Edgar Filing: PEAPACK GLADSTONE FINANCIAL CORP - Form 4

PEAPACK GLADSTONE FINANCIAL CORP

Form 4

December 16, 2013

FORM		OMB APPROVAL									
	N OMB Number:	3235-0287									
Check thi	Expires:	January 31, 2005									
subject to Section 1	if no longer subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES										
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type F	Responses)										
1. Name and A Spero Vince	2. Issuer Name and Symbol PEAPACK GL		_	5. Relationship of Reporting Person(s) to Issuer							
		FINANCIAL C			(Check all applicable)						
(Last)	(First) (Middle) DRIVE, SUITE 300, PC	3. Date of Earliest (Month/Day/Year)			below)	Officer (give title Other (specify below)					
BOX 700	DRIVE, SUITE 300, FC	0 12/04/2013			EVP &	Chief Lending	Officer				
	(Street)	4. If Amendment, Filed(Month/Day/Ye	_	.1	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 						
BEDMINST	TER, NJ 07921				Person	Wiore than One	Reporting				
(City)	(State) (Zip)	Table I - Non	-Derivative	Securities A	cquired, Disposed	of, or Benefic	ially Owned				
1.Title of Security (Instr. 3)	ecurity (Month/Day/Year) Execution Date, if			(A) or 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)					
_		Code	V Amount		(Instr. 3 and 4)						
Common Stock	12/04/2013	A	3,910	A \$0	30,353.252	D					
Common Stock	12/12/2013	P	2,379	A \$ 17	32,732.252	D					
Common Stock					8,885.37 (3) (4)	I	401K Plan/Profit Sharing				

Persons who respond to the collection of

information contained in this form are not

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1474

(9-02)

Edgar Filing: PEAPACK GLADSTONE FINANCIAL CORP - Form 4

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivat Security (Instr. 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 27.01					(2)	06/02/2018	Common Stock	1,050	
Stock Option (right to buy)	\$ 11.91					<u>(1)</u>	11/19/2019	Common Stock	3,000	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Spero Vincent A 500 HILLS DRIVE, SUITE 300 PO BOX 700 BEDMINSTER, NJ 07921

EVP & Chief Lending Officer

Signatures

Vincent A. Spero 12/13/2013

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

Edgar Filing: PEAPACK GLADSTONE FINANCIAL CORP - Form 4

- (1) The options are exercisable in five equal annual installments on November 19, 2010, 2011, 2012, 2013 and 2014.
- (2) The options are exercisable in five equal annual installments on June 2, 2009, 2010, 2011, 2012 and 2013.
- (3) Includes 401K contributions used to purchase PGC stock each payroll period.
- (4) Includes profit-sharing contributions and earnings since last filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.