Edgar Filing: CORCEPT THERAPEUTICS INC - Form 3

CORCEPT THERAPEUTICS INC

Form 3

October 06, 2005

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * SWEET DAVID E		2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol CORCEPT THERAPEUTICS INC [CORT]		
(Last) (First) (Middle)	10/03/2005	4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)
755 PAGE MILL ROAD, SUITE A-200			(Check all applicable)		
PALO ALTO, C	,		Director Officer (give title below	Other	Filing(Check Applicable Line)
(City) (State) (Zip)	Table I - Non-Derivative Securities Beneficially Owned			
1.Title of Security (Instr. 4)		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock		9,627		D	Â
Common Stock		22,422		I	By Ltd Partnership (SHAI) (1)
Common Stock		56,768		I	By Ltd Partnership (SHQP) (2)
Common Stock		2,216,188		I	By Ltd Partnership (SHV) (3)
Common Stock		3,456		I	By Profit Sharing Plan Trust (4)
Reminder: Report on a separate line for each class of securities beneficial owned directly or indirectly. Persons who respond to the collection of information contained in this form are not				EC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and 3. Title and Amount of **Expiration Date** (Month/Day/Year)

Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of Derivative Security

6. Nature of Indirect Ownership Form of (Instr. 5) Derivative

Beneficial Ownership

Expiration Date Exercisable Date

Amount or Title Number of

Shares

or Indirect (I) (Instr. 5)

Security:

Direct (D)

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

SWEET DAVID E 755 PAGE MILL ROAD, SUITE A-200 PALO ALTO, Â CAÂ 943041005

Â

ÂX

Â

Signatures

By: Robert Yin, by power of attorney

10/06/2005

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares held by Sutter Hill Entrepreneurs Fund (AI), L.P. The reporting person is a Managing Director of the General Partner of Sutter (1) Hill Entrepreneurs Fund (AI), L.P. The reporting person disclaims beneficial ownership in these shares except as to the reporting person's pecuniary interest in the partnership.
- Shares held by Sutter Hill Entrepreneurs Fund (QP), L.P. The reporting person is a Managing Director of the General Partner of Sutter (2) Hill Entrepreneurs Fund (QP), L.P. The reporting person disclaims beneficial ownership in these shares except as to the reporting person's pecuniary interest in the partnership.
- Shares held by Sutter Hill Ventures, A California Limited Partnership. The reporting person is a Managing Director of the General
- (3) Partner of Sutter Hill Ventures, A California Limited Partnership. The reporting person disclaims beneficial ownership in these shares except as to the reporting person's pecuniary interest in the partnership.
- (4) Shares held by SHV Profit Sharing Plan, a retirement trust, for the benefit of the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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