vote on Executive Compensation	Mgmt	For	Against
CVS Health Corporation	CVS	126650100	5/19/2016	14	Shareholder Proposal Regarding Incorporating Values in Political Spending	ShrHoldr	Against	Against
CVS Health Corporation	CVS	126650100	5/19/2016	15	Shareholder Proposal Regarding Report on Ratio Between CEO and	ShrHoldr	Against	Against



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Employee Pay

Danaher Corporation	DHR	235851102	5/10/2016	1	Elect Donald J. Ehrlich	Mgmt	For	For
Danaher Corporation	DHR	235851102	5/10/2016	2	Elect Linda P. Hefner Filler	Mgmt	For	For
Danaher Corporation	DHR	235851102	5/10/2016	3	Elect Thomas P. Joyce, Jr.	Mgmt	For	For
Danaher Corporation	DHR	235851102	5/10/2016	4	Elect Teri List-Stoll	Mgmt	For	For
Danaher Corporation	DHR	235851102	5/10/2016	5	Elect Walter G. Lohr, Jr.	Mgmt	For	For
Danaher Corporation	DHR	235851102	5/10/2016	6	Elect Mitchell P. Rales	Mgmt	For	For
Danaher Corporation	DHR	235851102	5/10/2016	7	Elect Steven M. Rales	Mgmt	For	For
Danaher Corporation	DHR	235851102	5/10/2016	8	Elect John T. Schwieters	Mgmt	For	For
Danaher Corporation	DHR	235851102	5/10/2016	9	Elect Alan G. Spoon	Mgmt	For	For
Danaher Corporation	DHR	235851102	5/10/2016	10	Elect Elias A. Zerhouni	Mgmt	For	For
Danaher Corporation	DHR	235851102	5/10/2016	11	Ratification of Auditor	Mgmt	For	For
Danaher Corporation	DHR	235851102	5/10/2016	12	Advisory Vote on Executive Compensation	Mgmt	For	For
Danaher Corporation	DHR	235851102	5/10/2016	13	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against	For
Danaher Corporation	DHR	235851102	5/10/2016	14	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against	A 10% threshold for calling special meeting appropriate
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.1	Elect Thomas L. Bennett	Mgmt	For	For 97% Withhold 3%
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.2	Elect Ann Borowiec	Mgmt	For	For 97% Withhold 3%

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Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.3	Elect Joseph W. Chow	Mgmt	For	For 97% Withho 3%
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.4	Elect John A. Fry	Mgmt	For	For 97% Withho 3%
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.5	Elect Frances A. Sevilla-Sacasa	Mgmt	For	For 97% Withho 3%
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.6	Elect Thomas K. Whitford	Mgmt	For	For 97% Withho 3%
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.7	Elect Lucinda S. Landreth	Mgmt	For	For 97% Withho 3%
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.8	Elect Janet L. Yeomans	Mgmt	For	For 97% Withho 3%
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.1	Elect Thomas L. Bennett	Mgmt	For	For 97% Withho 3%
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.2	Elect Ann Borowiec	Mgmt	For	For 97% Withho 3%
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.3	Elect Joseph W. Chow	Mgmt	For	For 97% Withho 3%
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.4	Elect John A. Fry	Mgmt	For	For 97% Withho 3%
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.5	Elect Frances A. Sevilla-Sacasa	Mgmt	For	For 97% Withho 3%
Delaware Enhanced Global Dividend &	DEX	246060107	8/19/2015	1.6	Elect Thomas K. Whitford	Mgmt	For	For 97% Withho 3%

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Income Fund

Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.7	Elect Lucinda S. Landreth	Mgmt	For	For 97% Withho 3%
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/19/2015	1.8	Elect Janet L. Yeomans	Mgmt	For	For 97% Withho 3%
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	1	Elect Richard H. Anderson	Mgmt	For	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	2	Elect Edward H. Bastian	Mgmt	For	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	3	Elect Francis S. Blake	Mgmt	For	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	4	Elect Daniel A. Carp	Mgmt	For	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	5	Elect David G. DeWalt	Mgmt	For	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	6	Elect Thomas E. Donilon	Mgmt	For	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	7	Elect William H. Easter III	Mgmt	For	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	8	Elect Mickey P. Foret	Mgmt	For	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	9	Elect Shirley C. Franklin	Mgmt	For	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	10	Elect George N. Mattson	Mgmt	For	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	11	Elect Douglas R. Ralph	Mgmt	For	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	12	Elect Sergio A. L. Rial	Mgmt	For	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	13	Elect Kathy N. Waller	Mgmt	For	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	14	Elect Kenneth B. Woodrow	Mgmt	For	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	15	Advisory Vote on Executive Compensation	Mgmt	For	For
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	16	Amendment to the 2007 Performance Compensation Plan	Mgmt	For	For
	DAL	247361702	6/10/2016	17		Mgmt	For	For

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Delta Air Lines, Inc.					Ratification of Auditor			
Delta Air Lines, Inc.	DAL	247361702	6/10/2016	18	Shareholder Proposal Regarding Retention of Shares Until Normal Retirement Age	ShrHoldr	Against	Against
Deutsche High Income Opportunities Fund Inc	DHG	25158Y102	9/30/2015	1.1	Elect Keith R. Fox	Mgmt	For	For 63% Withho 37%
Deutsche High Income Opportunities Fund Inc	DHG	25158Y102	9/30/2015	1.2	Elect Richard J. Herring	Mgmt	For	For 63% Withho 37%
Deutsche High Income Opportunities Fund Inc	DHG	25158Y102	9/30/2015	1.3	Elect William N. Searcy, Jr.	Mgmt	For	For 63% Withho 37%
Deutsche High Income Opportunities Fund Inc	DHG	25158Y102	9/30/2015	2	For Deutsche Global High Income Fund, Inc. and Deutsche High Income Opportunities Fund, Inc., Amend Charter to Limit the Existence of the Funds	Mgmt	For	For 97.5% Against 1.5% Abstain
Deutsche Multi-Market Income Trust	KMM	25160E102	9/30/2015	1.1	Elect Keith R. Fox	Mgmt	For	For 63% Withho 37%
Deutsche Multi-Market Income Trust	KMM	25160E102	9/30/2015	1.2	Elect Richard J. Herring	Mgmt	For	For 63% Withho 37%
Deutsche Multi-Market Income Trust	KMM	25160E102	9/30/2015	1.3	Elect William N. Searcy, Jr.	Mgmt	For	For 63% Withho 37%
Deutsche Strategic Income Trust	KST	25160F109	9/30/2015	1.1	Elect Keith R. Fox	Mgmt	For	For 63% Withho 37%
Deutsche Strategic Income Trust	KST	25160F109	9/30/2015	1.2	Elect Richard J. Herring	Mgmt	For	For 63% Withho 37%
Deutsche Strategic Income Trust	KST	25160F109	9/30/2015	1.3	Elect William N. Searcy, Jr.	Mgmt	For	For 63% Withho 37%

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Dominion Resources, Inc.	D	25746U109	5/11/2016	1	Elect William P. Barr	Mgmt	For	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	2	Elect Helen E. Dragas	Mgmt	For	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	3	Elect James O. Ellis, Jr.	Mgmt	For	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	4	Elect Thomas F. Farrell II	Mgmt	For	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	5	Elect John W. Harris	Mgmt	For	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	6	Elect Mark J. Kington	Mgmt	For	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	7	Elect Pamela L. Royal	Mgmt	For	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	8	Elect Robert H. Spilman, Jr.	Mgmt	For	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	9	Elect Michael E. Szymanczyk	Mgmt	For	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	10	Elect David A. Wollard	Mgmt	For	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	11	Ratification of Auditor	Mgmt	For	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	12	Advisory Vote on Executive Compensation	Mgmt	For	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	13	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	Against
Dominion Resources, Inc.	D	25746U109	5/11/2016	14	Shareholder Proposal Regarding Financial Analysis of North Ana 3 Construction	ShrHoldr	Against	Against
Dominion Resources, Inc.	D	25746U109	5/11/2016	15	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	For
Dominion Resources, Inc.	D	25746U109	5/11/2016	16	Shareholder Proposal Regarding Climate Change Expertise on Board	ShrHoldr	Against	Against
Dominion Resources, Inc.	D	25746U109	5/11/2016	17	Shareholder Proposal Regarding Financial Risks of Climate Change	ShrHoldr	Against	Against

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Company	Proposal ID	Proposal Title	Start Date	End Date	Shareholder	Proposal Regarding	Reporting on	Company Climate	Change Policies and	Technological	Advances	ShrHoldr	Against	Against
Dominion Resources, Inc.	D	25746U109	5/11/2016	18		Shareholder Proposal Regarding Reporting on Company Climate Change Policies and Technological Advances								
Dow Chemical Company	DOW	260543103	5/12/2016	1		Elect Ajay Banga	Mgmt	For						For
Dow Chemical Company	DOW	260543103	5/12/2016	2		Elect Jacqueline K. Barton	Mgmt	For						For
Dow Chemical Company	DOW	260543103	5/12/2016	3		Elect James A. Bell	Mgmt	For						For
Dow Chemical Company	DOW	260543103	5/12/2016	4		Elect Richard K. Davis	Mgmt	For						For
Dow Chemical Company	DOW	260543103	5/12/2016	5		Elect Jeff M. Fettig	Mgmt	For						For
Dow Chemical Company	DOW	260543103	5/12/2016	6		Elect Andrew N. Liveris	Mgmt	For						For
Dow Chemical Company	DOW	260543103	5/12/2016	7		Elect Mark Loughridge	Mgmt	For						For
Dow Chemical Company	DOW	260543103	5/12/2016	8		Elect Raymond J. Milchovich	Mgmt	For						For
Dow Chemical Company	DOW	260543103	5/12/2016	9		Elect Robert S. Miller	Mgmt	For						For
Dow Chemical Company	DOW	260543103	5/12/2016	10		Elect Paul Polman	Mgmt	For						For
Dow Chemical Company	DOW	260543103	5/12/2016	11		Elect Dennis H. Reilley	Mgmt	For						For
Dow Chemical Company	DOW	260543103	5/12/2016	12		Elect James M. Ringler	Mgmt	For						For
Dow Chemical Company	DOW	260543103	5/12/2016	13		Elect Ruth G. Shaw	Mgmt	For						For
Dow Chemical Company	DOW	260543103	5/12/2016	14		Ratification of Auditor	Mgmt	For						For
Dow Chemical Company	DOW	260543103	5/12/2016	15		Advisory Vote on Executive Compensation	Mgmt	For						For
Dow Chemical Company	DOW	260543103	5/12/2016	16		Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against						For
Duff & Phelps Global Utility Income Fund Inc	DPG	26433C105	3/15/2016	1.1		Elect Phillip R. McLoughlin	Mgmt	For						For 97% Withho. 3%

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Duff & Phelps Global Utility Income Fund Inc	DPG	26433C105	3/15/2016	1.2	Elect Nathan I. Partain	Mgmt	For	For 97% Withhol 3%
Ecolab Inc.	ECL	278865100	5/5/2016	1	Elect Douglas M. Baker, Jr.	Mgmt	For	For
Ecolab Inc.	ECL	278865100	5/5/2016	2	Elect Barbara J. Beck	Mgmt	For	For
Ecolab Inc.	ECL	278865100	5/5/2016	3	Elect Leslie S. Biller	Mgmt	For	For
Ecolab Inc.	ECL	278865100	5/5/2016	4	Elect Carl M. Casale	Mgmt	For	For
Ecolab Inc.	ECL	278865100	5/5/2016	5	Elect Stephen I. Chazen	Mgmt	For	For
Ecolab Inc.	ECL	278865100	5/5/2016	6	Elect Jeffrey M. Ettinger	Mgmt	For	For
Ecolab Inc.	ECL	278865100	5/5/2016	7	Elect Jerry A. Grundhofer	Mgmt	For	For
Ecolab Inc.	ECL	278865100	5/5/2016	8	Elect Arthur J. Higgins	Mgmt	For	For
Ecolab Inc.	ECL	278865100	5/5/2016	9	Elect Michael Larson	Mgmt	For	For
Ecolab Inc.	ECL	278865100	5/5/2016	10	Elect Jerry W. Levin	Mgmt	For	Against
Ecolab Inc.	ECL	278865100	5/5/2016	11	Elect David W. MacLennan	Mgmt	For	For
Ecolab Inc.	ECL	278865100	5/5/2016	12	Elect Tracy B. McKibben	Mgmt	For	For
Ecolab Inc.	ECL	278865100	5/5/2016	13	Elect Victoria J. Reich	Mgmt	For	For
Ecolab Inc.	ECL	278865100	5/5/2016	14	Elect Suzanne M. Vautrinot	Mgmt	For	For
Ecolab Inc.	ECL	278865100	5/5/2016	15	Elect John J. Zillmer	Mgmt	For	For
Ecolab Inc.	ECL	278865100	5/5/2016	16	Ratification of Auditor	Mgmt	For	For
Ecolab Inc.	ECL	278865100	5/5/2016	17	Amendment to the 2001 Non-Employee Director Stock Option and Deferred Compensation Plan	Mgmt	For	For
Ecolab Inc.	ECL	278865100	5/5/2016	18	Advisory Vote on Executive Compensation	Mgmt	For	For
Ecolab Inc.	ECL	278865100	5/5/2016	19	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against	Against
Eli Lilly and	LLY	532457108	5/2/2016	1	Elect Ralph Alvarez	Mgmt	For	For

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Company

Eli Lilly and Company	LLY	532457108	5/2/2016	2	Elect R. David Hoover	Mgmt	For	For
Eli Lilly and Company	LLY	532457108	5/2/2016	3	Elect Juan R. Luciano	Mgmt	For	For
Eli Lilly and Company	LLY	532457108	5/2/2016	4	Elect Franklyn G. Prendergast	Mgmt	For	For
Eli Lilly and Company	LLY	532457108	5/2/2016	5	Elect Kathi P. Seifert	Mgmt	For	For
Eli Lilly and Company	LLY	532457108	5/2/2016	6	Advisory Vote on Executive Compensation	Mgmt	For	For
Eli Lilly and Company	LLY	532457108	5/2/2016	7	Ratification of Auditor	Mgmt	For	For
Eli Lilly and Company	LLY	532457108	5/2/2016	8	Shareholder Proposal Regarding Country Selection Guidelines	ShrHoldr	Against	Against
EOG Resources, Inc.	EOG	26875P101	4/26/2016	1	Elect Janet F. Clark	Mgmt	For	For
EOG Resources, Inc.	EOG	26875P101	4/26/2016	2	Elect Charles R. Crisp	Mgmt	For	For
EOG Resources, Inc.	EOG	26875P101	4/26/2016	3	Elect James C. Day	Mgmt	For	For
EOG Resources, Inc.	EOG	26875P101	4/26/2016	4	Elect H. Leighton Steward	Mgmt	For	For
EOG Resources, Inc.	EOG	26875P101	4/26/2016	5	Elect Donald F. Textor	Mgmt	For	Against
EOG Resources, Inc.	EOG	26875P101	4/26/2016	6	Elect William R. Thomas	Mgmt	For	For
EOG Resources, Inc.	EOG	26875P101	4/26/2016	7	Elect Frank G. Wisner	Mgmt	For	For
EOG Resources, Inc.	EOG	26875P101	4/26/2016	8	Ratification of Auditor	Mgmt	For	For
EOG Resources, Inc.	EOG	26875P101	4/26/2016	9	Advisory Vote on Executive Compensation	Mgmt	For	For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	1	Elect Maura C. Breen	Mgmt	For	For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	2	Elect William J. DeLaney	Mgmt	For	For
	ESRX	30219G108	5/4/2016	3	Elect Elder Granger	Mgmt	For	For



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Express Scripts Holding Company									
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	4	Elect Nicholas J. LaHowchic	Mgmt	For		For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	5	Elect Thomas P. Mac Mahon	Mgmt	For		For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	6	Elect Frank Mergenthaler	Mgmt	For		For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	7	Elect Woodrow A. Myers, Jr.	Mgmt	For		For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	8	Elect Roderick A. Palmore	Mgmt	For		For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	9	Elect George Paz	Mgmt	For		For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	10	Elect William L. Roper	Mgmt	For		For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	11	Elect Seymour Sternberg	Mgmt	For		For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	12	Elect Timothy Wentworth	Mgmt	For		For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	13	Ratification of Auditor	Mgmt	For		For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	14	Advisory Vote on Executive Compensation	Mgmt	For		For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	15	Approval of the 2016 Long-Term Incentive Plan	Mgmt	For		For
Express Scripts Holding Company	ESRX	30219G108	5/4/2016	16	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against		For
Express Scripts Holding	ESRX	30219G108	5/4/2016	17	Shareholder Proposal Regarding	ShrHoldr	Against		Against

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Company					Political Contributions and Expenditures Report				
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.1	Elect Michael J. Boskin	Mgmt	For		For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.2	Elect Peter Brabeck-Letmathe	Mgmt	For		For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.3	Elect Angela F. Braly	Mgmt	For		For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.4	Elect Ursula M. Burns	Mgmt	For		For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.5	Elect Larry R. Faulkner	Mgmt	For		For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.6	Elect Jay S. Fishman	Mgmt	For		For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.7	Elect Henrietta H. Fore	Mgmt	For		For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.8	Elect Kenneth C. Frazier	Mgmt	For		For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.9	Elect Douglas R. Oberhelman	Mgmt	For		For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.10	Elect Samuel J. Palmisano	Mgmt	For		For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.11	Elect Steven S Reinemund	Mgmt	For		For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.12	Elect Rex W. Tillerson	Mgmt	For		For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.13	Elect William C. Weldon	Mgmt	For		For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	1.14	Elect Darren W. Woods	Mgmt	For		For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	2	Ratification of Auditor	Mgmt	For		For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	3	Advisory Vote on Executive Compensation	Mgmt	For		For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	4	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against		For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	5	Shareholder Proposal Regarding Climate Change Expertise on Board	ShrHoldr	Against		Against

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Exxon Mobil Corporation	XOM	30231G102	5/25/2016	6	Shareholder Proposal Regarding Sale of Company	ShrHoldr	Against	Against
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	7	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against	For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	8	Shareholder Proposal Regarding Gender Pay Equity Report	ShrHoldr	Against	Against
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	9	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	Against
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	10	Shareholder Proposal Regarding Dividend Policy	ShrHoldr	Against	Against
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	11	Shareholder Proposal Regarding Climate Change Policy and Commitment	ShrHoldr	Against	Against
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	12	Shareholder Proposal Regarding Climate Change Policy Risk	ShrHoldr	Against	For
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	13	Shareholder Proposal Regarding Report on Reserve Replacements	ShrHoldr	Against	Against
Exxon Mobil Corporation	XOM	30231G102	5/25/2016	14	Shareholder Proposal Regarding Hydraulic Fracturing	ShrHoldr	Against	Against
Facebook, Inc.	FB	30303M102	6/20/2016	1.1	Elect Marc L. Andreessen	Mgmt	For	Withho
Facebook, Inc.	FB	30303M102	6/20/2016	1.2	Elect Erskine B. Bowles	Mgmt	For	For
Facebook, Inc.	FB	30303M102	6/20/2016	1.3	Elect Susan D. Desmond-Hellmann	Mgmt	For	For
Facebook, Inc.	FB	30303M102	6/20/2016	1.4	Elect Reed Hastings	Mgmt	For	For
Facebook, Inc.	FB	30303M102	6/20/2016	1.5	Elect Jan Koum	Mgmt	For	For
Facebook, Inc.	FB	30303M102	6/20/2016	1.6	Elect Sheryl K. Sandberg	Mgmt	For	For
Facebook, Inc.	FB	30303M102	6/20/2016	1.7	Elect Peter A. Thiel	Mgmt	For	For
Facebook, Inc.	FB	30303M102	6/20/2016	1.8	Elect Mark Zuckerberg	Mgmt	For	For

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Facebook, Inc.	FB	30303M102	6/20/2016	2	Ratification of Auditor	Mgmt	For	For
Facebook, Inc.	FB	30303M102	6/20/2016	3	Advisory Vote on Executive Compensation	Mgmt	For	For
Facebook, Inc.	FB	30303M102	6/20/2016	4	Ratification of 2013 Grants to Non-Employee Directors	Mgmt	For	For
Facebook, Inc.	FB	30303M102	6/20/2016	5	Ratification of 2014 and 2015 Grants to Non-Employee Directors	Mgmt	For	For
Facebook, Inc.	FB	30303M102	6/20/2016	6	Annual Compensation Program for Non-Employee Directors	Mgmt	For	For
Facebook, Inc.	FB	30303M102	6/20/2016	7	Approve Establishment of Class C Stock and Associated Rights	Mgmt	For	Against
Facebook, Inc.	FB	30303M102	6/20/2016	8	Increase the Number of Class A Stock from 5,000,000,000 to 20,000,000,000	Mgmt	For	Against
Facebook, Inc.	FB	30303M102	6/20/2016	9	Provide Equal Treatment of Stock Classes in Transactions and Dividends	Mgmt	For	For
Facebook, Inc.	FB	30303M102	6/20/2016	10	Provide for Conversion Events of Class B Stock	Mgmt	For	For
Facebook, Inc.	FB	30303M102	6/20/2016	11	Amendment to the 2012 Equity Incentive Plan	Mgmt	For	Against
Facebook, Inc.	FB	30303M102	6/20/2016	12	Shareholder Proposal Regarding Recapitalization	ShrHoldr	Against	For
Facebook, Inc.	FB	30303M102	6/20/2016	13	Shareholder Proposal Regarding Sustainability Report	ShrHoldr	Against	For
Facebook, Inc.	FB	30303M102	6/20/2016	14	Shareholder Proposal Regarding	ShrHoldr	Against	For

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Facebook, Inc.	FB	30303M102	6/20/2016	15	Lobbying Report Shareholder Proposal Regarding Formation of International Public Policy Committee	ShrHoldr	Against	Against
Facebook, Inc.	FB	30303M102	6/20/2016	16	Shareholder Proposal Regarding Gender Pay Equity Report	ShrHoldr	Against	Against
FedEx Corporation	FDX	31428X106	9/28/2015	1	Elect James L. Barksdale	Mgmt	For	For
FedEx Corporation	FDX	31428X106	9/28/2015	2	Elect John A. Edwardson			