### Edgar Filing: AMERICAN FINANCIAL GROUP INC - Form 4

#### AMERICAN FINANCIAL GROUP INC

Form 4 March 15, 2017

FORM 4

Section 16.

obligations

may continue.

See Instruction

#### OMB APPROVAL

3235-0287

January 31,

0.5

**OMB** 

Number:

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to STATEMENT OF C

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: 2005
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Form 4 or

Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person <u>\*</u> Consolino Joseph E

2. Issuer Name and Ticker or Trading

Issuer

below)

Symbol

AMERICAN FINANCIAL GROUP

(Check all applicable)

INC [AFG]

(First) (Middle)

3. Date of Earliest Transaction

\_X\_\_ Director \_\_\_\_\_ 10% Owner \_\_\_\_ X\_\_ Officer (give title \_\_\_\_\_ Other (specify

5. Relationship of Reporting Person(s) to

(Month/Day/Year)

03/15/2017

below)
Executive Vice President

301 E. FOURTH STREET, 40TH FLOOR

(Street)

(State)

(Zip)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Year) Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

CINCINNATI, OH 45202

1.Title of 5. Amount of 2. Transaction Date 2A. Deemed 3. 4. Securities 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned (Instr. 4) (Instr. 4) Following Reported

(A) Reported Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

Common 02/15/2017 A(1) 5.510 A \$ 0 122.14

Stock 03/15/2017 A(1) 5,519 A \$ 0 123,160 D

Common Stock 1,898 I ESPP (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amoun	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ies	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	3 and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A manuat		
									Amount		
						Date	Expiration		Or Number		
						Exercisable Date			Number		
				C + V	(A) (D)				of		
				Code V	(A) (D)				Shares		

D ala4: a... ala:...

**Executive Vice President** 

## **Reporting Owners**

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
Consolino Joseph E				
201 E EOUDTH STREET				

301 E. FOURTH STREET 40TH FLOOR

CINCINNATI, OH 45202

# **Signatures**

Joseph E. (Jeff) 03/15/2017 Consolino

\*\*Signature of Reporting Date Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Stock award from the Issuer made under the Senior Executive Equity Bonus Plan.

X

Shares allocated to the Reporting Person's account under the Issuer's Employee Stock Puchase Plan ("ESPP"). All ESPP information reporting herein is based on a plan statement dated as of 12/31/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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