ConforMIS Inc Form 4/A January 11, 2017

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Lang Philipp

> (Last) (First) (Middle)

C/O CONFORMIS, INC., 28 **CROSBY DRIVE** 

(Street)

2. Issuer Name and Ticker or Trading Symbol

ConforMIS Inc [CFMS]

3. Date of Earliest Transaction

(Month/Day/Year) 01/05/2017

4. If Amendment, Date Original

Filed(Month/Day/Year) 01/09/2017

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner Officer (give title Other (specify

below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

BEDFORD, MA 01730 (City) (State) (Zin)

(City)	(State) (.	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	action Date 2A. Deemed Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	01/05/2017		M	100	A	\$ 5.26	514,719	D		
Common Stock	01/05/2017		M	100	A	\$ 5.26	514,819	D		
Common Stock	01/05/2017		M	100	A	\$ 5.5	514,919	D		
Common Stock	01/05/2017		M	100	A	\$ 5.5	515,019	D		
Common Stock	01/05/2017		S(1)	400	D	\$ 8.6	514,619	D		

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Common Stock	12,498	I	See footnote.
Common Stock	930,904	I	See footnote.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	onof		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secur (Instr
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 5.26	01/05/2017		M		100	<u>(4)</u>	09/27/2021	Common Stock	100	\$
Stock Option (right to buy)	\$ 5.26	01/05/2017		M		100	<u>(4)</u>	09/27/2021	Common Stock	100	\$
Stock Option (right to buy)	\$ 5.5	01/05/2017		M		100	<u>(4)</u>	03/27/2022	Common Stock	100	\$
Stock Option (right to buy)	\$ 5.5	01/05/2017		M		100	<u>(4)</u>	03/27/2022	Common Stock	100	\$

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Lang Philipp

C/O CONFORMIS, INC. 28 CROSBY DRIVE BEDFORD, MA 01730

X

## **Signatures**

/s/ David Cerveny, Attorney-in-Fact 01/11/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale was made pursuant to a Rule 10b5-1 plan adopted by the Reporting Person.
- (2) The shares are held directly by the Reporting Person's children.
  - The shares are held directly by the NP Irrevocable Trust udt dated 12/28/12. The Reporting Person's immediate family members are
- (3) beneficiaries of the NP Irrevocable Trust udt dated 12/28/12, and the Reporting Person disclaims beneficial ownership of all shares except to the extent of his pecuniary interest therein.
- (4) This option is fully vested.

#### Remarks:

This Form 4/A amends and restates a Form 4 filed on January 9, 2017 to delete the two extraneous line items in Table I.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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