Duke Energy CORP Form 3 April 12, 2006

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Duke Energy CORP [DUK] A OConnor Thomas C (Month/Day/Year) 04/03/2006 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 526 S. CHURCH STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person CHARLOTTE, NCÂ 28202 (give title below) (specify below) Form filed by More than One GrpExec&COO-US Franchised Reporting Person E&G (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock (1) 24,543 D Â By Trustee, Retirement Savings Common Stock (1) 2,792 I Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
LTIP Phantom Stock Grant Feb 2004 (1)	(2)	(3)	Common Stock	9,582	\$ (4)	D	Â
LTIP Phantom Stock Grant Feb 2005 (1)	(2)	(3)	Common Stock	13,136	\$ (4)	D	Â
Phantom Stock (Chairman's Award August 1999) (1)	08/18/2006 <u>(5)</u>	(3)	Common Stock	9,333	\$ <u>(4)</u>	D	Â
Phantom Stock ESP I	(6)	(3)	Common Stock	2,268	\$ <u>(4)</u>	I	By Trustee, Executive Savings Plan I
Phantom Stock ESP II	(7)	(3)	Common Stock	979	\$ <u>(4)</u>	I	By Trustee, Executive Savings Plan II
Stock Option (Right to Buy) (1)	02/17/2002	02/17/2008	Common Stock	10,600	\$ 27.63	D	Â
Stock Option (Right to Buy) (1)	02/17/2003	02/17/2009	Common Stock	13,200	\$ 29.66	D	Â
Stock Option (Right to Buy) (1)	12/20/2003	12/20/2009	Common Stock	16,200	\$ 24.88	D	Â
Stock Option (Right to Buy) (1)	12/20/2004	12/20/2010	Common Stock	14,400	\$ 42.81	D	Â
Stock Option (Right to Buy) (1)	12/19/2005	12/19/2011	Common Stock	17,900	\$ 37.68	D	Â
Stock Option (Right to Buy) (1)	01/17/2002	01/17/2012	Common Stock	3,100	\$ 38.33	D	Â
Stock Option (Right to Buy) (1)	(8)	02/25/2013	Common Stock	42,000	\$ 13.77	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
reporting of the remarks	Director	10% Owner	Officer	Other	
OConnor Thomas C 526 S. CHURCH STREET CHARLOTTE Â NCÂ 28202	Â	Â	GrpExec&COO-US Franchised E&G	Â	

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## **Signatures**

By: Judy Z. Mayo, Attorney-in-fact for

04/12/2006

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On April 3, 2006, Duke Power Company, LLC, formerly known as Duke Energy Corporation (Predecessor), merged into a wholly-owned (1) subsidiary of Duke Energy Corporation, formerly known as Duke Energy Holding Corp. (Issuer). In connection with the merger, each share of Predecessor's common stock was converted into the right to receive one share of Issuer's common stock.
- (2) The phantom stock vests in 5 equal annual installments beginning on the first anniversary of the grant date.
- (3) Expiration date not applicable.
- (4) Converts to Common Stock on a 1-for-1 basis.
- (5) May vest earlier upon achievement of specified total shareholder return.
- (6) Payable upon termination of employment or occurrence of other events as specified in the Executive Savings Plan I.
- (7) Payable upon termination of employment or occurrence of other events as specified in the Executive Savings Plan II, subject to holding periods required by law.
- (8) Final installment of one-fourth of the original grant vests on February 25, 2007; remainder fully vested as of February 25, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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