### Edgar Filing: FOREST CITY ENTERPRISES INC - Form 3/A

#### FOREST CITY ENTERPRISES INC

Form 3/A

November 28, 2006

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement FOREST CITY ENTERPRISES INC [FCEA/FCEB] Ratner Bruce C (Month/Day/Year) 11/09/2006 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) ONE METRO TECH CENTER 11/13/2006 (Check all applicable) **NORTH** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting **Executive Vice President** Person BROOKLYN, NYÂ 11201 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect	

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						(Instr. 5)	
Class A Common Units	11/08/2007	08/08/1988(4)	Class A Common Stock	183,610	\$ 0 (1)	D (1)	Â
Class A Common Units	11/08/2007	08/08/1988(4)	Class A Common Stock	843,299	\$ 0 (1)	I (1)	See footnote (1)
Class A Common Units	11/08/2007	08/08/1988(4)	Class A Common Stock	428,160	\$ 0 (1)	I (1)	See footnote $\underline{(1)}$

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
Ratner Bruce C	^	^	Executive	^		
ONE METRO TECH CENTER NORTH	A	A	Vice	A		
BROOKLYN, NY 11201			President			

# **Signatures**

Geralyn M. Presti, Attorney-In-Fact for Bruce C.
Ratner

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Class A Common Units of Forest City Master Associates III, LLC (a subsidiary of Forest City Enterprises, Inc.) are convertible into Forest City Enterprises, Inc. Class A Common Stock on a equal number basis or cash at the discretion of Forest City Enterprises, Inc.
- (2) Units are held indirectly through an entity (LLC, Trust etc.)
- (3) Held in a trust that Bruce Ratner is a trustee. Bruce Ratner disclaims any beneficial interest.
- (4) There is no expiration date.

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#### **Remarks:**

Subject to certain exceptions, the Class A Common Units are generally not convertible until Novemb Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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