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PEAPACK GLADSTONE FINANCIAL CORP

Form 4

December 16, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Washington, D.C. 20549

Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

MULCAHY JOHN R

2. Issuer Name and Ticker or Trading

Symbol

(Middle)

(Zin)

PEAPACK GLADSTONE FINANCIAL CORP [PGC]

3. Date of Earliest Transaction

(Month/Day/Year)

12/12/2013

X_ Director 10% Owner Other (specify Officer (give title

5. Relationship of Reporting Person(s) to

(Check all applicable)

below)

500 HILLS DRIVE, SUITE 300, PO **BOX 700**

(Street)

(State)

(First)

(City)

(Last)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

BEDMINSTER, NJ 07921

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of	2. Transaction Date		3.	4. Securi			5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Year)	Execution Date, if	TransactionAcquired (A) or				Securities	Form: Direct	Indirect		
(Instr. 3)		any	Code	Disposed of (D)			Beneficially	(D) or	Beneficial		
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)			Owned	Indirect (I)	Ownership		
							Following	(Instr. 4)	(Instr. 4)		
					(4)		Reported				
				(A)			Transaction(s)				
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	12/12/2013		P	1,450	A	\$ 17	17,755.3815 ₍₇₎	D			
500011							_				
Common Stock	12/12/2013		P	550	A	\$ 17	4,320.5773 (7)	I	Spouse		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	TransactionNumber Code of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock option (right to buy)	\$ 27.51					<u>(1)</u>	01/09/2014	Common Stock	11,550	
Stock option (right to buy)	\$ 26.76					(2)	01/03/2017	Common Stock	2,310	
Stock option (right to buy)	\$ 23.4					(3)	01/02/2018	Common Stock	2,310	
Stock Option (right to buy)	\$ 13.43					<u>(4)</u>	01/04/2020	Common Stock	5,000	
Stock Option (right to buy)	\$ 13.53					<u>(5)</u>	01/03/2021	Common Stock	2,500	
Stock Option (right to buy)	\$ 10.72					<u>(6)</u>	01/03/2022	Common Stock	2,500	
Stock Option (right to buy)	\$ 14.68					(8)	01/02/2023	Common Stock	2,500	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MULCAHY JOHN R 500 HILLS DRIVE, SUITE 300 PO BOX 700

X

BEDMINSTER, NJ 07921

Signatures

John R. 12/13/2013 Mulcahy

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - The options were exercisable in five equal annual installments on January 9, 2005, 2006, 2007, 2008 and 2009. On December 8, 2005, the
- (1) Compensation Committee of the Board of Directors of Peapack-Gladstone Financial Corporation authorized the accelerated vesting of the remaining options.
- (2) The options are exercisable in five equal annual installments on January 3, 2008, 2009, 2010, 2011 and 2012.
- (3) The options are exercisable in five equal annual installments on January 2, 2009, 2010, 2011, 2012 and 2013.
- (4) The options are exercisable in four equal annual installments on January 4, 2011, 2012, 2013 and 2014.
- (5) The options are exercisable in four equal annual installments on January 4, 2012, 2013, 2014 and 2015.
- (6) The options are exercisable in four equal annual installments on January 3, 2013, 2014, 2015 and 2016.
- (7) The reporting person received shares through dividend reinvestment since the last filing.
- (8) The options are exercisable in three equal annual installments on January 2, 2014, 2015 and 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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