### Edgar Filing: AMERICAN FINANCIAL GROUP INC - Form 4

#### AMERICAN FINANCIAL GROUP INC

Form 4

March 21, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287 January 31,

0.5

Check this box if no longer

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: 2005 Estimated average

**OMB APPROVAL** 

burden hours per response...

subject to Section 16. Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and A BERDING J	ting Person *	Symbol		Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer				
			INC [A		IANCIAL GROUP	(Check all app			
(Last)	(First)	(Middle)	3. Date of (Month/D	f Earliest Tr Day/Year)	ansaction	X_ Offic	cer (give title	_ Other	
301 EAST F	OURTH		03/17/2	016		below) below)  President of Subsidiary			J
STREET, 27	TH FLOOR						1100100111 01 010	, oranar j	<b>'</b>
	(Street)		4. If Ame	ndment, Da	te Original	6. Individual or Joint/Group Filing(Check			
			Filed(Mor	nth/Day/Year	)	Applicable Line)			
CINCINNA	TI, OH 45202	2					iled by One Report iled by More than C	_	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative Securities Acq	quired, Disp	oosed of, or Bene	eficially	y Owned
1.Title of	Date 2A. Dee	emed	3.	4. Securities	5. Amount	of 6. Owner	ship	7. Nature	

(Chij)	(51410)	Table	e I - Non-D	erivative S	securi	ties Ac	quired, Disposed (	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities on Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Stock	03/17/2016		A(1)	4,654	A	\$ 0	75,296.661 (2)	D	
Common Stock							233	I	By Trust
Common Stock							4,159 <u>(3)</u>	I	ESPP
Common Stock							1,264.097 (4)	I	401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Da	ate	Amour	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr. :	3 and 4)		Owne
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date	Expiration		or		
						Exercisable	Date		Number		
				G 1 W	(A) (D)				of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
BERDING JOHN B 301 EAST FOURTH STREET 27TH FLOOR CINCINNATI, OH 45202	X		President of Subsidiary					

## **Signatures**

John B. Berding By: Karl J. Grafe, as Attorney-in-Fact 03/21/2016

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock award from the Issuer made under the Senior Executive Equity Bonus Plan.
- (2) Includes 2,018.661 shares held in the Company's Dividend Reinvestment Plan as of 12/31/2015.
- (3) Shares allocated to the Reporting Person's account under the Issuer's Employee Stock Purchase Plan (the "ESPP"). All ESPP information reported herein is based on a plan statement dated as of 12/31/2015.
- (4) Represents shares held in the Issuer's 401(k) Retirement and Savings Plan. The number of shares of Common Stock is based on a Plan Statement dated as of 12/31/2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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