Edgar Filing: Conatus Pharmaceuticals Inc. - Form 4

Conatus Pharm	naceuticals Inc.												
Form 4 February 08, 2	016												
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FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMM Washington, D.C. 20549						E COMMISSION	N OMB Number:		3235-	0287			
Check this if no longer subject to Section 16. Form 4 or Form 5 obligations may contin <i>See</i> Instruct 1(b).	Filed pur Section 17(STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, ection 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								Estim burde respo	January 31, 2005 Estimated average burden hours per response 0.5		
(Print or Type Res	sponses)												
1. Name and Address of Reporting Person <u>*</u> Mento Steven J			2. Issuer Name and Ticker or Trading Symbol Conatus Pharmaceuticals Inc. [CNAT]					-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
	× / ×			of Earliest Day/Year 2016		ransactio	n		X Director X Officer (giv below) Preside	re title belo nt, CEO o	Oth ow)		
	(Street)	Street) 4. If Amendm Filed(Month/E				-	nal		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
SAN DIEGO,	CA 92127								Form filed by Person	More than	One R	eporting	
(City)	(State)	(Zip)	Tab	le I - Noi	n-I	Derivativ	ve Sec	curities A	Acquired, Disposed of	of, or Ber	eficia	ally Owned	1
	Transaction Date Aonth/Day/Year)	2A. Deem Execution any (Month/Da	Date, if	Code (Instr. 8))	4. Secur nAcquire Dispose (Instr. 3 Amoun	ed (A) ed of (, 4 an (A or	or (D) (d 5)	Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Form: Di	rect	7. Nature Indirect Beneficia Ownershi (Instr. 4)	ıl
Reminder: Repor	t on a separate line	e for each cl	ass of sec	urities be	nef	ficially o	wned	directly	or indirectly.				
						info	rmati	ion cont	spond to the collect tained in this form ond unless the for	are not		SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

number.

displays a currently valid OMB control

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, and		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 1.85	02/04/2016		A	187,500	<u>(1)</u>	02/03/2026	Common Stock	187,500
Stock Option (Right to Buy)	\$ 1.85	02/04/2016		A	37,500	(2)	02/03/2026	Common Stock	37,500

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Reporting Owners

Reporting Owner Name / Address	Relationships						
r g a a a a a a a a	Director	10% Owner	Officer	Other			
Mento Steven J C/O CONATUS PHARMACEUTICALS INC. 16745 WEST BERNARDO DRIVE, SUITE 200 SAN DIEGO, CA 92127	Х		President, CEO & Director				
Signatures							
/s/ Charles J. Cashion, Attorney-in-Fact for Steven Mento	n J. 02/08/2016						
**Signature of Reporting Person		Dat	e				

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The option was granted on February 4, 2016. 25% of the total number of shares of common stock subject to the option will vest on
(1) February 4, 2017, and 1/48th of the total number of shares of common stock subject to the option will vest monthly thereafter, subject to the Reporting Person's continued employment or service relationship with the Issuer on each such vesting date.

The Reporting Person was granted a performance based option on February 4, 2016 that will vest, subject to the Reporting Person's

(2) continued employment or service relationship with the Issuer through the applicable vesting date, upon the achievement of certain performance conditions, as approved by the Issuer's compensation committee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.