#### MILLER HERMAN INC

Form 4 July 21, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(City)

1. Title of

Security

(Instr. 3)

Security

(Print or Type Responses)

1. Name and Address of Reporting Person \* LOCK ANDREW J

(Middle) (Last) (First)

(Zip)

855 EAST MAIN AVENUE, P.O. BOX 302

(Street)

(State)

(Month/Day/Year)

2. Transaction Date 2A. Deemed

ZEELAND, MI 49464

2. Issuer Name and Ticker or Trading

Symbol

MILLER HERMAN INC [MLHR]

3. Date of Earliest Transaction

(Month/Day/Year) 07/19/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 4. Securities

Execution Date, if Code Disposed of (D) (Month/Day/Year) (Instr. 8)

TransactionAcquired (A) or (Instr. 3, 4 and 5)

Following Reported (A) Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion

or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

5. Number of 4. **Transaction**Derivative Securities Code

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amour **Underlying Securit** (Instr. 3 and 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

President - Herman Miller Intl

6. Ownership

Form: Direct

(D) or Indirect Beneficial

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(I)

(Instr. 4)

below)

10% Owner \_\_X\_\_ Other (specify

7. Nature of

Ownership

(9-02)

(Instr. 4)

Indirect

Issuer

below)

Person

5. Amount of

Securities

Owned

Beneficially

Director

Applicable Line)

Officer (give title

Estimated average

burden hours per

1

#### Edgar Filing: MILLER HERMAN INC - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)		Instr. 3, 4,				
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Non-Qualified Stock Option (right to buy)	\$ 31.86	07/19/2016		A	27,252 (1)		(2)	07/19/2026	Common Stock	27,2
Non-Qualified Stock Option (right to buy)	\$ 31.86	07/19/2016		A	22,292		(2)	07/19/2026	Common Stock	22,2
Restricted Stock Units	<u>(3)</u>	07/19/2016		A	3,851		<u>(4)</u>	<u>(4)</u>	Common Stock	3,8

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LOCK ANDREW J 855 EAST MAIN AVENUE P.O. BOX 302 ZEELAND, MI 49464

President - Herman Miller Intl

## **Signatures**

By: Angela M. Shamery For: Andrew J. Lock 07/21/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock option granted based on the achievement of one-year performance objectives in FY16 which was approved in July 2015 by the Herman Miller, Inc. Board of Directors.
- (2) This grant vests in three equal annual installments beginning on the first anniversary of the grant date.
- (3) Each restricted stock unit represents a contingent right to receive one share of MLHR common stock.
- (4) The restricted stock units have a three year cliff vest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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