Stereotaxis, Inc. Form 4 June 09, 2015

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* MIDDLETON FRED A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

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OMB

Number:

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Stereotaxis, Inc. [STXS] 3. Date of Earliest Transaction

(Check all applicable)

C/O STEREOTAXIS, INC., 4320 FOREST PARK AVENUE, SUITE (Month/Day/Year) 06/05/2015

\_X\_\_ Director 10% Owner Officer (give title \_ Other (specify

100

(Street)

(First)

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

ST. LOUIS, MO 63108

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4	sposed 4 and 5 (A) or	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock			Code V	Amount	(D)	Price	46,810	D		
Common Stock	06/05/2015		S	55,476	D	\$ 1.69 (1)	1,200,926	I	Sanderling Venture Partners VI Co-Investment Fund, L.P.	
Common Stock	06/08/2015		S	38,120	D	\$ 1.6 (2)	1,162,806	I	Sanderling Venture Partners VI	

Co-Investment

								Fund, L.P.
Common Stock	06/09/2015	S	13,280	D	\$ 1.6 (3)	1,149,526	I	Sanderling Venture Partners VI Co-Investment Fund, L.P.
Common Stock						40,673	I	Sanderling Ventures Management VI
Common Stock						6,358	I	Sanderling VI Limited Partnership
Common Stock						5,335	I	Sanderling VI Beteiligungs GmbH & Co. KG
Common Stock						1,500	I	Sanderling Mgmt. LLC 401K Pension Profit Sharing Plan
Common Stock						53,275	I	Sanderling IV Biomedical Co-Investment Fund, L.P.
Common Stock						11,097	I	Sanderling V Beteiligungs GmbH & Co. KG
Common Stock						39,716	I	Sanderling V Biomedical Co-Investment Fund, L.P.
Common Stock						11,956	I	Sanderling V Limited Partnership
Common Stock						67,790	I	Sanderling Venture Partners V Co-Investment Fund, L.P.
Common Stock						22,451	I	Sanderling Venture Partners IV

Co-Investment

Fund

Common Stock

82

Ι

Sanderling Ventures

Management V

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

number.

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	ate	Amou Under Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

#### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MIDDLETON FRED A C/O STEREOTAXIS, INC. 4320 FOREST PARK AVENUE, SUITE 100 ST. LOUIS, MO 63108

#### **Signatures**

/s/ Karen W. Duros, Attorney-in-Fact

06/09/2015

Date

X

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 3

#### Edgar Filing: Stereotaxis, Inc. - Form 4

- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions ranging from \$1.63 to \$1.73, inclusive. The reporting person undertakes to provide to Stereotaxis, Inc., any security holder of Stereotaxis, Inc., or the staff at the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions ranging from \$1.60 to \$1.63, inclusive. The reporting person undertakes to provide to Stereotaxis, Inc., any security holder of Stereotaxis, Inc., or the staff at the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions ranging from \$1.60 to \$1.62, inclusive. The reporting person undertakes to provide to Stereotaxis, Inc., any security holder of Stereotaxis, Inc., or the staff at the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.