## Edgar Filing: TARTAGLIA THOMAS G - Form 5

### TARTAGLIA THOMAS G

Form 5

February 08, 2006

#### **OMB APPROVAL** FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per OWNERSHIP OF SECURITIES 5 obligations response... 1.0 may continue.

See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4

Transactions Reported

1. Name and Add		_	2. Issuer Name <b>and</b> Ticker or Trading Symbol CATHAY GENERAL BANCORP [CATY]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/30/2005	_X_ Director 10% Owner Officer (give title below) Other (specify below)			
777 NORTH	BROADW A	AY					
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Reporting			
			1100(	(check applicable line)			
LOS ANGEL	ES, CAÂ	90012					

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

**OMB** 

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Ownership Beneficially Form: Owned at end Direct (D) of Issuer's or Indirect Fiscal Year (I)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Amount	or (D)	Price	(Instr. 3 and 4)	(Instr. 4)		
Common Stock	12/30/2005	Â	J <u>(1)</u>	155	A	\$ 32.1909	13,790 (2)	I	By ESOP	
Common Stock	01/25/2005	Â	J <u>(3)</u>	170.162	A	\$ 32.992	63,348.054 (4)	I	T. Tartaglia Trust	
Common Stock	04/26/2005	Â	J(3)	186.028	A	\$ 30.26	63,348.054 (4)	I	T. Tartaglia Trust	

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Common Stock	07/20/2005	Â	J <u>(3)</u>	171.578	A	\$ 32.9061	63,348.054 (4)	I	T. Tartaglia Trust
Common Stock	10/25/2005	Â	J <u>(3)</u>	173.635	A	\$ 32.6053	63,348.054 (4)	I	T. Tartaglia Trust
Common Stock	Â	Â	Â	Â	Â	Â	835 (2)	D	Â
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.			Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.						SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Number		6. Date Exercisable Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 10.625	Â	Â	Â	Â	Â	01/20/2005(5)	01/20/2010	Common Stock	400
Stock Option	\$ 15.0475	Â	Â	Â	Â	Â	01/18/2005(6)	01/18/2011	Common Stock	800
Stock Option	\$ 16.275	Â	Â	Â	Â	Â	02/21/2005(7)	01/21/2012	Common Stock	1,200
Stock Option	\$ 19.925	Â	Â	Â	Â	Â	01/16/2005(8)	01/16/2013	Common Stock	3,040
Stock Option	\$ 24.8	Â	Â	Â	Â	Â	11/20/2004(9)	11/20/2013	Common Stock	11,000
Stock Option	\$ 37	Â	Â	Â	Â	Â	02/17/2006(10)	02/17/2015	Common Stock	3,500

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Othe		

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TARTAGLIA THOMAS G 777 NORTH BROADWAY Â X Â Â Â LOS ANGELES, CAÂ 90012

# **Signatures**

Thomas G.
Tartaglia
02/08/2006

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) ESOP Earning Allocation.
- (2) Distribution from ESOP on 12/19/05.
- (3) Dividend Reinvestment.
- (4) 583 shares transferred from his direct ownings.
- (5) The option is fully exercisable.
- (6) The option vests in 2 equal annual installments beginning 1/18/05.
- (7) The option vests in 3 equal annual installments beginning 2/21/05.
- (8) The option vests in 4 equal annual installments beginning 1/16/05.
- (9) The option vests in 5 equal annual installments beginning 11/20/04.
- (10) The option vests in 5 equal annual installments beginning 2/17/06.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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