

HALLADOR ENERGY CO

Form 4

January 30, 2014

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
STABIO VICTOR P

2. Issuer Name **and** Ticker or Trading
Symbol
HALLADOR ENERGY CO
[HNRG]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
1660 LINCOLN STREET, SUITE
2700

3. Date of Earliest Transaction
(Month/Day/Year)
01/22/2014

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below) Chairman

(Street)
DENVER, CO 80264

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/22/2014		G	(1) 18,373 D \$ 0	453,182	D	
Common Stock	01/22/2014		G	(2) 10,000 D \$ 0	443,182	D	
Common Stock	01/22/2014		G	(3) 5,000 D \$ 0	438,182	D	
Common Stock	01/22/2014		G	(4) 5,000 D \$ 0	433,182	D	
Common Stock	01/22/2014		G	(5) 5,000 D \$ 0	428,182	D	

Edgar Filing: HALLADOR ENERGY CO - Form 4

Common Stock	01/22/2014	G	<u>10,000</u> (6)	D	\$ 0	418,182	D	
Common Stock	01/22/2014	G	<u>5,000</u> (7)	D	\$ 0	413,182	D	
Common Stock	01/22/2014	G	<u>18,373</u> (1)	A	\$ 0	18,373	I	By W.Stabio-grandson
Common Stock	01/22/2014	G	<u>10,000</u> (2)	A	\$ 0	51,090	I	By D & S Fitzgerald-daughter & son-in-law
Common Stock	01/22/2014	G	<u>5,000</u> (3)	A	\$ 0	28,660	I	By K. Fitzgerald-granddaughter
Common Stock	01/22/2014	G	<u>5,000</u> (4)	A	\$ 0	28,660	I	By S. Fitzgerald-granddaughter
Common Stock	01/22/2014	G	<u>10,000</u> (4)	A	\$ 0	51,160	I	By B. & S. Stabio-son & daughter-in-law
Common Stock	01/22/2014	G	<u>5,000</u> (5)	A	\$ 0	30,400	I	By O. Stabio-grandson
Common Stock	01/22/2014	G	<u>5,000</u> (7)	A	\$ 0	31,000	I	By P. Stabio-granddaughter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STABIO VICTOR P 1660 LINCOLN STREET SUITE 2700 DENVER, CO 80264	X			Chairman

Signatures

Victor P. Stabio 01/30/2014

 Signature of
Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Bona fide gift of shares of Common Stock by the reporting person to W. Stabio who is Mr. Stabio's grandson. Mr Stabio specifically disclaims beneficial ownership of these shares.
- (2) Bona fide gift of shares of Common Stock by the reporting person to Deborah and Scott Fitzgerald who are Mr. Stabio's daughter and son-in-law. Mr. Stabio specifically disclaims beneficial ownership of these shares.
- (3) Bona fide gift of shares of Common Stock by the reporting person to K. Fitzgerald who is Mr. Stabio's granddaughter. Mr. Stabio specifically disclaims beneficial ownership of these shares.
- (4) Bona fide gift of shares of Common Stock by the reporting person to S. Fitzgerald who is Mr. Stabio's granddaughter. Mr. Stabio specifically disclaims beneficial ownership of these shares.
- (5) Bona fide gift of shares of Common Stock by the reporting person to O. Stabio who is Mr. Stabio's grandson. Mr. Stabio specifically disclaims beneficial ownership of these shares.
- (6) Bona fide gift of shares of Common Stock by the reporting person to Brad & Sarah Stabio who are Mr. Stabio's son and daughter-in-law. Mr. Stabio specifically disclaims beneficial ownership to these shares.
- (7) Bona fide gift of shares of Common Stock by the reporting person to P. Stabio who is Mr. Stabio's granddaughter. Mr. Stabio specifically disclaims beneficial ownership of these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.