## Edgar Filing: FOREST CITY ENTERPRISES INC - Form 4

FOREST CITY ENTERPRISES INC Form 4 September 03, 2015

FORM	Δ							-	PPROVAL
Washington, D.C. 20549						OMB Number:	3235-0287		
Check this if no longe	ar .								January 31, 2005
subject to Section 16 Form 4 or Form 5	51 A I E IV	STATEMENT OF CHANGES IN BENEFICIAL OWN SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange						Estimated a burden hou response	average Irs per
obligation may contri <i>See</i> Instruct 1(b).	s Section 17(a	) of the	Public Ut	ility Holdi	ing Com		of 1935 or Sectio	n	
(Print or Type R	esponses)								
1. Name and Ad Ratner Bruce	2. Issuer Name and Ticker or Trading Symbol FOREST CITY ENTERPRISES INC [FCEA/FCEB]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year) 09/01/2015			X Director 10% Owner X Officer (give title Other (specify below) below) Executive Vice President			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
BROOKLYN	N, NY 11201						Person		
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	ecurities Ac	quired, Disposed o	f, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Data (Month/Day/Year)	Execution any	emed on Date, if Day/Year)	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common							22,500	D (1)	
Class A Common							15,000	I	See footnote $(2)$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: FOREST CITY ENTERPRISES INC - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of 6. Date Exer Derivative Expiration I Securities (Month/Day Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		te	7. Title and A Underlying S (Instr. 3 and	Securitie
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour Numbe Shares
Class A Common Units	\$ 0 <u>(3)</u>					11/08/2007	08/08/1988 <u>(6)</u>	Class A Common Stock	183,6
Class A Common Units	\$ 23.17 <u>(3)</u>	09/01/2015		J <u>(4)</u>	52,873	11/08/2007	08/08/1988 <u>(6)</u>	Class A Common Stock	716,8

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
Ratner Bruce C ONE METRO TECH CENTER NORTH BROOKLYN, NY 11201	Х		Executive Vice President	:		
Signatures						
Geralyn M. Presti, Attorney-In-Fact for Br Ratner	ruce C.	C	09/03/2015			

<u>\*\*</u>Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Share are held in a street account with Merrill Lynch.
- (2) Shares are held in a street account with Chase for the benefit of stepson Michael Carajohn, Bruce Ratner's spouse Pamela R. Lipkin is account custodian.

Date

- (3) Class A Common Units of Forest City Master Associates III, LLC (a subsidiary of Forest City Enterprises, Inc.) are convertible into Forest City Enterprises, Inc. Class A Common Stock on a equal number basis or cash at the discretion of Forest City Enterprises, Inc.
- (4) Represents 52,873 Class A Common Units that were held indirectly by Bruce C. Ratner through five entities and distributed to redeem two partners' interests in such entities.
- (5) Units are held indirectly through an entity (LLC, Trust etc.).
- (6) There is no expiration date.

#### **Remarks:**

# Edgar Filing: FOREST CITY ENTERPRISES INC - Form 4

Subject to certain exceptions, the Class A Common Units were generally not convertible until November 8, 2007. Mr. Ratner

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.