Intercontinental Exchange, Inc.

Form 4

March 04, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

(Print or Type Responses)

Farley Thomas W

1. Name and Address of Reporting Person *

			Intercontinental Exchange, Inc. [ICE]				ic. [ICE]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction					(en c en	шт аррпочото	,	
				Day/Year)				Director		Owner	
5660 NEW	NORTHSIDE I	DRIVE	03/02/2	2015				X Officer (give title Other (specify below)			
								· · · · · · · · · · · · · · · · · · ·	t, NYSE Grou	p	
	(Street)		4. If Am	endment, I	Date Origin	nal		. Individual or Joint/Group Filing(Check			
			Filed(Mo	onth/Day/Ye	ar)			Applicable Line)			
								X_ Form filed by One Reporting Person Form filed by More than One Reporting			
ATLANTA	A, GA 30328							Person			
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of	2. Transaction Date	e 2A. Deem	ied	3.			cquired (A)	5. Amount of	7. Nature of		
Security (Month/Day/Year) Execution Da					oror Dispo			Securities	Ownership	•	
(Instr. 3)		any (Month/D	av/Year)	Code (Instr. 3, 4 and 5) ay/Year) (Instr. 8)				Beneficially Owned	Form: Direct (D)	Ownership	
			ay, roar, (ansar o)					Following	or Indirect	(Instr. 4)	
			(A)					Reported Transaction(s)	(I) (Instr. 4)		
						or	ъ.	(Instr. 3 and 4)	(IIIsu: 4)		
Common				Code V	Amount 3,988	(D)	Price				
Stock	03/02/2015			M	(1)	A	\$ 106	16,982	D		
					_		Ф 226 02	F			
Common Stock	03/02/2015			S	560 <u>(1)</u>	D	\$ 236.03	5 16,422	D		
Stock							_				
Common					1,695	_	\$		_		
Stock	03/02/2015			S	<u>(1)</u>	D	236.8995	5 14,727	D		
					_		(3)				
Common					1,095		\$				
Stock	03/02/2015			S	(1)	D	237.9689	9 13,632	D		
					_		<u>(4)</u>				
	03/02/2015			S	638 (1)	D		12,994	D		

Edgar Filing: Intercontinental Exchange, Inc. - Form 4

Common Stock						\$ 239.1538 <u>(5)</u>			
Common Stock	03/02/2015	S	900 (1)	D	\$ 234.89	12,094	D		
Common Stock	03/03/2015	S	1,021 (1)	D	\$ 238.26	11,073 (6)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Derivative E		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy) Holding	\$ 106	03/02/2015		M	3,988	<u>(7)</u>	12/10/2019	Common Stock	3,988

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner Officer		Other			
Farley Thomas W							
5660 NEW NORTHSIDE DRIVE			President, NYSE Group				
ATLANTA, GA 30328			_				

Reporting Owners 2

Signatures

/s/ Andrew J. Surdykowski, Attorney-in-fact

03/04/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The transactions reported in this Form 4 were effected pursuant to a pre-arranged trading plan established in accordance with Rule 10b5-1 of the Securities Act of 1934, as amended.
- The price range for the aggregate amount sold by the direct holder is \$235.4000 \$236.3900. The Issuer will upon request by the Staff of the U.S. Securities and Exchange Commission or a security holder of the Issuer provide the full information regarding the number of shares sold at each separate price.
- The price range for the aggregate amount sold by the direct holder is \$236.4800 \$237.4600. The Issuer will upon request by the Staff of the U.S. Securities and Exchange Commission or a security holder of the Issuer provide the full information regarding the number of shares sold at each separate price.
- The price range for the aggregate amount sold by the direct holder is \$237.6000 \$238.2900. The Issuer will upon request by the Staff of the U.S. Securities and Exchange Commission or a security holder of the Issuer provide the full information regarding the number of shares sold at each separate price.
- The price range for the aggregate amount sold by the direct holder is \$238.7700 \$239.5100. The Issuer will upon request by the Staff of the U.S. Securities and Exchange Commission or a security holder of the Issuer provide the full information regarding the number of shares sold at each separate price.
 - The common stock number referred in Table I is an aggregate number and represents 3,834 shares of common stock and 7,239 unvested performance based restricted stock units, for which the performance period has been satisfied. The performance based restricted stock units vest over a three year period, in which 33.33% of the performance based restricted stock units vest each year. The satisfaction of the performance target for the 2015 performance based restricted stock units and the corresponding number of shares of common stock to be issued pursuant to that award will not be determined until February 2016 and will be reported at that time.
- (7) These options are fully vested.

(6)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3