Edgar Filing: Herberts Curt A. III - Form 4

Herberts Curt Form 4 August 15, 20											
FORM	Λ									PPROVAL	
	UNITED S	STATES					NGE (COMMISSION	OMB Number:	3235-0287	
Check this if no longe subject to Section 16 Form 4 or Form 5	er STATEM	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES									
obligation: may contin <i>See</i> Instruct 1(b).	s Section 17(a	20(h) at the Invectment (Commonly A at at 1040)									
(Print or Type Ro	esponses)										
Herberts Curt A. III Symbol			Symbol	Name and				5. Relationship of Reporting Person(s) to Issuer			
	[SGMO]	MO THE 	KAPEU	nce	S, INC	(Check all applicable)					
				of Earliest Transaction				Director 10% Owner Officer (give title Other (specify below) below) below) Sr.VP & Chief Business Officer			
CTR, 501 CA	ANAL BLVD.										
	(Street)			ndment, Dat th/Day/Year)	-			6. Individual or Jo Applicable Line) _X_ Form filed by 0 Form filed by N		erson	
RICHMONE	D, CA 94804							Person		porting	
(City)	(State) (Zip)	Table	e I - Non-D	erivative S	Securi	ties Acc	uired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)		ransaction Date 2A. Deemed onth/Day/Year) Execution Date, if any (Month/Day/Year)			4. Securi on(A) or Di (D) (Instr. 3,	4 and (A)	d of	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
~				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	08/11/2017			М	3,474	А	\$ 3.99	23,991	D		
Common Stock	08/11/2017			М	3,000	А	\$ 2.55	26,991	D		
Common Stock	08/11/2017			S <u>(1)</u>	6,474	D	\$ 10	20,517	D		
Common Stock	08/14/2017			М	5,000	А	\$ 5.12	25,517	D		
	08/14/2017			S <u>(1)</u>	5,000	D	\$11	20,517	D		

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	onof Derivative Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. I Der Sec (Ins
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 3.99	08/11/2017		М		3,474	(2)	10/17/2020	Common Stock	3,474	
Stock Option (Right to Buy)	\$ 2.55	08/11/2017		М		3,000	(2)	12/27/2021	Common Stock	3,000	
Stock Option (Right to Buy)	\$ 5.12	08/14/2017		М		5,000	<u>(2)</u>	08/31/2021	Common Stock	5,000	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Herberts Curt A. III C/O SANGAMO THERAPEUTICS, INC. POINT RICHMOND TECH CTR, 501 CANAL BLVD. RICHMOND, CA 94804			Sr.VP & Chief Business Officer				

Signatures

/s/ Florence Tam, 08/15/2017 Attorney-in-Fact

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on March 22, 2017.
- (2) The shares underlying the stock option are fully vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.