Edgar Filing: GREENBRIER COMPANIES INC - Form 4

GREENBRIER COMPANIES INC

Form 4

stock -

GBX

11/23/2016

November 23, 2016

FORM	ПД								OIVID AF	PROVAL		
	RITIES A shington			NGE C	OMMISSION	OMB Number:	3235-0287					
Check the if no lon							Expires:	January 31,				
subject t Section Form 4 (16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP O SECURITIES							Estimated average burden hours per			
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										0.5		
(Print or Type	Responses)											
RITTENBAUM MARK J Symb				2. Issuer Name and Ticker or Trading ymbol				5. Relationship of Reporting Person(s) to Issuer				
				NBRIER (COMPA	NIES	SINC	(Check all applicable)				
				Date of Earliest Transaction onth/Day/Year)				Director 10% Owner Specify				
			11/23/2	1/23/2016				below) below) EVP, Commercial & Leasing				
	OINTE DRIVE,	SUITE										
				Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
LAKE OSV	WEGO, OR 9703	5-8612						Form filed by M Person				
(City)	(State)	(Zip)	Tab	ole I - Non-l	Derivative	Secui	rities Acqu	iired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	and 3. 4. Securities Acquired (A Date, if Transaction Disposed of (D) Code (Instr. 3, 4 and 5) ay/Year) (Instr. 8)				(D)	Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)			
Common				(4)			\$					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $S^{(1)}$

6,250 D

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

D

38.1016 76,357

(2)

OMB APPROVAL

Edgar Filing: GREENBRIER COMPANIES INC - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orNumber	Expiration D	ate	Amount	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								^	mount		
									mount		
						Date	Expiration Date	or Title Numb of			
						Exercisable					
				C + V	(A) (D)						
				Code V	(A) (D)			S	hares		

Reporting Owners

Relationships Reporting Owner Name / Address Officer Other Director 10% Owner

RITTENBAUM MARK J C/O THE GREENBRIER COMPANIES, INC. ONE CENTERPOINTE DRIVE, SUITE 200 LAKE OSWEGO, OR 97035-8612

EVP, Commercial & Leasing

Signatures

/s/ Mark J. Rittenbaum By Feng C. Grove Attorney-in-fact

11/23/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Sale of shares was executed pursuant to a sales plan adopted August 1, 2016 and intended to comply with the requirements of Rule **(1)** 10b5-1(c)(1) under the Securities Exchange Act of 1934.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$38.1 to \$38.15, inclusive. The Reporting Person undertakes to provide to The Greenbrier Companies, Inc., any security holder of The

Greenbrier Companies, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2