### Edgar Filing: DUN & BRADSTREET CORP/NW - Form 4

### **DUN & BRADSTREET CORP/NW**

Form 4

March 06, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287 January 31,

Check this box if no longer

subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: 2005 Estimated average

**OMB APPROVAL** 

response...

5. Relationship of Reporting Person(s) to

burden hours per 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

VELDRAN	VELDRAN RICHARD H Symbol DUN & [DNB]		& BRADSTREET CORP/NW				Issuer (Check all applicable)			
(Last) 103 JFK PA	(First)	(Middle)		te of Earliest Transaction th/Day/Year) 2/2017				Director 10% Owner X_ Officer (give title Other (specify below)		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				Chief Financial Officer  6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
(City)	(State)	(Zip)	Tab	de I - Non-	Derivativ	Secu		Person  ired, Disposed of,	or Reneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	Execution any	ned	3.	4. Securi or Dispo (Instr. 3,	ties A	cquired (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/02/2017			M	1,192 (1)	A	\$ 0	8,310.6777	D	
Common Stock	03/02/2017			F	433 (2)	D	\$ 106.735	7,877.6777	D	
Common Stock								196.6163 <u>(3)</u>	I	HELD IN ESPP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Transaction Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Leveraged Restricted Stock Units	(1)	03/02/2017		M	1,185 (1)	<u>(1)</u>	03/02/2018	Common Stock	1,192 (1)	

## **Reporting Owners**

Penarting Owner Name / Address	Relationships
Penarting Owner Name / Address	Kiations

Director 10% Owner Officer Other

VELDRAN RICHARD H 103 JFK PARKWAY SHORT HILLS, NJ 07078

Chief Financial Officer

## **Signatures**

/s/ Kristin R. Kaldor for Richard H. Veldran by POA previously filed

03/06/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the vesting and payout of the second tranche (1/3) of the leveraged restricted stock units (3,556) granted on March 2, 2015 at (1) 100.6% based on the issuer's stock price performance during the performance period from 1/1/2015 -12/31/2016 and includes 7 additional shares above the target number of shares originally reported.
- (2) The reporting person made an irrevocable election in November 2016 to satisfy tax withholding obligations relating to the vesting of shares of Common Stock previously awarded through the deduction of shares from the vested amount.
- (3) Held in the issuer's employee stock purchase plan (ESPP) as of 03/06/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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