AUTODESK INC

Form 4 November 09, 2015

FORM 4

Section 16.

Form 4 or

Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction

See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *
Di Fronzo Pascal W

(Last) (First) (Middle)

111 MCINNIS PKWY

(Street)

(Ctata)

(7:-

SAN RAFAEL, CA 94903

2. Issuer Name **and** Ticker or Trading Symbol

AUTODESK INC [ADSK]

3. Date of Earliest Transaction (Month/Day/Year) 11/05/2015

4. If Amendment, Date Original

4. If Amendment, Date Original Filed(Month/Day/Year)

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

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5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner __X_ Officer (give title ____ Other (specify below)

SVP, General Counsel

 $6.\ Individual\ or\ Joint/Group\ Filing (Check$

Applicable Line)

X Form filed by One Reporting Person ___ Form filed by More than One Reporting

Person

| (City) | (State) | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | |
|--------------------------------------|-----------------------------------------|----------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------|-----|-------------|------------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------------|--|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | ransaction(A) or Disposed of (D) ode (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | | |
| Common Stock | 11/05/2015 | | S <u>(1)</u> | 618 | D | \$ 60.14 | 55,187 (2) | D | | |
| Common Stock | 11/06/2015 | | M | 2,282 | A | \$ 43.81 | 57,469 <u>(2)</u> | D | | |
| Common Stock | 11/06/2015 | | M | 4,593 | A | \$ 43.81 | 62,062 (2) | D | | |
| Common Stock | 11/06/2015 | | S(1) | 6,875 | D | \$ 63.85 | 55,187 (2) | D | | |
| Common Stock | 11/06/2015 | | S <u>(1)</u> | 11,805 | D | \$ 63.78 | 43,382 (2) | D | | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Derivative Expiration Date curities (Month/Day/Year) quired) or sposed of) str. 3, 4, | | 7. Title and Ame Underlying Secu (Instr. 3 and 4) | |
|-----------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------|----------------------------------------|-----------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|--------------------|---------------------------------------------------------|----------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | An or No of Sh |
| Stock Option (Right to Buy) | \$ 43.81 | 11/06/2015 | | M | 2,282 | 03/24/2015 | 03/24/2021 | Common Stock | 2 |
| Non-Qualified Stock Option (Right to Buy) | \$ 43.81 | 11/06/2015 | | M | 4,593 | 03/24/2012(3) | 03/24/2021 | Common Stock | 4 |

Reporting Owners

| Reporting Owner Name / Address | | | Relationships | | | | | | |
|--------------------------------|---|-------|---------------|-----------|---------|----|------|--|--|
| | | | Director | 10% Owner | Officer | Ot | ther | | |
| D: E | _ | 1 777 | | | | | | | |

Di Fronzo Pascal W
111 MCINNIS PKWY
SVP, General Counsel
SAN RAFAEL, CA 94903

Signatures

Andy Sewell, Attorney-in-Fact for Pascal Di

Fronzo 11/09/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on April 2, 2015.
- (2) The total securities beneficially owned includes 27,690 shares of unvested restricted stock units.
- (3) The option vests as to 6,875 shares on each of March 24, 2012, March 24, 2013 and March 24, 2014 and as to 4,593 shares on March 24, 2015.

Reporting Owners 2

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