**DST SYSTEMS INC** Form 4

March 17, 2015

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**OMB APPROVAL** 

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January 31,

2005

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person ** GIVENS GREGG WM | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer   |  |  |  |
|--|--|--|--|--|--|
|  | DST SYSTEMS INC [DST]                              | (Check all applicable)   |  |  |  |
| (Last) (First) (Middle)                                    | 3. Date of Earliest Transaction                    |  |  |  |  |
| 333 WEST 11TH STREET                                       | (Month/Day/Year)<br>03/13/2015                     | Director 10% Owner Officer (give title Other (specify below)  VP & Chief Accounting Officer  |  |  |  |
| (Street)   | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |
| KANSAS CITY, MO 64105                                      | Filed(Month/Day/Year)                              |  |  |  |  |
| (City) (State) (Zip)                                       |  |  |  |  |  |

| (City)                               | (State) (2                              | Table   | I - Non-De                             | erivative S  | ecuri     | ties Ac | quired, Disposed o   | of, or Beneficial  | lly Owned   |
|--------------------------------------|---|---|--|--|-----------|---------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securit<br>onAcquired<br>Disposed<br>(Instr. 3, | (A) of (D | ))      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      |   |   | Code v                                 | Amount   | (D)       | THE     | 645 <u>(1)</u>   | I  | ESOP  |
| Common<br>Stock                      |   |   |  |  |           |         | 5 (2)  | I  | 401(k)  |
| Common<br>Stock                      | 03/13/2015                              |   | A                                      | 28 (3)   | A         | \$0     | 74,740   | D  |   |
| Common<br>Stock                      | 03/13/2015                              |   | F                                      | 494 (4)  | D         | \$0     | 74,246   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc    | cisable and  | 7. Title | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|------------------|--------------|----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D     | ate          | Amou     | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/      | Year)        | Under    | lying    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                |              | Securi   | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |                  |              | (Instr.  | 3 and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |                  |              |          |          |             | Follo  |
|             | ·           |                     |                    |            | (A) or     |                  |              |          |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |                  |              |          |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |                  |              |          |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |                  |              |          |          |             | Ì      |
|             |             |                     |                    |            | 4, and 5)  |                  |              |          |          |             |        |
|             |             |                     |                    |            |            |                  |              |          |          |             |        |
|             |             |                     |                    |            |            |                  |              |          | Amount   |             |        |
|             |             |                     |                    |            |            | Date             | Expiration   |          | or       |             |        |
|             |             |                     |                    |            |            | Exercisable Date | Title Number |          |          |             |        |
|             |             |                     |                    |            |            |                  |              |          | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |                  |              |          | Shares   |             |        |

### **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

**GIVENS GREGG WM** VP & Chief 333 WEST 11TH STREET Accounting KANSAS CITY, MO 64105 Officer

# **Signatures**

Randall D. Young for Gregg Wm. Givens by power of attorney

03/17/2015

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares are allocated to the Reporting Persons account in The Employee Stock Ownership Plan of Issuer. The acquisition is exempt **(1)** pursuant to Rule 16b-3(c).
- Shares are allocated to the Reporting Persons account in the 401(k) Profit Sharing Plan of Issuer. The acquisition is exempt pursuant to **(2)** Rule 16b-3(c).
  - The acquisition is of unvested restricted stock units (RSUs) received automatically on March 13, 2015 as dividend equivalents pursuant to the terms and conditions of award agreements (Dividend RSUs). The Dividend RSUs were granted in connection with RSUs granted
- February 21, 2013, February 25, 2014, July 29, 2014 and February 23, 2015, and the Dividend RSUs previously received in connection therewith.
- (4) The Compensation Committee of the Issuers Board of Directors allows the withholding of shares (Tax Withholding Transactions) to satisfy the Reporting Persons income tax obligation from the vesting of RSUs and Dividend RSUs. The Committee pre-approves, at the

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time of grant, the Tax Withholding Transactions. The Reporting Person holds RSUs and Dividend RSUs reported in other Section 16 filings and Dividend RSUs included in this filing that vested on March 13, 2015. This form reports the number of shares retained in the March 13, 2015 Tax Withholding Transactions for the vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.