SYNAPTICS INC Form 4

January 06, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * LEE FRANCIS F

(Last) (First) (Middle)

1251 MCKAY DRIVE

(Street)

01/02/2014 4. If Amendment, Date Original

SYNAPTICS INC [SYNA]

3. Date of Earliest Transaction

Filed(Month/Day/Year)

(Month/Day/Year)

Symbol

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading

Issuer

(Check all applicable)

_X__ Director 10% Owner Officer (give title Other (specify below)

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

SAN JOSE, CA 95131

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acqu				quired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired saction(A) or Disposed of (D) (Instr. 3, 4 and 5) (A)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	01/02/2014		M	28,475	A	\$ 20.17	30,981	D	
Common Stock	01/02/2014		S <u>(1)</u>	2,000	D	\$ 49.94	28,981	D	
Common Stock	01/02/2014		S <u>(1)</u>	2,742	D	\$ 50	26,239	D	
Common Stock	01/02/2014		S <u>(1)</u>	2,000	D	\$ 50.05	24,239	D	
Common Stock	01/02/2014		S <u>(1)</u>	2,000	D	\$ 50.07	22,239	D	
	01/02/2014		S <u>(1)</u>	2,100	D		20,139	D	

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Common Stock					\$ 50.15			
Common Stock	01/02/2014	S <u>(1)</u>	900	D	\$ 50.16	19,239	D	
Common Stock	01/02/2014	S <u>(1)</u>	2,000	D	\$ 50.21	17,239	D	
Common Stock	01/02/2014	S <u>(1)</u>	360	D	\$ 50.22	16,879	D	
Common Stock	01/02/2014	S(1)	1,000	D	\$ 50.3	15,879	D	
Common Stock	01/02/2014	S(1)	1,258	D	\$ 50.4	14,621	D	
Common Stock	01/02/2014	S(1)	700	D	\$ 50.5	13,921	D	
Common Stock	01/02/2014	S(1)	940	D	\$ 50.51	12,981	D	
Common Stock	01/02/2014	S(1)	10,475	D	\$ 51.19	2,506	D	
Common Stock						68,487	I	By Trust
Common Stock						32,422	I	By Trust
Common Stock						32,422	I	By Trust
Common Stock						4,000	I	As custodian (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)	•	
	Derivative				or Disposed of		
	Security				(D)		
	·				(Instr. 3, 4,		
					and 5)		

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			Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 20.17	01/02/2014	M	28,47	<u>(6)</u>	01/18/2015	Common Stock	28,475

Reporting Owners

Reporting Owner Name / Address	Relationships						
reporting owner rune, runess	Director	10% Owner	Officer	Other			
LEE FRANCIS F							
1251 MCKAY DRIVE	X						
SAN JOSE, CA 95131							

Signatures

Kermit Nolan, as attorney-in-fact

01/03/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were sold pursuant to a 10b5-1 Sales Plan dated November 4, 2013.
- (2) The shares are held by EF Lee Family 2012 Irr Trust.
- (3) The shares are held by Francis F. Lee, Trustee of the Francis Lee 2002 Irrevocable Trust.
- (4) The shares are held by Evelyn C. Lee, the reporting person's spouse, Trustee of the Evelyn Lee 2002 Irrevocable Trust.
- (5) The shares are held by the reporting person as custodian for his child.
 - 25% of the total number of shares subject to the option vested and became exercisable on the twelve month anniversary of the January 18,
- (6) 2005 vesting commencement date, and 1/48th of the total number of shares subject to the option vested and became exercisable on the 18th day of each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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