Edgar Filing: AVEO PHARMACEUTICALS INC - Form 4

AVEO PHARMACEUTICALS INC Form 4 March 29, 2017 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 4 or Form 5 filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 2 MAKOWER JOSHUA (East) (First) (Last) (First) Middle) 3. Date of Earliest Transaction (Month/Day/Year) 1954 GREENSPRING (Moth/Day/Year) 1954 GREENSPRING (Moth/Day/Year) (Moth/D		-	uga i m					0/ 12	0					
March 29, 2017 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Check this box if no longer subject to Section 16, Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB APPROVAL Form 5 or form 5 obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 16(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 1(b). State of Experiment of the Securities Exchange Act of 1934, Section 17(a) of the Investment Company Act of 1935 or Section 1(b). State of Experiment of the Securities Exchange Symbol State of Experiment of the Securities Exchange Symbol State of Experiment of Section 1(b). State of Experiment of Section 1(c). State of Experiment of 1935, Symbol State of Experiment of Section 1(c). State of Experiment of Section 1(c). <t< th=""><th></th><th>RMACEUTICA</th><th>LS INC</th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th></t<>		RMACEUTICA	LS INC											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Section 16. MB SECURITIES 3235-0287 State of parts obligations may continue. See Instruction 1(b. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Section 17(a) of the Public Utility Holding Company Act of 1934, obligations may continue. See Instruction 1(b. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, section 17(a) of the Investment Company Act of 1935 or Section 10(b. State Securities Section 17(a) of the Investment Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 State Securities Securities Securities Securities Securities Securities Securities Securities Securities Section 17(a) of the Investment Company Act of 1940 State Securities Sec		017												
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DRIVE, SUITE 600														
(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check	Did (<u>D</u> , 50			4 If Δme	endment D	ate Or	ioinal		e	Individual or Ioi	nt/Group Filir	og(Check		
Filed(Month/Day/Year) Applicable Line)										Applicable Line)				
_X_Form filed by One Reporting Person Form filed by More than One Reporting									-					
TIMONIUM, MD 21093	TIMONIUI	M, MD 21093							Ē			porting		
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned	(City)	(State)	(Zip)	Tab	le I - Non-I	Deriva	ative Secu	irities	Acqui	ired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of Mount of Securities 6. 7. Nature of Mount of Indirect (Instru 2) (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect	Security		Execution		Transactio	omr D	isposed of	f (D)		Securities	Ownership	Indirect		
(Instr. 3)anyCode(Instr. 3, 4 and 5)BeneficiallyForm:Beneficial(Month/Day/Year)(Instr. 8)OwnedDirect (D)OwnershipFollowingor Indirect(Instr. 4)	(Instr. 3)		•		Code (Instr. 3, 4 and 5) (Instr. 8)				Owned		Ownership			
(A) Reported (I) Transaction(s) (Instr. 4)								(A)						
Code V Amount (D) Price (Inst. 4)					Code V	Δ	mount	or	Drice		(111501.4)			
Common $03/28/2017$ P ⁽¹⁾ 6 000 000 A $(5 - 16) 362 694$ J See Note		03/28/2017							\$	16,362,694	Ι			
Stock $0.5/20/2017$ $1 \le 0,000,000$ A 0.5 $10,502,074$ $1 2 (2)$	Stock								0.5			2 (2)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
MAKOWER JOSHUA 1954 GREENSPRING DRIVE SUITE 600 TIMONIUM, MD 21093		Х					
Signatures							
/s/ Sasha Keough, attorney-in-fact	03	3/29/2017					

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reports the purchase of shares in the underwritten public offering of common stock of AVEO Pharmaceuticals, Inc.

Date

The Reporting Person is a manager of NEA 15 GP, LLC, ("NEA 15 GP") which is the sole general partner of NEA Partners 15, L.P. ("NEA Partners 15"). NEA Partners 15 is the sole general partner of New Enterprise Associates 15, L. P. ("NEA 15"). NEA 15 is the sole

(2) member of Growth Equity Opportunities Fund IV, LLC ("GEO IV"), which is the direct beneficial owner of the shares. The Reporting Person disclaims beneficial ownership within the meaning of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise of such portion of the shares held by GEO IV in which the Reporting Person has no pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.