OMNOVA SOLUTIONS INC

Form 4 October 06, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

SECURITIES obligations

may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

MCMULLEN KEVIN M

(First) (Middle)

175 GHENT ROAD

(Last)

(City)

FAIRLAWN, OH 44333-3300

(Street)

(State)

10/06/2014

2. Issuer Name and Ticker or Trading

Symbol

OMNOVA SOLUTIONS INC [OMN]

3. Date of Earliest Transaction (Month/Day/Year)

10/06/2014

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

5. Relationship of Reporting Person(s) to

(Check all applicable)

Chairman of Board & CEO & Pres

6. Individual or Joint/Group Filing(Check

(D) or

Indirect (I)

(Instr. 4)

X Form filed by One Reporting Person

response... 0.5

10% Owner

6. Ownership 7. Nature of

Beneficial

Ownership

(Instr. 4)

Form: Direct Indirect

Other (specify

Form filed by More than One Reporting Person

5. Amount of

Beneficially

Securities

Applicable Line)

_X__ Director

X_ Officer (give title

Issuer

below)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

Code (Instr. 8)

Amount

4. Securities Acquired Transaction(A) or Disposed of (D) (Instr. 3, 4 and 5)

(A)

(D)

Owned Following Reported

Transaction(s) (Instr. 3 and 4)

 $17,216.06 \frac{(2)}{}$ I

\$ P D 23,150 Α 5.47 930,124 (1)

Price

Common Stock

Common

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Plan Trust

1

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amou	nt of	Derivative	Deriv
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	lying	Security	Secui
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative Securities Acquired		e		Securit	ties	(Instr. 5)	Bene
		Derivative							(Instr.	3 and 4)		Owne
		Security										Follo
		•				(A) or						Repo
						Disposed						Trans
					of (D) (Instr. 3,							(Instr
						4, and 5)						
										A manust		
										Amount		
							Exercisable Date	Expiration Date	Title	or Namel		
										Number		
					C-1- V	(A) (D)				of		
					Coue v	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

MCMULLEN KEVIN M

175 GHENT ROAD X Chairman of Board & CEO & Pres

FAIRLAWN, OH 44333-3300

Signatures

Kristine C. Syrvalin, Attorney-in-fact for Kevin M. 10/06/2014 McMullen

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - The price reported in Column 4 is a weighted average purchase price. These shares were purchased in multiple transactions at prices ranging from \$5.44 to \$5.50, inclusive. The reporting person undertakes to provide OMNOVA Solutions, any shareholders of OMNOVA
- Solutions or the staff of the Securities and ExchangeCommission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.
- (2) Shares held in the OMNOVA Solutions Retirement Savings Plan as of 10/06/2014 per the Plan Administrator's Records.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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