Anton Arthur F Form 4 April 10, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

January 31, 2005

0.5

Estimated average burden hours per

response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

FOREST CITY ENTERPRISES INC

Symbol

1(b).

(Print or Type Responses)

Anton Arthur F

1. Name and Address of Reporting Person \*

		[FCEA/FCEB]						(Cneck an applicable)				
(Last) (First) (Middle) 29500 SOLON ROAD			Middle)	3. Date of Earliest Transaction (Month/Day/Year) 04/08/2013					_X_ Director Officer (gives) below)		6 Owner er (specify	
(Street) SOLON, OH 44139				4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
	(City)	(State)	(Zip)	Table	I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed	of, or Beneficia	lly Owned	
	1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	) Execution any	emed on Date, if 'Day/Year)	3. Transaction Code (Instr. 8)	4. Securi onAcquired Disposed (Instr. 3,	I (A) of I of (D) 4 and (A) or	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Common Stock								844	D (1)		
	Class A Common Stock								1,880	D (2)		
	Class A Common Stock								3,392	D (3)		
	Class A Common	04/08/2013			A	2,840	A	\$0	2,840	D (4)		

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Stock

Class A

9,628  $D^{(5)}$ Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number stion Derivative Securities b) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisab Expiration Date (Month/Day/Year	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A) (	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
2010 Stock Option Grant (right to buy)	\$ 15.8						12/13/2011 <u>(6)</u>	12/13/2020	Class A Common	4,038
2011 Stock Option Grant (right to buy)	\$ 17.72						04/13/2012 <u>(7)</u>	04/13/2021	Class A Common	4,463
2012 Stock Option Grant (right to buy)	\$ 14.74						04/11/2013 <u>(8)</u>	04/11/2022	Class A Common	5,412
2013 Stock Option Grant	\$ 17.6	04/08/2013		A	4,557		04/08/2014(9)	04/08/2023	Class A Common	4,557

(right to buy)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Anton Arthur F 29500 SOLON ROAD SOLON, OH 44139

**Signatures** 

Geralyn M. Presti, Attorney-In-Fact for Arthur F. Anton

04/10/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

X

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 2010 Restricted Stock Grant 33.33% vest on 12/13/2011; 33.33% vest on 12/13/2012; and 33.33% vest on 12/13/2013. 843 restricted shares vested on 12/13/2012 and were moved to street account. See footnote #5.
- (2) 2011 Restricted Stock Grant 33.33% vest on 4/13/2012; 33.33% vest on 4/13/2013; and 33.33% vest on 4/13/2014.
- (3) 2012 Restricted Stock Grant 33.33% vest on 4/11/2013; 33.33% vest on 4/11/2014; and 33.33% vest on 4/11/2015.
- (4) 2013 Restricted Stock Grant 33.33% vest on 4/08/2014; 33.33% vest on 4/08/2015; and 33.33% vest on 4/08/2016.
- (5) Shares held direct in street account.
- (6) 2010 Stock Option Grant 33.33% exercisable 12/13/2011; 33.33% exercisable 12/13/2012; and 33.33% exercisable 12/13/2013.
- (7) 2011 Stock Option Grant 33.33% exercisable 4/13/2012; 33.33% exercisable 4/13/2013; and 33.33% exercisable 4/13/2014.
- (8) 2012 Stock Option Grant 33.33% exercisable 4/11/2013; 33.33% exercisable 4/11/2014; and 33.33% exercisable 4/11/2015.
- (9) 2013 Stock Option Grant 33.33% exercisable 4/08/2014; 33.33% exercisable 4/08/2015; and 33.33% exercisable 4/08/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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